Lateral Heterogeneity in Compressional Mountain Belt Settings

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Abstract

Convergent orogens are typically linear with laterally continuous, orogen-parallel folds and thrusts. Over the years, geoscience research has revealed evidence for important orthogonal/cross structures as well as lateral heterogeneity in deformation style, igneous activity, metamorphic grade, geomorphology, and seismic activity. To assess the occurrence, causal mechanisms, and implications of these lateral heterogeneities, a selection of convergent orogens, with different tectonic settings and history are reviewed. The Appalachians, the North American Cordillera, the Alps, the Himalayas, the Zagros, the Andes, and several other belts all exhibit a degree of lateral heterogeneity. Major factors driving the lateral heterogeneity and/or cross structures include the pre-existing deformational history of the cratonic blocks involved, lateral change in lithology of crustal rocks, variations in crustal/lithospheric rheologic properties, or changes in plate kinematics. The Appalachian orogenic front mimics the Iapetan rift margin. Pre-existing basement structures have control on pre- and syn-orogenic sedimentation, which subsequently impacts how an orogenic wedge evolves. A thicker sedimentary column generally evolves into a salient (as opposed to a recess), which is further enhanced by the presence of weak horizons as seen in the Zagros and the Cordillera. Lateral variation in sedimentary facies also creates changes in thrust-ramp geometry. During orogenic contraction, inherited basement structures can be preferentially reactivated based on their orientation. Several cross faults in the Himalayas spatially coincide with orogen-perpendicular, lower plate, basement structures. In a similar way, oceanic subducting plate physiography can also influence deformation in the overriding plate. Along-strike variations in subduction dynamics have been reflected in the Andean deformation. Orogenic extension in the Alps has been accompanied by a system of orogen-parallel strike slip faults and extensional cross faults. It is evident that lateral heterogeneities can form crucial control on the evolution of orogenic belts and can influence seismic rupture patterns, resource occurrence, and landslide-related natural hazards.

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8	Key Points
9 10 11 12 13 14 15	 Lateral heterogeneity, expressed as sharp lateral variations, has been observed in most orogens and often coincides with cross structures. Major controls are basement structures, lateral changes in sedimentary facies, varying crustal rheology, and changing plate dynamics. Lateral heterogeneities impact orogenic evolution and have geomorphic, seismic, and economic implications.
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17 Abstract

Convergent orogens are typically linear with laterally continuous, orogen-parallel folds and 18 19 thrusts. Over the years, geoscience research has revealed evidence for important orthogonal/cross 20 structures as well as lateral heterogeneity in deformation style, igneous activity, metamorphic 21 grade, geomorphology, and seismic activity. To assess the occurrence, causal mechanisms, and implications of these lateral heterogeneities, a selection of convergent orogens, with different 22 23 tectonic settings and history are reviewed. The Appalachians, the North American Cordillera, the 24 Alps, the Himalayas, the Zagros, the Andes, and several other belts all exhibit a degree of lateral heterogeneity. Major factors driving the lateral heterogeneity and/or cross structures include the 25 26 pre-existing deformational history of the cratonic blocks involved, lateral change in lithology of 27 crustal rocks, variations in crustal/lithospheric rheologic properties, or changes in plate kinematics. The Appalachian orogenic front mimics the Iapetan rift margin. Pre-existing basement structures 28 29 have control on pre- and syn-orogenic sedimentation, which subsequently impacts how an 30 orogenic wedge evolves. A thicker sedimentary column generally evolves into a salient (as 31 opposed to a recess), which is further enhanced by the presence of weak horizons as seen in the 32 Zagros and the Cordillera. Lateral variation in sedimentary facies also creates changes in thrust-33 ramp geometry. During orogenic contraction, inherited basement structures can be preferentially 34 reactivated based on their orientation. Several cross faults in the Himalayas spatially coincide with orogen-perpendicular, lower plate, basement structures. In a similar way, oceanic subducting plate 35 36 physiography can also influence deformation in the overriding plate. Along-strike variations in subduction dynamics have been reflected in the Andean deformation. Orogenic extension in the 37 38 Alps has been accompanied by a system of orogen-parallel strike slip faults and extensional cross 39 faults. It is evident that lateral heterogeneities can form crucial control on the evolution of orogenic 40 belts and can influence seismic rupture patterns, resource occurrence, and landslide-related natural 41 hazards. 42 43

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48 1 Introduction

Many of the world's major mountain belts are found along modern or former convergent plate 49 50 boundaries and they are typically dominated by major thrust structures (Elliott, 1976; Chapple, 51 1978; Boyer & Elliott, 1982; Dahlen, 1990). The terms 'continental collision or terrane accretion' have been used for convergent boundaries when both the involved plates are continental, though 52 53 mountain building also occurs along convergent plate boundaries where an oceanic plate is 54 subducting beneath continental crust (e.g.; Dewey & Bird, 1970). In both tectonic settings, 55 compressive stress is accommodated through imbrication and shortening of upper crustal material as thrust sheets and/or nappes, within a system of fault surfaces, which generally strike 56 57 perpendicular to the direction of compression (or parallel to the orogen). In general, these faults and related structures are laterally continuous both temporally and spatially. Detailed geological 58 59 and geophysical research, however, has found that while these convergent mountain belts exhibit overall lateral continuity, there are also lateral heterogeneities that have made an important 60 61 contribution to the development of the mountain belt. Driven by various mechanisms, these lateral changes serve to induce important structural, geological, and geomorphological lateral 62 63 heterogeneities along mountains belts that may (or may have) influence(d) igneous or seismic processes or even the occurrence of economic resources. Commonly, significant along-strike 64 65 changes are known to occur across structures, which strike orthogonal to the overall trend of the orogen. In this article, the term 'cross structure' has been utilized to refer to all generic, syn and 66 67 post orogenic structural features that bound lateral changes along a mountain belt, though the terms 'cross-strike structural discontinuities' (CSD), 'transverse zones', and 'cross faults' have all been 68 69 utilized to describe large-scale structures that strike across convergent mountain belts (Drahovzal 70 & Thomas, 1976; Wheeler, 1980; Hubbard et al., 2018; Hubbard et al., 2021). Thomas (1990) 71 suggested that transverse zones (TZ) along fold and thrust belts are domains of several, structurally 72 aligned 'lateral connectors', which encompass transverse/tear faults, lateral ramps, and 73 displacement transfer faults/zones. In general, the term 'transverse zone' has been used in the 74 literature to denote large-scale, inherited basement features with a high-angled orientation to the 75 evolving orogen. Lateral connecters like tear faults, lateral ramps, and transfer faults/zones form 76 during thrust propagation and are rather localized, only spanning across a few thrust sheets. Formed during the propagation of thrust belts, these lateral connectors could be spatially controlled 77 78 by the inherited structures in the crystalline basement as well as the overlying stratigraphy of the

evolving thrust sheet (Thomas, 1990). During orogenesis, inherited basement structures are
commonly reactivated or inverted and impart a great control on the tectonic and structural
evolution of mountain belts (e.g.; Tavarnelli et al., 2004; Butler et al., 2006). Evidence has also
been presented suggesting that oceanic transform faults or seamounts in a subducting oceanic plate
can influence the structural development of the mountain belt in the overriding continental plate,
thus causing lateral heterogeneity or the presence of cross structures (e.g.; Gutscher et al., 2000;
Chapin, 2012).

87 Mountain belts formed in convergent plate tectonic settings at different time periods in Earth's history all exhibit some degree of lateral heterogeneity. The following review explores a selection 88 of mountain belts from convergent boundaries, examining the proposed driving mechanisms and 89 90 implications of lateral heterogeneities and the presence of cross structures during and after 91 orogenesis. The Appalachians, the North American Cordillera, the Alps, the Himalayas, the 92 Zagros, and the Andes have been looked at in detail, and several other mountain belts are briefly 93 discussed (Figure 1). This selection represents some of the most well studied and prominent 94 mountain belts around the world and presents a wide array of tectonic settings. Within each 95 mountain belt, presented examples of lateral heterogeneities and cross structures are representative 96 of the lateral variability for that orogen. For each mountain belt, we present a brief introduction of the tectonic setting, observed lateral heterogeneities, and proposed causal factors for those 97 98 heterogeneities. It is noted that lateral heterogeneities can be expressed in several ways including: 1) along-strike changes in deformation style; 2) variation in igneous activity or metamorphic grade; 99 100 3) variation in seismic activity; 4) changes in geomorphology; or 5) abrupt lateral stratigraphic 101 change. Drivers of these along-strike changes can include irregularities along continental margin, 102 inherited basement structures of an overriding plate, features from a subducting plate (oceanic) or 103 footwall block (continental), lateral variation in lithospheric or crustal properties, lateral changes 104 in thickness and facies of the deforming sedimentary sequence, lateral variation in dynamic plate tectonic setting or a combination of these factors. Apart from their influence in the 105 106 structural/tectonic evolution of the mountain belt, the lateral heterogeneity may also have 107 important seismic, geomorphic, and economic implications.

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Figure 1: Map of the world showing locations of mountain belts described in this review. The pink shades are spatial extent of respective orogenic belts. Rectangular boxes indicate the extent of tectonic maps presented in the following sections. Numbered areas are other convergent orogens mentioned in the text.

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116 2 Appalachians

117 2.1 Tectonic Setting and Lateral Heterogeneities

The Appalachian orogenic belt, along the eastern margin of the North American continent, is 118 119 the structural culmination of a prolonged tectonic history consisting of multiple episodes of 120 subduction, terrain accretion, continental collision, and rifting (Figure 2). The prominent Grenville orogeny (1.3-0.9 Ga; Li et al., 2008; Hynes & Rivers, 2010; Rivers, 2012)) was the coalescence 121 122 of the Laurentian (modern day North American continent) and Amazonian cratons, which formed 123 the Rodinia supercontinent (Li et al., 2008; Rivers, 2008, 2015). Following the Grenville orogeny, the Laurentian craton remained tectonically inactive for about 250 Ma before being detached from 124 the Rodinia craton in various episodes from 759±12 Ma to 530 Ma (Thomas, 1991; Aleinikoff et 125 al., 1995; Hogan & Gilbert, 1998). The Iapetan rifting, during which the Iapetus ocean opened 126 127 between the Laurentian and Amazonian (Gondwana) cratons (Domeier, 2016), marked the end of

this series of tectonic events (Rankin, 1976; Thomas, 1977; O'Brien & van der Pluijm, 2012). The 128 Middle Ordovician to Late Silurian Taconian orogeny represents the aggregate contractional 129 130 tectonic activity along the boundary between the Laurentian and Iapetan plates (Hatcher, 1972; Drake et al., 1989; van Staal et al., 2007; Hatcher, 2010). The Late Silurian to Devonian Acadian 131 orogeny has been interpreted to have formed along an Andean-type margin (flat slab subduction) 132 following the accretion of Avalonia to the Laurentia margin (Keppie & Krogh, 1999; Murphy & 133 Keppie, 2005). The youngest event in the building of the Appalachians was the Late Mississippian 134 to Late Permian Alleghenian orogeny (Laurentia and Gondwana) (Hatcher et al., 1989), which also 135 marked the formation of the Pangean supercontinent. In the Mesozoic, the modern day North 136 137 American plate rifted westward from the African continent. Dominant structures from all of these convergent and divergent tectonic events are range-parallel faults and fold axes. Close inspection, 138 139 however, reveals lateral heterogeneity that includes changes in the mountain belt orientation, structural style, metamorphic grade, and the presence of cross structures. 140

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143 Figure 2: Simplified tectonic map of the Appalachians belt showing the major faults, the salient

144 (S) and recesses (R), and the extent of the Appalachian deformation front. Insets show the locations

of figures 3a, 3b, and 3c (simplified from: Southworth, 1986; Hibbard et al., 2006; Waldron et al.,

146 2015).

147 Acronyms: BBF: Bloody Bluff Fault; BVBL: Baie Verte-Brompton Line; BZ: Brevard Zone; CF:

148 Caledonia Fault; CPSZ: Central Piedmont Shear Zone; DHBF: Dover Hermitage Bay Fault;

149 HLPVF: Hollins Line-Pleasant Valley Fault System; LaGF: La Gaudaloupe Fault; LGSZ: Lake

- 150 Gordon Shear Zone; LL: Logan's Line; MSZ: Modoc Shear Zone; NFS: Norumbega Fault System;
- 151 Pa: Parson's Lineament; Pe: Petersburg Lineament; TM: Tyrone-Mount Union lineament.

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between the Avalon and Meguma terranes and its conjugate faults including the Canso Fault (CnF)(source: Hibbard et al., 2006; Waldron et al., 2015).

Acronyms: ATZ: Anniston Transverse Zone; BeI: Belle Isle cross-strike discontinuity; BoB:
Bonne Bay cross-strike discontinuity; BTZ: Bessemer Transverse Zone; CaB: Canada Bay crossstrike discontinuity; CbF: Cabot Fault; CnF: Canso Fault; CpRF: Cape Ray Fault; CTZ:
Cartersville Transverse Zone, GHF: Gunflap Hills Fault; HTZ: Happersville Transverse Zone;
JBTF: Jacksboro Tear Fault; MFZ: Minas Fault Zone; RFTF: Russel Fork Tear Fault; RFTZ:
Rising Fawn Transverse Zone; RIL: Red Indian Line; SeL: Serpentine Lake cross-strike
discontinuity.

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172 One of the obvious heterogeneities in topography is the fact that the NE-trending 173 Appalachian mountain front curves around a series of recesses and salients along the length of its 174 western margin (Figure 2). These recesses and salient are proposed to be bound by broad transverse 175 structures that offset or truncate thrust structures (Thomas, 1990). In the southern Appalachians, the large-scale thrust faults and related structures are predominantly striking northeast and verging 176 177 towards the northwest (Bayona et al., 2003). Abrupt along-strike terminations of these structures 178 are known to spatially coincide with these transverse zones (TZ). In the Alabama recess (Figure 3a), major TZs include the Bessemer Transfer Zone (TZ), the Happersville TZ, the Anniston TZ, 179 180 and the Rising Fawn TZ from southwest to northeast respectively (Thomas, 1990; Bayona et al., 181 2003; Brewer, 2004). In general, these transfer zones are tens of kilometers wide, hundreds of 182 kilometers long, and cut into the Proterozoic basement rocks (Thomas & Bayona, 2002; Brewer, 183 2004). Thrust-related structures plunge into these TZs, abruptly terminate at lateral or oblique ramps, show offsets along transverse faults (tear faults), or break into en-echelon segments of 184 185 transfer zones (Thomas & Bayona, 2002; Thomas, 2007; Cook & Thomas, 2009). Structural strike 186 deviates within these TZs, while propagating over oblique/lateral ramp and irregular basement and 187 at junctions between lateral/oblique and frontal ramps (Bayona et al., 2003). Above a lateral/oblique ramp, hanging wall rocks are generally folded into upright, isoclinal folds driven 188 189 by local-scale, ramp perpendicular contraction in the hanging wall due to crowding of materials along that trend (Cook and Thomas 2009). Across the Cartersville TZ, deformation style, 190 191 metamorphic grade, and thrust sheet stratigraphy show sharp contrast between the Alabama recess 192 and the Tennessee salient (Tull & Holm, 2005). In the salient, passive margin and foreland

193 sedimentary sequences are much thicker and have been deformed and metamorphosed (biotite 194 grade of the Barrovian metamorphic series) more strongly than in the recess (Tull & Holm, 2005). 195 Towards the adjoining Virginia recess, a section of the Pine Mountain belt, bounded by the left-196 lateral Jacksboro tear fault and the right lateral Russel Fork tear fault (Figure 3a), demonstrates a double ramp geometry in the middle section, while a single ramp geometry around the edges 197 198 (Mitra, 1988). Presence of the orogen-parallel, large-scaled (about 35 km wide, (Brewer, 2004)) Birmingham graben beneath the southern Alleghenian thrust belt also had major controls on the 199 200 foreland sedimentation and subsequent propagation of the thrust sheet (Thomas, 2007). Transverse 201 zones in the southern Appalachians have been interpreted as inherited transform fault related to 202 the Iapeton rifting along the continental margin of Laurentia (e.g.; Figure 4) (Rankin, 1976; Thomas, 1977, 2014). 203

Cross-structures in the Appalachians of Pennsylvania and West Virginia (central Appalachians) such as the Parsons, Petersburg, and Tyrone-Mount Union lineaments (Figure 2) also have similar effects on the orogen-parallel structures as is seen in the southern Appalachians (Wheeler, 1980; Southworth, 1986). In the northern Appalachians, however, genesis of crossstructures presents much more diversity. The Serpentine Lake cross-strike discontinuity (CSD), the Bonne Bay CSD, the Canada Bay CSD, and Belle Isle CSD in Newfoundland mark the



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Figure 4: Impact of the continental margin geometry on the geometry of an orogenic front.

- 212 Embayments (Em) evolve into salients (Sa) and Promontories (Pm) evolve into recesses (Re).
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214 boundaries of second-order salients and recesses and had controls on the Taconic and younger 215 orogenic events (Figure 3b) (Cawood & Botsford, 1991). Across these structures, the depositional facies and structural style of the rock units overlying the Grenville basement change abruptly 216 217 suggesting these faults have Precambrian roots. The Grenville basement inlier terminates 218 southward at the Bonne Bay CSD (Figure 3b), which also delineates the southern limit of the 219 Acadian uplift of the Grenville Inlier (Cawood & Botsford, 1991). North of the Bonne Bay CSD, 220 thrusts and associated structures are west verging, while towards the south the vergence reverses 221 (Williams & Cawood, 1986). In southern Newfoundland and the Cape Breton islands, the Silurian 222 tectonic wedging of the Avalon craton into the Laurentian craton has resulted in a very narrow 223 Appalachian central mobile belt characterized by stronger syncollisional deformation, high-grade Barrovian metamorphism ('Kyanite and Sillimanite grade, 700°-750°C at 6-10 Kbar (Plint & 224 Jamieson, 1989), 5 kbar elsewhere'), and a greater volume of Silurian magmatism than elsewhere 225 226 (Lin et al., 1994). This intensely deformed and metamorphosed narrow band is bound by the NW-227 striking Canso fault (Figure 3c) to the southwest and the E-striking Gunflap Hills fault to the 228 northwest, interpreted as transverse, right lateral wrench faults that accommodated the differential 229 movement on either side of the wedging (Lin et al., 1994). In its western end, the Gunflap Hills 230 fault (Colman-Sadd et al., 1992) merges with the NW-striking Cape Ray fault zone (Figure 3b). 231 In eastern Nova Scotia, several NW-striking, transverse, left-lateral strike-slip faults (e.g. the 232 Country Harbour Fault) have been described as conjugates of the Minas Fault Zone (Figure 3c) (Waldron et al., 2015), which is an E-W striking 300 km long, continental transform fault in New 233 234 Brunswick and Nova Scotia that marks the boundary between the Avalon and Meguma terranes 235 (Murphy et al., 2011). Towards the west, the Paleozoic Norumbega Fault System (Figure 2) 236 demonstrates the effect of the subducting plate physiography on the upper plate structures. This 237 NE-striking, 300 km long, transpressive, dextral fault system (West Jr & Hubbard, 1997; Hubbard, 238 1999) has recently been interpreted to be a result of subduction of an oceanic ridge and related 239 transform fault (Kuiper, 2016; Kuiper & Wakabayashi, 2018). Around the orogenic front in 240 Quebec and northern Vermont, cross structures were formed due to reactivation of earlier-formed transverse, normal faults with an oblique thrust-sense or served as an oblique ramp during the
westward thrusting of the Lower to Middle Ordovician shelf-carbonates (Séjourné & Malo, 2007).

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244 2.2 Proposed Factors Controlling Lateral Heterogeneities

Lateral heterogeneity along the Appalachians has largely been controlled by the irregularities 245 246 along pre-collisional continental margins and by inherited basement structures. The eastern continental margin of Laurentia went through a series of rifting events including the Iapetan rifting, 247 248 all of which acted in concert to develop a series of embayments (concave oceanward) and promontories (convex oceanward) separated by transform faults (Rankin, 1976; Thomas, 1977, 249 250 2014). During subsequent orogenic events (Taconic, Acadian, and Alleghenian orogenies), 251 embayments evolved into salients (convex towards the foreland), promontories evolved into 252 recesses (concave towards the foreland), and the transform fault boundaries evolved into transverse 253 zones (Figure 4). As Tull and Holm (2005) noted, foreland sedimentary sections along salients are 254 much thicker than along recesses, which has a great control on the geometry and deformation style of the evolving thrust sheets (discussed in detail in the North American Cordillera section of this 255 256 article). Irregularity along continental margins also has another important control, which results in 257 a sharp lateral heterogeneity along an orogen. A collisional event between two promontories (of 258 two different landmasses) results in a narrower but stronger orogenic deformation and a higher 259 grade of metamorphism that a promontory-embayment collision (Lin et al., 1994). The evolution 260 of rift-related transform faults into transverse zones also had a profound impact on the evolution 261 of the Appalachians mountains by creating barriers or zones that truncated or offset major thrust 262 faults. Along the range front, transverse zones have served as nucleation sites for cross structures 263 in the form of lateral ramps or tear faults.

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265 **3** Cordillera

266 3.1 Tectonic Setting and Lateral Heterogeneities

The North American Cordilleran orogenic system records the Jurassic (and possibly earlier) to
Paleogene history of terrane accretion and the eastward convergence of the Farallon and Kula
plates beneath the western margin of Laurentia (North American continent) (Oldow et al., 1989;
Burchfiel et al., 1992; Saleeby et al., 1992; DeCelles, 2004; Dickinson, 2004). This convergence





272 Figure 5: Simplified tectonic and basement map around the western North American Cordilleran 273 belt. a) Tectonic map of the US and Southern Canadian Cordillera showing the major orogenic 274 curvature and the major cross-structures (modified from: Moffat & Spang, 1984; McGugan, 1987; 275 Kwon & Mitra, 2006; Cooley et al., 2011; McMechan, 2012; Whisner et al., 2014; Yonkee & Weil, 2015) b) Generalized basement map of the western North America. The Sr=0.706 line 276 277 represents the eastern boundary of the accreted terranes (source: Foster et al., 2006; Whitmever & 278 Karlstrom, 2007; McMechan, 2012; Yonkee & Weil, 2015; Ronemus et al., 2020). 279 Acronyms: CMB: Colorado Mineral Belt; CTZ: Charleston Transverse Zone; GFTZ: Great Falls

280 Tectonic Zone; LCL: Lewis and Clark Line; LTZ: Learnington Transverse Zone; MRTZ: Mount

281 Raymond Transverse Zone; Or: Orfino Shear Zone; RDZ: Red Deer Zone; SBTZ: Snowbird

282 Tectonic Zone (the Thorsby Low is located within the SBTZ); SWMTZ: Southwestern Montana

283 Transverse Zone; SWT: Selway Terrance; TN: Tintina-Rocky Mountain Shear Zone; VL: Vulcan

284 Low; WI: West-Idaho Fault.

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resulted in a number of different ranges including the Sierra Nevada, the Rocky Mountains, and 286 287 the various mountain systems of Alaska (Figure 5a). Changing plate dynamics has resulted in 288 varied deformation style and distribution throughout the Cordilleran evolution. The Late 289 Cretaceous (Beck et al., 1988; Decelles et al., 1991) transition of deformation style from the thin-290 skinned, taper-wedge in the US Sevier fold-thrust belt to predominantly thick-skinned, basement-291 involved Laramide orogenesis is one of the remarkable changes. Initiation of the Laramide system 292 uplifts has generally been correlated to the subduction of a flat-slab segment (e.g.; Coney & 293 Reynolds, 1977; Dickinson & Snyder, 1978; Saleeby, 2003; Chapin, 2012). North of the Canadian 294 border, the Laramide-style deformation is absent. Orogenic collapse of the Cordilleran system, focused on the Sevier belt, initiated during Middle to Late Eocene due to the change in relative 295 296 plate kinematics and has resulted in the active Basin and Range province of extensional 297 deformation (e.g.; Constenius, 1996; Yonkee & Weil, 2015). The contractional phase of deformation resulted in spectacular range-parallel fold and thrust belts (Yonkee & Weil, 2015), 298 299 yet there are important lateral heterogeneities and a number of cross structures along the Cordillera.

300 Basement provinces and their suture zones and major faults along the western margin of 301 the North American continent include the Snowbird Tectonic Zone (Ross et al., 1991; Hope & Eaton, 2002), the Archean Hearne Province (Hope & Eaton, 2002), the Archean Medicine Hat Block (Ross et al., 1991; Lemieux et al., 2000); the Archean Wyoming Province (Wooden & Mueller, 1988; Mogk et al., 1992), and the Paleoproterozoic Yavapai and Mazatzal Provinces (Foster et al., 2006; Whitmeyer & Karlstrom, 2007), from north to the south (Figure 5b). The Trans-Hudson Orogen and the Superior Craton lie towards the east, around the central region of the North America. Towards the west, the basement block include the Archean Grouse Creek Block and the Selway Terrane (Foster et al., 2006).

309 Transverse crustal boundaries between these provinces the other and 310 Archean/Paleoproterozoic transverse basement structures are one of the primary causes of lateral 311 heterogeneity in the Cordillera due to their influence in the subsequent sedimentary and deformational history (e.g.; Paulsen & Marshak, 1999; Sears & Hendrix, 2004; McMechan, 2012). 312 313 Significant crustal-scale, transverse boundaries around the southeastern Canadian Cordilleran 314 front (Alberta) include the Thorsby Low, a crustal suture within the Snowbird tectonic zone (Ross 315 et al., 1991; Hope & Eaton, 2002), the Red Deer zone, the northern boundary of the Hearne 316 Province (Hope & Eaton, 2002), and the Vulcan Low, a crustal suture between the Medicine Hat 317 Block and Hearne Province (Figure 5b) (Hoffman, 1988; Ross et al., 1991). These structures and 318 terrane boundaries served as loci for activation of transverse zones during the deposition of the 319 Mesoproterozoic Belt-Purcell sequence (Benvenuto & Price, 1979; Foo, 1979; Root, 1987; 320 Anderson & Davis, 1995; McMechan, 2012). Multiple other transverse structures were also 321 initiated in Mississippian and Triassic as normal faults above these transverse boundaries (Cooley 322 et al., 2011; McMechan, 2012). Segments of some of these transverse zones were reactivated as 323 dextral, oblique reverse faults or as tear faults during Jurassic to Eocene in response to 324 compressional stresses (Bielenstein, 1969; Foo, 1979; Price, 1981; McMechan & Price, 1982; 325 McMechan, 2000; Cooley et al., 2011). Similar impacts of basement structures on the evolution 326 of thrust belts have been reported from other domains in the Canadian Cordillera (e.g.; Pride et al., 327 1986; Thompson, 1989; Pilkington et al., 2000; Berger et al., 2008). In northern British Columbia, 328 a geometric inversion of the northern end of a NW-trending "basement uplift" during the Sevier 329 contraction resulted in the formation of NE to E-trending (transverse) contractional structures, 330 which were superimposed on the regional NW-striking structures (McMechan, 2007). Aside from their influence in the sedimentary and deformational history, these basement structures and terrane 331

boundaries also formed suitable conduits for melt transport and served as loci for igneousintrusions and mineralization (McMechan, 2012).

334 The Sevier FTB is generally classified into the Main Ranges, the Front Ranges, and the 335 Foothills, from the hinterland to the foreland, in the northern US and the southern Canada. Cross 336 structures have been observed in all these sub-divisions at different scales. In the Main Ranges of 337 British Columbia (Purcell Mountains), Mesoproterozoic and Eocambrian synsedimentary faults 338 have been reactivated as dextral, east-striking, transverse, thrust faults (Figure 5a) during Mesozoic 339 and Cenozoic (Höy & Heyden, 1988; Larson et al., 2006; McMechan, 2012). Several transverse 340 faults have also been identified in the Front Ranges (e.g.; Moffat & Spang, 1984; McGugan, 1987; 341 Fermor, 1999; McMechan, 2001; Norris, 2001). In the foothills, the north to NW-trending 342 Livingstone Range anticlinorium consists of en-echelon, chevron-style fault-propagation folds, 343 which have been compartmentalized by multiple tear faults and transverse zones of intense 344 fracturing (Cooley et al., 2011). Anticlines plunge into these tear faults and form domal 345 culminations. Tear faults also mark along-strike changes in fold-style, from chevron to concentric 346 and changes in the presence or absence of back-thrusts. These tears are thought to be the results of 347 syn-thrusting reactivation of preexisting NE-striking Paleoproterozoic basement faults, some of which were active during Mississippian (Cooley et al., 2011). 348

The Great Falls Tectonic Zone (GFTZ; Figure 5) in Montana is a NE-trending, transverse 349 350 (in relation to the Cordillera) crustal suture between the Medicine Hat Block and the Wyoming 351 Craton (O'Neill & Lopez, 1985). This deformation zone was initiated prior to ~1.9 Ga as a 352 convergent boundary and subsequently evolved into a transpressive boundary in response to the 353 eastward movement of the Wyoming Craton (Dahl et al., 1999; Mueller et al., 2002; Gifford et al., 354 2014). Cenozoic alkali magmatism, belonging to the Montana Alkali Province, occurred within 355 this zone (Gifford et al., 2014). In central Montana and Idaho, the Mesoproterozoic Belt rifting 356 most likely led to the initiation of the Lewis and Clark fault zone from the southern margin of the 357 GFTZ (Harrison et al., 1974; Reynolds, 1979; Wallace et al., 1990; Sears & Hendrix, 2004; Foster et al., 2006). The Lewis and Clark fault zone (Figure 5a) is a WNW-trending, >800 km long, 358 359 system of 'steeply dipping strike-slip, oblique-slip, and dip-slip faults', which obliquely cuts across 360 the Sevier belt (Foster et al., 2007). During the Mesozoic-Cenozoic Cordilleran orogeny, this fault 361 zone deformed as a ca. 40 km wide, sinistral shear zone with 'transpressional flower structures' 362 (Hyndman et al., 1988; Sears et al., 2000; Sears & Hendrix, 2004). With the onset of the Basin and

Range extension in the Eocene, polarity of the fault movement reversed, and this zone served as a
dextral, extensional, TZ that facilitated the exhumation of metamorphic core complexes (Figure
5a) (Foster et al., 2007).

366 In the Sevier FTB (USA), the geometry of basement structures had first-order control on 367 the formation of orogenic curvatures and on the evolution of their transverse boundaries (e.g.; 368 Paulsen & Marshak, 1999). A deeper basin generally corresponds to a thicker sedimentary column and more material available to be incorporated into the deforming taper, which results in a wider 369 370 wedge (salient) (e.g.; Marshak & Wilkerson, 1992; Boyer, 1995). The Helena salient likely formed 371 over an E-trending, asymmetrical depositional trough of the Middle Proterozoic Belt basin called 372 the Helena embayment (Figure 5b) (Harrison et al., 1974). A north-dipping Middle Proterozoic 373 normal fault on the southern edge of the embayment probably evolved into the Southwest Montana 374 Transverse Zone (SWMTZ), which forms the southern boundary of the salient with the Dillon 375 recess (Whisner et al., 2014). Thrusts and related structures in the southern domain of this salient 376 are strongly converging into the right-lateral, reverse faults within the SWMTZ, due to a gradual 377 clockwise rotation of the shortening direction during their evolution (Whisner et al., 2014).

378 Further south, controls of the basin boundary geometry on the evolution of transverse zones 379 have been exemplified by the Mount Raymond Transverse Zone (MRTZ) and the Charleston TZ. 380 The MRTZ and the Charleston TZ form the northern and the southern boundaries of the 381 Uinta/Cottonwood arch (recess) with the Wyoming Salient and the Provo Salient respectively 382 (Figure 5a) (Paulsen & Marshak, 1997, 1998). Paulsen and Marshak (1999) noted contrasting structural styles between these two zones and explained this contrast in light of corresponding 383 384 basement structures. An east-west trending asymmetric basement high, with a gentle northern 385 flank and a steep southern flank, existed just north of the present Uinta/Cottonwood arch, along the boundary between the Archean Wyoming province (north) and Proterozoic terranes (south) 386 (Paulsen & Marshak, 1999). A gentler northern flank meant that the sedimentary thickness 387 gradually increased northwards from the Uinta recess into the Wyoming Salient. The MRTZ 388 389 initiated above this flank as NNE-trending thrusts along the southern margin of the Wyoming 390 salient, which were later tilted northward creating an E-W strike during the uplift of the 391 Uinta/Cottonwood arch (Paulsen & Marshak, 1997). The steeper southern flank, however, marked an abrupt increase in sedimentary thickness towards the south and thereby formed the boundary 392 393 between two contrasting taper wedges. The Charleston TZ (Figure 5a) served as zone of lateral

ramp between the two contrasting tapers and gradually evolved into a left-lateral strike slip zone, 394 395 which accommodated the differential motion between the Uinta recess and the Provo salient 396 (Paulsen & Marshak, 1998 and references therein). The southern boundary of the Provo salient 397 with the central Utah segment is the Learnington TZ, which is an ENE trending, >50 m long, complex, cross structure (Lawton et al., 1997; Kwon & Mitra, 2006). In the salient, an initial E-398 399 directed vergence over the TZ rotated clockwise during subsequent deformational phases, which 400 likely reflects the interaction between a deforming wedge and an oblique ramp (Lawton et al., 401 1997; Paulsen & Marshak, 1999; Kwon & Mitra, 2006).

402 In southern Wyoming, the E- to NE-trending Cheyenne belt (Figure 5b) represents the 403 transverse, crustal suture/ transpressional shear zone between the Wyoming and Yavapai-Mazatzal 404 Provinces, across which the Precambrian geology, metamorphism, and metallogenesis abruptly 405 change between adjacent blocks (Karlstrom & Houston, 1984). During the Laramide orogeny, this 406 weak crustal zone was reactivated as a left-lateral transpressional structure and subsequently as a 407 right-lateral transtensional zone during the Tertiary extension (Bader, 2008). Just to the south, east-408 west trending Precambrian basement fault zones, genetically linked to the Cheyenne belt (Sims et al., 2001; Whitmeyer & Karlstrom, 2007), have been identified to influence Laramide uplift 409 410 resulting in accumulation of oil and gas resources (Bader, 2009).

411 A peculiar feature in the Laramide belt of Colorado is a 500-km long, 25-50 km-wide, linear 412 zone of numerous magmatic intrusions, known as the Colorado Mineral Belt (Figure 5a). This 413 zone marks an abrupt along-strike change in: 1) the structural trend of the Laramide uplifts (north-414 trending in the southern region versus NW-trending northwards); 2) the thickness of the Late 415 Cretaceous and Paleogene sedimentary sequences; and 3) the composition of the Laramide plutons (Chapin, 2012). This economically valuable belt has been interpreted to have formed over an 416 417 extensional boundary between two adjacent segments of the underlying Farallon flat slab (Chapin, 418 2012), which serves to demonstrate the effects of the down-going plate features and processes on 419 the upper plate structures. In the Laramide belt, preexisting basement structures and lateral 420 heterogeneities are suggested to have a control on the stress-field and thereby led to the 421 development of structures with an orientation different from the regional trend (Weil et al., 2016).

422

423 3.2 Proposed Factors Controlling Lateral Heterogeneities

424 Along the Cordillera (primarily along the Sevier FTB), pre-existing basement 425 features/structures likely had the greatest impact on creating lateral heterogeneity, which has 426 generally manifested as curvatures along the orogenic front and as variation in the geometry of 427 cross structures. Prior to the Cordilleran orogeny, transverse crustal boundaries served as loci for activation of transverse zones during various rift-related extensional features (McMechan, 2012). 428 429 Subsequently, these transverse zones were reactivated as cross structures during the orogenic contraction/extension and served to partition or distort deformation of the evolving crustal wedge. 430 431 Both transverse structures and irregular basement topography further added lateral heterogeneity through their profound control on the lateral continuity of facies and thickness of the pre and syn 432 433 orogenic sedimentary succession. Abrupt lateral changes in the facies and thickness of the sedimentary column caused the deforming wedge to partition into segments, which are separated 434 435 by cross structures of various geometries and genesis. As Paulsen and Marshak (1999) explained, a thicker sedimentary column corresponds to a farther propagation of the deforming wedge 436 437 (salients) than a thinner column (recesses). Further the geometry of the basement irregularities and transverse structures dictate the geometry of cross structures. A near vertical transverse structure 438 439 is more likely to evolve into a tear fault, whereas, an inclined structure evolves into a lateral ramp 440 (Paulsen & Marshak, 1999). And finally, features of the subducting plate (Farallon) may have 441 influenced the development of cross structures and lateral heterogeneity along the range (e.g.; 442 Chapin, 2012).

443

444 **4** Alps

445 4.1 Tectonic Setting and Lateral Heterogeneities

The European Alps formed during a Late Cretaceous collision between the European and 446 447 African plates following the closure of the Alpine Tethys, which consisted of the northern Valais 448 ocean and the southern Piemont-Liguria ocean, separated by continental crust in the middle known 449 as the Brianconnais (Tricart, 1984; Stampfli & Borel, 2002; Schmid et al., 2004; Handy et al., 450 2010). The European side of the collision was the lower plate with the Apulian/Adriatic blocks of 451 the African plate forming the upper plate (Dewey et al., 1998; Handy et al., 2010). The Apulian 452 plate refers to all continental domains located south of the Alpine Tethys. The Adriatic micro-plate 453 or Adriatic indenter, a part of the Apulian plate, is situated south of the modern-day Periadriatic 454 Fault System (PA; Stampfli & Borel, 2002; Schmid et al., 2004; Handy et al., 2010). Today this

455 orogen trends approximately east-west in the Eastern and Central Alps and has a NE-SW 456 orientation in the Western Alps (Figure 6). Major range-parallel lithotectonic units of the Alpine 457 orogen are from north to south, the European foreland, Jura Mountains, Molasse Basin (Oligocene-458 Miocene Foreland), Helvetics, Penninic zone, Austroalpine, Southern Alpine, and Po Basin (retroarc basin for the Alps and foreland basin for the Apennines) (Pfiffner, 2014). The Helvetic domains 459 460 are derived from the Mesozoic and Cenozoic shelf and upper slope deposits along the southern 461 European plate margin, and in places include the Pre-Triassic basement (Zerlauth et al., 2014). For simplicity, the classic term 'Penninic nappes/zone' has been largely used in the literature to denote 462 463 the tectonic units derived from the subducted European margin, the Valais ocean, the Piemont-464 Liguria ocean, and the Brianconnais continental crust (e.g.; Schmid et al., 2004; Pfiffner, 2014). Parts of the Apulian plate to the north and south of the PA are represented by the Austoalpine and 465 466 Southern Alpine units respectively (Polinski & Eisbacher, 1992; Schmid et al., 2004). While the 467 Austroalpine unit dominates the eastern Alps, this unit has fully eroded away in the Western Alps, 468 exposing up to blueschist to eclogite facies rocks of the Penninic unit and sub-greenschist facies 469 rocks of the Helvetics (Pfiffner, 2014). The rheologically strong Dolomites, the Adriatic indenter, 470 lie within the Southern Alpine unit.

471 Following the initial collision, the eastern Alps underwent E-W directed orogen parallel 472 extension in the Miocene (Oligocene; Ring, 1994; Steck, 2008). This extension has been referred to as "lateral extrusion" (Ratschbacher et al., 1991). The lateral extrusion has been interpreted as; 473 474 i) coupling of compression and gravitational collapse (Ratschbacher et al., 1991), ii) upper plate 475 extension due to roll back of a subduction zone beneath the Carpathian orogen (Royden et al., 476 1983; Royden, 1993; Horváth & Cloetingh, 1996; Sperner et al., 2002), iii) northward indentation 477 of the southern Dolomites (Rosenberg et al., 2004; Rosenberg & Garcia, 2011; Reiter et al., 2018), 478 and iv) an extension related to the roll back of the Mediterranean plate in the west (Ring & Gerdes, 479 2016). This Miocene extension has been accommodated along a series of orogen-parallel, strike-480 slip faults and orogen-perpendicular, normal faults. Modern-day topographic evolution was largely 481 controlled by these faults as much of the lateral heterogeneity we see along the range (Bartosch et 482 al., 2017). Major orogen-parallel strike-slip faults in the Eastern and Central Alps are the 483 Periadriatic Fault System (PA), the Defreggen-Antholz-Vals Fult (DAV), Salzach-Ennstal-Mariazell-Puchberg Fault (SEPM), Inntal Fault (IN), and Mur-Murz Valley Fault (MM) (Figure 484 485 6). The PA trends E-W for ~700 km and forms a rheological boundary between the weaker Eastern

Alps and the relatively stronger Southern Alps (Robl & Stüwe, 2005). From the west to east, the 486 PA consists of the Tonale (or Insubric) Line, Giudicarie Fault System, Mauls, Puresetral, and 487 488 Gailtal segments (Figure 6). Different segments of the PA were active during 32-29 Ma and 22-16 489 Ma (Müller et al., 2001). North of the PA (Pustertal and Mauls segments), the sinistral, normal DAV runs E-W, for ~80 km and forms the southern boundary of alpine metamorphism (Müller et 490 al., 2000; Bartosch et al., 2017). Within the Austroalpine domain, the EW-striking, ~400 km long, 491 SEPM has a cumulative left-lateral slip of about 60 km (Urbanek et al., 2002) and separates the 492 Mesozoic Northern Calcareous Alps (NCA) from the Middle Austroalpine basement rocks 493 (Bartosch et al., 2017). The NE-striking, sinistral MM was active during 17-13 Ma (Dunkl et al., 494 495 2005) as a conjugate of the NNW-striking, dextral Pols-Lavanttal fault system (PL) (Bartosch et 496 al., 2017).





500 Figure 6: Geological map of the Alps showing the major lithotectonic units, major strike-slip faults (golden lines), and major cross-faults (red lines) (after Laubscher, 1985; Polinski & Eisbacher, 501

502 1992; Schönborn, 1992; Linzer et al., 1995; Castellarin et al., 2006; Pfiffner, 2014; Zerlauth et al.,

503 2014; Ring & Gerdes, 2016; Bartosch et al., 2017).

504 Acronyms: AG: Alpenrhein Graben; A-R: Aiguilles Rouges Massif; Br: Brenner Fault; BTZ: 505 Ballabio-Barzio Transfer Zone; EN: Enagdin Fault; GA: Gailtal Fault; IN: Inntal Fault; Ja: Jaufen Fault; Ka: Katschberg Faults; LF: Lammertal Fault; LL: Lecco Line; LMD: Lepontine 506 507 Metamorphic Dome; M-B: Mont-Blanc Massif; MF: Meran-Mauls Fault; MM: Murz-Valley 508 Fault; MV: Moll Valley Fault; NGF: North Giudicarie Fault System; PL: Pols-Lavanttal fault system; PU: Purestal Fault; RVF: Rhine Valley Fault; SEPM: Salzach-Ennstal-Mariazell-509 Puchberg Fault; SGF: South Giudicarie Fault System; Si: Simplon Fault Zone; ToL: Tonale 510 511 (Insurbic) Line; TW: Tauern Window; WGF: Wolfgangsee Fault.

512

513 4.1.1 Cross Structures in the Eastern and Central Alps

514 Major transverse, normal faults in the eastern and central Alps are the Simplon Fault Zone (Si), 515 the Pols-Lavanttal fault system (PL), the Brenner Fault (Br), the Moll Valley Fault (MV), and the 516 Katschberg Faults (Ka) (Figure 6). The Brenner and Katschberg Faults mark the western and 517 eastern edges of the Tauern Window respectively (Behrmann, 1988; Selverstone, 1988; Fiigenschuh et al., 1997), where blue-schist and eclogitic facies rocks of the Penninic zone have 518 519 been exposed (Pfiffner, 2014). Exhumation of the Tauern Window has been widely linked to 520 Miocene extension. Rosenberg and Garcia (2011) argue that localized intensive folding 521 deformation due to an irregular geometry of the Dolomite indenter coupled with erosion can also 522 exhume deep-seated rocks without a significant crustal extension. The southern edge of the window has been cut by the NW-striking dextral, normal MV fault. Gently west-dipping mylonitic 523 fabric, with top-to-the-west shear along the Brenner Fault (Behrmann, 1988; Selverstone, 1988), 524 525 has been overprinted by steeply west-dipping cataclastic zones (Prey, 1989). Much like the 526 Brenner Fault, the Simplon Fault zone is a low-angle, SW-dipping, extensional fault that exhumes 527 the Lepontine Metamorphic Dome in its footwall. Mylonitic shearing that formed the Simplon 528 Fault zone initiated at 30 Ma (Campani et al., 2010) and was overprinted by brittle detachment 529 faults of the Simplon Line from 14.5-10 Ma until 3-5 Ma (Hubbard & Mancktelow, 1992; 530 Mancktelow, 1992; Grosjean et al., 2004; Campani et al., 2010). Both the Brenner and Simplon 531 Faults expose a telescoped crustal section via an initial ductile shearing and subsequent brittle faulting (Grosjean et al., 2004; Campani et al., 2010; Mancktelow et al., 2015). It has been 532

proposed that Brenner and Simplon extension may be related to step-overs in the range-parallel
dextral strike-slip deformation in the Neogene (Schmid et al., 1989; Hubbard & Mancktelow,
1992).

536 Around the eastern Alpine periphery, the NW-trending, NE-verging, Paleogene folds in Permo-Mesozoic cover of the Austroalpine are cut by sets of NE-striking, high-angle, cross-faults 537 538 (Figure 6) (Polinski & Eisbacher, 1992). In the Miocene, both the Austroalpine and Southern Alpine units were folded into NE-trending folds, which are dissected by a system of NW-trending 539 high angle, dextral cross-faults, which includes the 150 km long PL. These Miocene cross faults 540 either offset or merge into the PA and partition deformation between the contractional Alpine 541 542 domain and the extensional Pannonian basin (Polinski & Eisbacher, 1992). In the western Alps, NE to E-striking transverse faults are represented by the Neogene normal faults with a few faults 543 544 showing minor left-lateral reactivation (Sue & Tricart, 2003) and the late to post Oligocene rightlateral, strike-slip faults (Malusà, 2004; Perello et al., 2004; Perrone et al., 2011). Anti-clockwise 545 546 rotation of the Apulian plate has been attributed as the cause of the orogen-parallel dextral 547 displacement and the NE to SW extension (Hubbard & Mancktelow, 1992; Calais et al., 2002).

548 The Giudicarie Fault System (GFS) is a system of WNW-NW dipping fault-links between 549 the Tonale and Pustertal line of the PA (Castellarin & Cantelli, 2000; Mancktelow et al., 2001; 550 Müller et al., 2001; Viola et al., 2001). The Meran-Mauls (MF) and the Northern Giudicarie Fault (NGF) within the GFS form the trace of the PA, while the Southern Giudicarie Fault (SGS) is 551 552 entirely located in the Southern Alps. The Jaufen Fault, as a secondary fault within the GFS, has 553 been considered as a potential continuity of the Brenner Fault (Rosenberg & Garcia, 2011). An 554 explanation of the genesis of the GFS assumes evolution of an initially curved section of the PA, 555 which underwent Neogene sinistral transpression over an inherited NE-trending horst and graben 556 structure (Castellarin & Cantelli, 2000; Müller et al., 2001; Viola et al., 2001). A second 557 explanation, however, considers an initially straight PA bent by the Late Oligocene to Early 558 Miocene, northward movement of the Dolomite indenter. The MF represents a section of the bend, 559 which was subsequently cut by the NGS (Laubscher, 1971; Schmid et al., 1996; Frisch et al., 1998; 560 Pomella et al., 2012). The SGF has been interpreted as a Serravallian-Tortonian transfer fault 561 between the Guidicarie belt and the pre-Adamello belt of the Southern Alps (Castellarin et al., 2006). The Adamello batholith is an Upper Eocene and Lower Oligocene batholith, the northern 562 rim of which has been sheared by the Tonale line (Brack, 1981; Laubscher, 1985; Zanchetta et al., 563

564 2011). Just to the east of the NGS, a shallow, NNE-striking, sinistral transpressive fault has been
565 mapped in the Southern Alps (Fondriest et al., 2015).

566

567 4.1.2 Cross Structures in the Southern Alps

568 Basement rocks in the Southern Alps have undergone extension in the Permian, resulting 569 in ENE-trending faults in Triassic, NS-trending faults, and in Jurassic and Cretaceous EW-trending 570 faults (Gaetani et al., 1986; Schumacher, 1990; Bertotti et al., 1993; Picotti et al., 1997; Festa et 571 al., 2020). During the south-verging tectonic transport, these basement structures acted as 572 lateral/oblique ramps, initiated more lateral ramps, and produced complex geometries like en-573 echelon ramp folds and back thrusting (Schönborn, 1992). In the central Southern Alps, inherited 574 transfer zones cut through decoupling surfaces, partition thrust sheets into discrete blocks, and 575 thereby serve to generate a laterally heterogenous deformation style (Laubscher, 1985; Schönborn, 576 1992). Several transverse structures that have been identified in this area include the Lecco Line 577 and Ballabio-Barzio TZ (Figure 6) (Laubscher, 1985; Schönborn, 1992; Zanchi et al., 2012). The 578 central Southern Alpine thrust belt has been compartmentalized by these transverse zones (Schönborn, 1992). Moreover, many upper Triassic to Jurassic (Bernoulli, 2007), orogen-579 perpendicular (e.g.; Berra & Carminati, 2010), normal faults were reactivated during the Neo-580 581 Alpine deformation (Oligocene-Miocene; Castellarin & Cantelli, 2000) along with nucleation of 582 several transverse, sinistral, strike-slip faults (Prosser, 1998; Zanchi et al., 2012).

583

4.1.3 Cross Structures in the Northern Calcareous Alps (a subdivision of the Austroalpine unit)

The Permo-Mesozoic sedimentary succession (3-5 km thick) of the Northern Calcareous 586 587 Alps were deformed into roughly NE-trending contractional structures during Early Cretaceous to 588 Late Eocence (Gaupp & Batten, 1983; Kralik et al., 1987). The synchronous, en echelon, WNW-589 striking dextral, tear/transfer faults cut these contractional structures at all scales (Linzer et al., 1995). Some examples include the Lammertal and Wolfgangsee fault systems in the northeastern 590 591 Alps (Figure 6). Linzer et al. (1995) observed a peculiar deformation decoupling between the sedimentary cover and basement during the ongoing oblique convergence. The sedimentary cover 592 593 accommodated the convergence obliquity via deformation partitioning between the contractional 594 and strike-slip structures, while the basement deformed through 'crystal-plastic flow' (Linzer et

al., 1995). Together these displacement transfer faults and deformation decoupling caused a 30°
clockwise rotation of the entire NCA belt about a vertical axis (Linzer et al., 1995). Moreover, tear
faults related to the synorogenic inversion of a Jurassic rift-related graben shoulder have also been
identified in the NCA (Oswald et al., 2019). The Miocene, extensional, NE-trending, sinistral,
strike-slip faults, such as the SEPM and IN, cut all the earlier structures and divide the NCA into
a number of rhombohedral crustal blocks (Linzer et al., 1995).

601

602 4.1.4 Cross Structures in the Helvetics

603 In the Helvetic units, NW to N-striking tear faults were formed due to lateral variation in 604 shortening of the nappe stack, primarily during 35-30 Ma (Hunziker et al., 1986). Nappe 605 imbrication in the Helvetics changes laterally due to the absence or presence of a decoupling layer 606 (Zerlauth et al., 2014). The Permo-Carboniferous and Jurassic extensional structures along the European margin resulted in these along-strike facies changes, which subsequently controlled the 607 608 deformation style. During the nappe formation, syn-sedimentary normal faults were also 609 reactivated as lateral ramps (e.g.; the Rhine Valley Fault) and tear faults (Zerlauth et al., 2014). In the Oligocene, the NW-trending, sinistral, transtensional Alpenrhein graben was formed in the 610 611 Helvetics (Ring & Gerdes, 2016). It's conjugate, the Bonndorf-Bodensee in the Jura Mountains is 612 a NE-trending graben formed due to dextral transtension prior to 18 Ma (Hofmann et al., 2000; 613 Ring & Gerdes, 2016). The Bresse-Rhone and Oberrhien grabens in the Alpine foreland are related 614 to the European Cenozoic Rift System (Ring & Gerdes, 2016).

615

616 4.2 Proposed Factors Controlling Lateral Heterogeneities

The Eastern and Western Alps show remarkable heterogeneity in timing of major orogenic 617 events (Late Cretaceous in the Eastern Alps Cenozoic in the Western Alps), timing of the regional 618 619 metamorphism (older in the Eastern Alps and younger in the Western Alps), and overall direction 620 of tectonic transport (NW- to W-directed in the Eastern Alps vs N to NW directed transport in the 621 Western Alps) (Handy et al., 2010, page 123 and references therein). Within each lateral subdivision of the Alps, several factors have contributed to the development of cross structures and 622 623 lateral heterogeneity. Extension of the upper plate has given rise to several cross faults such as the 624 Simplon and Brenner Faults, which serve as a large-scale, displacement transfer faults between 625 major, range-parallel, strike-slip faults (e.g.; Selverstone, 1988; Hubbard & Mancktelow, 1992).

626 Lateral connecters (tear faults, lateral ramps, and displacement transfer faults) are common in most 627 of the tectonic units of the Alps. As observed in the North American Cordillera and the 628 Appalachians, extension related basement structures, in both the European and Apulian plates, had 629 controls on the lateral continuity of facies and thicknesses of sedimentary rocks and thereby on subsequent deformation, primarily in the Southern Alps and Helvetics (e.g.; Laubscher, 1985; 630 631 Schönborn, 1992; Zanchi et al., 2012; Zerlauth et al., 2014). Lateral variability in the composition 632 of the sedimentary section has influenced deformation style based on the presence or absence of 633 decollement horizons. Oblique convergence coupled with partitioning of deformation between the basement and sedimentary cover has resulted in multiple cross faults in the Northern Calcareous 634 635 Alps (Linzer et al., 1995).

636

637 5 Himalayas

638 5.1 Tectonic Setting and Lateral Heterogeneities

639 The Himalaya is our planet's most prominent example of a collisional mountain belt. While the geology of this orogen is generally presented in the context of range-parallel thrust faults that 640 641 separate lithotectonic units of differing metamorphic grade (Figure 7; Hodges, 2000), there is also 642 lateral heterogeneity in various aspects of the geology along the range and in some areas cross structures have played a role in the segmentation of the Himalaya (Mukul, 2010; Godin & Harris, 643 2014). Early geological and geophysical studies on the Indo-Gangetic plain presented evidence for 644 645 lateral variations in sediment thickness and geophysical properties along the Himalayan foreland 646 (Burrard, 1915; Oldham, 1917). In the 1970's new data from the oil and gas industry connected 647 these lateral variations to a series of NE-trending basement ridges (Sastri, 1971; Rao, 1973; Raiverman, 1983). It was also proposed that these transverse basement structures may influence 648 Himalayan deformation (Valdiya, 1976). In recent years, evidence from field surveys and 649 650 laboratory analyses confirms the lateral heterogeneity and locates structural transfer zones and 651 cross structures (Mugnier et al., 1999; Mukul, 2010; Godin & Harris, 2014; Hubbard et al., 2018; 652 DeCelles et al., 2020; Duvall, 2020).

The Himalaya is characterized by range-parallel zones of differing geologic characteristics separated by north-dipping thrust faults (Figure 7). From south to north, the Indo-Gangetic plain is separated from the Sub-Himalaya by the active Main Frontal Thrust (MFT). The Sub-Himalaya is bound to the north by the Main Boundary Thrust (MBT). The hanging wall to the MBT is the 657 Lesser Himalayan zone, which is bound to the north by the Main Central Thrust (MCT). The unit that includes the highest peaks of the range is the Greater Himalayan Sequence (GHS). 658 659 Geophysical data supports the merging of these thrusts into a master fault known as the Main Himalayan Thrust (Zhao, 1993; Avouac, 2003; Nabelek, 2009). There is an extensional fault 660 system bounding the northern GHS, the South Tibetan Detachment System (STDS; Hodges, 2000). 661 662 The hanging wall of the STDS is the Tibetan or Tethyan Sedimentary Sequence (Gansser, 1964; DeCelles et al., 2020). Each of these zones from the Sub-Himalaya to the Tibetan Sedimentary 663 Sequence and their bounding structures exhibits some degree of lateral heterogeneity along the 664 length of the range in either the expression of structural style, depositional history, 665 666 geomorphology, or seismicity.



667

Figure 7: Simplified tectonic map of the Himalaya showing the major cross structures. The beach
ball shows focal mechanism of the 2011 M_w 6.9 Sikkim Earthquake (source: the Department of
Mines and Geology, Nepal; the Geological Survey of India; Gansser, 1964; Sastri, 1971; Mugnier

671 et al., 1999; Sahoo, 2000; Searle et al., 2003; Guillot et al., 2008; Jessup et al., 2008; Godin &

672 Harris, 2014; Silver et al., 2015; Diehl, 2017; Mukul, 2018; Divyadarshini, 2019; Seifert, 2019).

673 Acronyms: AD: Ama Drime Detachment; BFZ: Benkar Fault Zone; DCFZ: Dhurbi-Chungthan

674 Fault Zone; GF: Gardi Tear Fault; Gi: Gish Fault; KF: Kosi Fault; MBT: Main Boundary Thrust,

675 MCT: Main Central Thrust, MFT: Main Frontal Thrust, MMT: Main Mantle Thrust, MZB: Main

676 Zanskar Back Thrust, STDS: South Tibetan Detachment System; TF: Tear Fault; WDTZ: Western

677 Dang Transfer Zone; WNFS: Western Nepal Fault System; YCS: Yadong Cross Structure.

678

679 In the Sub-Himalayan there is notable variability in the morphology of the range front 680 including the local presence of dun structures and a series of recesses and salients (Yeats, 1991; Mukul, 2010). In some areas the irregular mountain front has been linked to differences in 681 shortening (Dubey, 2001) and in other areas there is a connection with cross faults that mark the 682 transitions from salients to recesses. Examples of cross faults in the Sub-Himalaya include the 683 684 Yamuna and Ganga Tear Faults in the NW Himalaya and the Kosi and Gish faults in eastern Nepal 685 and Sikkim (India), respectively (Figure 7) (Sahoo, 2000; Srivastava, 2018). In the Lesser 686 Himalaya, lateral heterogeneity is seen in topographic data, seismic data, and cooling history data 687 (Harvey, 2015; van der Beek, 2016; Soucy La Roche, 2019). In western Nepal there is a zone of 688 change in a variety of parameters that has led researchers to conclude that the MHT may have a 689 ramp at that location, possibly coupled with a change in strike (Harvey, 2015; van der Beek, 2016; 690 Soucy La Roche, 2019). This change also aligns with the proposed West Dang Transfer Zone (Figure 7) (Mugnier et al., 1999). Soucy La Roche (2019) propose a connection between this 691 692 structural change and the NE-striking Lucknow fault in the Indian basement. In several areas, along-strike changes in the geometry of duplex structures in the Lesser Himalaya have also been 693 694 noted (Hauck, 1998; DeCelles et al., 2001; Grujic, 2002; Long, 2011).

In the Greater Himalaya there is lateral heterogeneity in exhumation rates (Eugster, 2018), topographic profiles (Duncan et al., 2003), the presence of leucogranitic intrusions (Weinberg, 2016), and the presence or absence of discontinuities (Carosi, 2010; Larson, 2014). Hubbard et al. (2018) recently recognized a cross structure, the Benkar Fault zone, in the Greater Himalaya of eastern Nepal (Figure 7). This structure has dextral normal displacement and was active in the past ~12 Ma. The Benkar Fault zone may continue into the Lesser Himalaya, however it is yet to be mapped to the south.

702 Microseismicity in the Himalaya has occurred along cross-strike trends, has terminated at 703 cross-strike zones, and has made other changes along cross-strike boundaries (Rajaure, 2013; 704 Mugnier et al., 2017; Bilham, 2019; Mendoza, 2019). The aftershock seismicity from the 2015 705 Gorkha earthquake terminates along a sharp NE-striking boundary east of Kathmandu in Nepal (Hubbard et al., 2016; Mendoza, 2019). In the eastern Himalaya, several seismic events have been 706 707 consistent with strike-slip displacement on transverse structures (Drukpa, 2006; Paul, 2015; Diehl, 708 2017). While a number of these events are minor, there have also been major earthquakes such as 709 the 2011 M_w 6.9 Sikkim event that was interpreted to have occurred along a NW-striking (crossstrike) plane with dextral kinematics (Figure 7) (Paul, 2015). The Sikkim event and a number of 710 711 the smaller events have originated at depths of ~50-60 km suggesting that rupture initiation was below the MHT (Drukpa, 2006; Paul, 2015) but possibly penetrating the hanging wall. Major 712 713 historic thrust-related earthquake events are known to have had a finite rupture area (Bilham et al., 2001) and tracking of these areas has facilitated the recognition of seismic gaps that may represent 714 715 regions with higher risk (Bilham, 2019). It has been proposed that cross structures may limit the 716 seismic rupture area, thus contributing to the lateral heterogeneity in seismic activity (Mugnier et 717 al., 2017; Hubbard et al., 2021).

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719 5.2 Proposed Factors Controlling Lateral Heterogeneities

The combination of pre-existing transverse basement structures and the lateral variation in 720 721 sedimentary history may both contribute to the lateral heterogeneity and cross structures that we 722 see today in the Himalaya. Godin and Harris (2014) proposed a connection between variations in 723 gravity data across a broad region in the Himalaya and Tibet to ridges in the Indian basement 724 detected in the foreland. Soucy La Roche (2019) demonstrated lateral variations in cooling 725 histories in western Nepal and proposed a cross structure in the form of a lateral ramp or tear fault 726 separating the regions with differing cooling histories. This cross structure aligns with the 727 Lucknow basement fault in the Indian foreland and these authors propose that the Himalayan cross 728 structure is linked to the basement fault. Boundaries between salient and recesses in range front 729 geomorphology has also been linked to basement faults (Hubbard et al., 2021). These basement 730 faults in the foreland may also separate down-dropped blocks with thicker sedimentary sequences from higher blocks and these differences in sedimentary thickness may continue into the Himalaya, 731 732 thus influencing lateral variations in structural style (DeCelles et al., 2020). Understanding the nature of segmentation and segment boundaries may shed light on details of the mountain building

process in collisional orogens but may also help us understand how convergence is accommodated

and factors that control seismic energy propagation.

736 6 Zagros

737 6.1 Tectonic Setting and Lateral Heterogeneities

738 The Zagros orogenic belt runs for about 2000 km along the northeastern margin of the Arabian plate (Figure 8) and is the product of Miocene collision between the Arabian and Iranian 739 740 continental plates and subsequent convergence (Allen & Armstrong, 2008; McQuarrie & van 741 Hinsbergen, 2013). The eastern boundary is the dextral Zagros-Makran Transfer Zone (Regard et 742 al., 2005), and it's western boundary is the sinistral East Anatolian Fault (Falcon, 1974; Haynes & McQuillan, 1974). During Permian to lower Cretaceous, the Neo-Tethys Ocean opened between 743 744 the Arabian and Iranian plates. Closure of this short-lived ocean began in Late Cretaceous along 745 with the tectonic emplacement of ophiolites and trench sediments on the Arabian plate (Haynes & Reynolds, 1980; Berberian & King, 1981). While there are dominant range-parallel thrust faults, 746 there are also several important cross structures and other geologic features that vary along the 747 748 length of the range.

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Figure 8: Simplified tectonic map of the Zagros mountains showing the major faults and the recesses and salients. The inset on the bottom-left corner shows the location of the larger map. The Minab-Zendan Fault System (MZFS) is a major fault of the Zagros-Makran Transfer Zone (modified from Authemayou et al., 2006; Farzipour-Saein et al., 2013; Joudaki et al., 2016; Le Garzic et al., 2019). The white lines are range parallel faults and red lines indicate cross structures.

756 Acronyms: ABF: Anaran Basement Fault; BF: Belarud Fault; EAF: East Anatolian Fault; HBF:

757 Hendijan-Izeh Basement Fault; HZF: High Zagros Fault; IF: Izeh Fault; KBF: Kareh-Bas Fault;

758 KFS: Kazerun Fault System; KMF: Khark-Mish Basement Fault; KqF: Khanequin Fault; MRF:

759 Main Recent Fault; MZFS: Minab-Zendan Fault System; MZF: Main Zagros Reverse Fault; SAF:

760 Saverstan Fault; SPF: Sabz Pushan Fault; ZDF: Zagros Deformation Front (also known as the

761 Zagros Foredeep Fault).

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763 The major, range-parallel tectonic elements in the Zagros have a NW-SE trend (Figure 8) and 764 include the Main Zagros Reverse Fault (the continental suture), the Zagros Imbricate Zone, the 765 High Zagros Faults, the Zagros Folded Belt, Mountain Front Fault, the Zagros Foredeep, and the Zagros Foredeep Fault, from NE to SW (Stocklin, 1968; Berberian, 1995). A peculiar feature in 766 767 the Zagros is that crustal shortening has been accommodated by deep seated, high-angled reverse faults, which have been interpreted as reactivated, basement normal-faults initiated during the 768 769 Neotethyan rifting and spreading (Falcon, 1974; Jackson, 1980; Chauvet et al., 2004; Mouthereau 770 et al., 2012). Generally, these faults are segmented with about 85-100 km gaps in between 771 segments and are confined in the Precambrian basement and the lower stratigraphic levels of the 772 overlying sedimentary cover (Berberian, 1995; Bigi et al., 2018). The Cambrian to Pliocene 773 sedimentary cover (~5-13 km thick) overlies the Proterozoic to early Cambrian Hormoz Salt 774 (Stocklin, 1968; Falcon, 1974; Colman-Sadd, 1978). This incompetent salt unit acted as a 775 decollement horizon between the thick-skinned deformation in the basement and the thin-skinned 776 deformation in the sedimentary cover (e.g.; Berberian, 1995; Lacombe et al., 2006). Deformation 777 initiated in the Zagros Imbricate Zone at about 20 Ma or earlier (Fakhari et al., 2008), propagated 778 towards the SW and reached the Zagros Folded belt at 14 Ma (Khadivi et al., 2010).

779 Since the Neogene, a system of strike-slip faults has also been active in the Zagros 780 (Berberian, 1995). The Main Recent Fault (MRF) was initiated in late Pliocene, which is an active, 781 orogen-parallel, strike-slip fault that follows the trace of the Main Zagros Reverse Fault 782 (Tchalenko & Braud, 1974; Berberian, 1995; Authemayou et al., 2006). Oblique convergence 783 between the Iranian and Arabian plate is partially accommodated along this hinterland fault 784 (Authemayou et al., 2006). At its southeastern end, the MRF diffuses into a series of N to NNWstriking right-lateral, basement-inherited faults, grouped as the Karezun Fault System 785 786 (Authemayou et al., 2006). Major faults belonging to this zone are the Saverstan, Sabz Pushan,

787 and Kareh-Bas Faults (Figure 8) with an offset of about 100-150 km along each one (Berberian, 788 1995; Authemayou et al., 2006). During rifting of the Proto-Tethys and initial stages of the Neo-789 Tethys, these faults were activated as right-lateral transform faults in the basement rocks (Talbot 790 & Alavi, 1996). Neogene reactivation of these transverse faults has produced a dragging effect on 791 the earlier-formed, orogen-parallel folds. These faults serve to transmit and distribute the slip along 792 the MRF towards the southeast into the Zagros Folded Belt and the Foredeep (Berberian, 1995; 793 Authemayou et al., 2006). Salt diapirs have been intruded along these faults at multiple locations, 794 which suggests that these faults cut into the top of the basement (e.g.; Kent, 1979; McQuillan, 795 1991; Talbot & Alavi, 1996). A certain degree of seismic hazard is associated with these transverse 796 faults (Berberian, 1995; Authemayou et al., 2006). West of the Karezun Fault System, important 797 transverse faults are the Izeh, Balarud Fault (E-W, 130 km left lateral), the Anaran Basement Fault, and the Khanequin Fault (Figure 8) (Hessami et al., 2001; Joudaki et al., 2016; Sadeghi & 798 799 Yassaghi, 2016). All these transverse faults were active basin-bounding, normal faults, reactivated 800 as strike-slip faults, and had controls on pre and syn-orogenic sedimentation and subsequent deformation in the Zagros (Sepehr & Cosgrove, 2004). 801

Along its strike, the Zagros curves into a series of salients and recesses bounded by the transverse faults (Figure 8). Generally, these curvatures are grouped into domains and include the Fars salient, the Dezful embayment, the Izeh zone (juxtaposed with the Dezful embayment in the north), the Lorestan salient, and the Kirkuk recess from SE to NW (e.g.; Stocklin, 1968; Falcon, 1974; McQuarrie, 2004). The deformation zone is widest in the Fars salient and narrows towards the NW. The style and distribution of deformation changes notably along these curvatures, mostly driven by the presence or absence of decollement layers (e.g.; Bahroudi & Koyi, 2003).

809 Lateral heterogeneity in the Zagros is expressed through the transverse faults, the presence 810 of salt diapirs, and the salient and recesses on the mountain front as discussed above. Further 811 heterogeneity is also expressed in changes in fold geometry and the amount of shortening in 812 adjacent regions. The Fars salient is characterized by a very low taper angle, several salt intrusions, 813 and concentric folds with large amplitude (Talbot & Alavi, 1996; Sepehr et al., 2006; Mukherjee 814 et al., 2010). Towards the west, box folds and large concentric folds are dominant in the Izeh zone. 815 In the Dezful embayment, however, exposed folds are concentric folds with small wavelengths and probably overlie large concentric folds beneath a detachment surface (Sepehr et al., 2006). 816 817 The presence of a paleo-basement high, bounded by the N-striking, Hendijan-Izeh and Khark-

Mish basement faults, has been suggested beneath the Izeh and Dezful domains (Farzipour-Saein et al., 2013 and references therein). In the Lorestan salient, folds are rounded but with much smaller wavelength than in the Fars salient (Sepehr et al., 2006). Several small-scale transverse faults have also been identified around the inflection zone between the Lorestan salient and the Kirkuk recess (e.g.; Sadeghi & Yassaghi, 2016). Amount of shortening in the Fars, Dezful, and Lorestan segments are 67 km, 85 km, and 57 km respectively (McQuarrie, 2004).

824 Spatial changes in folding style have been linked to the mechanical anisotropy within the deforming sedimentary column and the depth at which those anisotropies occur (e.g.; Sepehr et 825 al., 2006). Mechanical anisotropy (presence of an incompetent layer) has a major control on the 826 827 shape of the folds (lower anisotropy equals more rounded folds) and the depth of anisotropy controls the fold wavelength (deeper anisotropy equals large folds) (Sepehr et al., 2006). The deep-828 829 seated Cambrian Hormuz Salt is about 1-2 km thick beneath the Fars salient, and possibly present in the Izeh zone, but absent beneath the sedimentary columns in the Lorestan and Dezful domains 830 831 (Bahroudi & Koyi, 2003). In contrast, the Mesozoic Kazhumdi shales or the Dashtak Evaporites in the Lorestan, and the Miocene Gachsaran Formation in the Dezful Embayment act as higher 832 833 level decoupling surfaces, while the overlying sedimentary cover has a uniform mechanical 834 stratigraphy (Sepehr & Cosgrove, 2004; Sepehr et al., 2006). The presence of decollement horizons 835 at different structural levels in the Dezful and Izeh domains has generated a peculiar ramp and flat 836 geometry in the sedimentary cover in the central Zagros (McQuarrie, 2004; Sepehr et al., 2006).

837 Stratigraphy and deformation style are also generally different from the recesses to the 838 salients (Sepehr et al., 2006). It has been suggested that the Zagros foreland in the salient deform 839 by both thin-skinned and thick-skinned deformation, whereas, the foreland deformation in recesses 840 is accommodated only by thin-skinned tectonics (Malekzade et al., 2016). Around the central 841 Zagros foreland, both the intensity of deformation and the amount of shortening increases towards 842 the west and the deformation has been partitioned by left-lateral, blind tear faults reactivated on 843 preexisting basement structures (Sarkarinejad et al., 2018; Pash et al., 2020).

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845 6.2 Proposed Factors Controlling Lateral Heterogeneities

Several ideas have been proposed to explain the sinuosity and lateral heterogeneity of
deformation style in the Zagros. These ideas include: 1) rotations of crustal blocks (Hessami et al.,
2001; Edey et al., 2020); 2) along strike variations in a viscous decollement horizon between the

basement and the sedimentary cover (Bahroudi & Koyi, 2003; McQuarrie, 2004); 3) the presence 849 850 of a lateral buttress that serves to partition deformation (Cotton & Koyi, 2000; Bahroudi & Koyi, 851 2003); 4) lateral variation in the degree of oblique convergence (McQuarrie et al., 2003; Vernant 852 et al., 2004; Vernant & Chéry, 2006); and 5) heterogenous rigidity along the plate margin (Malekzade et al., 2016). The block rotation model suggests that adjacent crustal blocks rotate with 853 854 a reverse polarity along a vertical axis, driven by movements along strike-slip faults and the 855 presence of a rigid backstop (e.g.; Hessami et al., 2001; Edey et al., 2020). These strike-slip faults 856 were activated along N-S trending, inherited basement structures attributed to the Pan-African 857 tectonics (Koop & Stoneley, 1982; Husseini, 1988; Hessami et al., 2001) and subsequent Tethyan 858 rifting (Talbot & Alavi, 1996).

Along strike variation in the distribution of the Cambrian salt unit has a major control in the 859 860 deformation along the Zagros Fold Belt. The presence of this incompetent unit served as a viscous detachment and deformation propagated much further where the salt was present than in the 861 862 domains where it is missing (Bahroudi & Koyi, 2003; McQuarrie, 2004; Farzipour-Saein et al., 863 2013). The patchy arrangement of salt deposition has been attributed to the aforementioned, 864 inherited basement structures, which could have also created tear fault-type transverse structures 865 in the hanging walls of the thrust sheets. The style of deformation is also dependent on the nature 866 of detachment horizons. Above a viscous decollement, the overlying units generally deform by 867 ductile thickening, whereas above a frictional detachment, imbrication and folding deformation 868 dominates (Bahroudi & Koyi, 2003). During deformation, the presence of transverse basement faults or a lateral change in facies can act as a lateral buttress and reorient local kinematics (Cotton 869 870 & Koyi, 2000; Bahroudi & Koyi, 2003). Obliquity of plate convergence and the frictional strength 871 along the MRF (a hinterland fault) together dictate if strike-slip partitioning initiates or not and 872 thereby can have controls on internal deformation in the Zagros belt (McQuarrie et al., 2003; 873 Vernant et al., 2004; Vernant & Chéry, 2006). Moreover, heterogenous plate-margin rigidity along 874 with the presence of embayments and indenters, can cause along-strike changes in deformation 875 patterns by affecting the local obliquity angle and favoring the escape of the upper crustal material 876 into adjacent reentrants (Malekzade et al., 2016).

877 It is likely that a number of factors have contributed to lateral heterogeneity in the Zagros. The 878 stratigraphy has played a role in terms of thickness changes causing differences in folding patterns 879 and fault geometry. The presence of localized salt layers and salt diapirs further contribute to differences in thrust displacement and local geology. The geometry of the extensional or rifts
structures that pre-date collision may have impacted the geometry of post-collisional faults.

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883 7 Andes

884 7.1 Tectonic Setting and Lateral Heterogeneities

885 The Andean mountain belt is a classic example of an active subduction margin and likely 886 represents processes that were active in the world's collisional mountain belts prior to collision. 887 Modern-day Andean topography is largely the manifestation of crustal shortening and thickening in response to the ongoing oblique subduction of the Nazca oceanic plate beneath the South 888 889 American plate (e.g.; Mpodozis & Ramos, 1989). The two plates are currently converging along a 890 N78°E vector at a rate of about 66 mm/yr (Angermann et al., 1999; Kendrick et al., 2003). The 891 Andean orogen follows a north-south trend along the western margin of the South American plate, 892 except in the Central Andes, where the orogen makes a curve known as the Arica bend (Figure 893 9a). Along the length of the mountain belt there is lateral heterogeneity exhibited at several scales. Lateral heterogeneity along the range has been observed in terms of the upper and lower plate 894 895 dynamics, topography and deformation style of the retro-arc belt, nature of the foreland basin, and 896 igneous activity.

897 At a coarse scale, the Andes exhibit lateral heterogeneity in topographic changes from north to 898 south that has led to the characterization of the Northern Andes, the Central Andes, and the 899 Southern Andes (Figure 9a). The major visible difference is in the width of the mountain belt 900 where the Northern and Southern Andes are narrow while the Central Andes is much wider and 901 includes the Altiplano and Puna plateau regions. These general topographic differences are the 902 product of differences in their terrane accretion history and subduction zone dynamics. Other broad 903 differences seen along the range include the presence or absence of active volcanism which is 904 likely related to changes in the dip angle of the subduction slab (Ramos & Folguera, 2009). During 905 early Paleozoic, the southwestern margin of South America had a history of exotic terrane 906 accretion and subduction (e.g.; Ramos, 1988). The modern-day subduction margin initiated in late 907 Paleozoic (Giambiagi et al., 2012). The Late Permian to Early Jurassic tectonic history was



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909 Figure 9: Tectonic map of the Andes. a) Map of the South American plate and the Nazca oceanic910 plate (west) showing major transverse features on the subducting Nazca oceanic plate. The red star
shows the epicenter of the Mw 8.4 2001 Peru earthquake. Red shades indicate volcanic zones. Note 911 912 the large-scale strike slip faults, indicated by yellow lines, in the Northern Andes. The inset shows 913 the extent of the 'Figure 9b' (modified from Gutscher et al., 2000; Robinson et al., 2006; Egbue & 914 Kellogg, 2010; Schepers et al., 2017). b) Geological map of the Central and Southern Andes showing the location of major cross structures. The deformation style and distribution are quite 915 916 different from the Central to the Southern Andes. (modified from Ghiglione et al., 2009; Stanton-917 Yonge et al., 2016; Schepers et al., 2017). The red lines in the 'Figure 9b' represent the Andean 918 Transverse Faults.

Acronyms: CCM: Calliqui-Copahue-Mandolegue Transfer Zone; CCVC: Cordon Caulle Volcanic
Complex; ChC: Chillan-Cortaderas Lineament; GFZ: Grijalva Fracture Zone; LATF: Lago
Argentino Transfer Fault; LOFS: Liquine-Ofqui Fault System; LVTF: Lago Videma Transfer
Fault; MFZ: Mendana Fracture Zone; MVFZ: Mocha-Villarrica Fault Zone; NFZ: Nazca Fracture
Zone; TPTF: Torres del Paine Transfer Fault; TSPP: Tarta-San Pedro-Pellado Volcanic
Alignment.

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926 characterized by crustal extension and the associated volcanism (Llambías et al., 1993). In the 927 Southern Andean front, there was backarc extension from late Triassic to Early Jurassic (Vergani 928 et al., 1995; Giambiagi et al., 2012) while the Central Andes experienced extension from late 929 Jurassic to Early Cretaceous (Galliski & Viramonte, 1988; Salfity & Marquillas, 1994). The 930 resulting orogen-parallel, rift-related, normal faults and/or transfer faults were reactivated as 931 reverse faults with strike-slip components in Cretaceous to Paleogene (Kley et al., 2005; Mescua 932 & Giambiagi, 2012). In the Southern Andes, this Neogene inversion of the extensional basins led 933 to lateral variations in the thickness and facies of the sedimentary sequences, which were 934 subsequently reflected in heterogeneities of the deforming fold and thrust belt (e.g.; Ghiglione et 935 al., 2009; Likerman et al., 2013).

The style of subduction of the down-going Nazca Plate in the Central Andean margin differs greatly from the adjacent margins to the north and south. The Central segment has had a steep subducting plate while to the north and south the oceanic plate has been subducting at a low angle since 12 Ma in the respective trench segments namely, the northern Peruvian and southern Pampean flat slabs (Schepers et al., 2017). The trench retreat has greatly outpaced the slab roll back and this difference has generated the 200-300 km long flat slab segments. The slab is thought

to have been anchored at the 660 km discontinuity, which is preventing the roll back (Schepers et 942 943 al., 2017; Chen et al., 2019). The crustal shortening along the Arica bend in the Central Andes is 944 420 km, which is much greater than shortening values of 160 km and 150 km in the Southern and 945 Northern respectively (Arriagada et al., 2008; Schepers et al., 2017). There are also differences in 946 the style of deformation related to flat slabs including the inland development of thick-skinned 947 deformation east of the crest of the Andes. Another lateral variation related to the flat slab zones is the absence of active volcanism. Several causes for the flattening of the slab have included 948 949 subduction of buoyant oceanic crust around the Nazca and Juan Fernandez Ridges or possible 950 changes in the thermal thickness of the overriding lithosphere (Pilger, 1981; Manea et al., 2012; 951 Schepers et al., 2017).

952 At a finer scale, there are lateral differences in the timing and nature of deformation along 953 the Andes. In the Northern Andes, crustal blocks are "escaping" northeastwards towards the free 954 Caribbean-North Andes boundary and away from the rigid South American Plate along the system 955 of large-scaled, strike-slip faults (Figure 9a) (Audemard et al., 2005; Audemard, 2009; Egbue & 956 Kellogg, 2010; Monod et al., 2010). The trench-parallel component of the oblique plate 957 convergence has been driving this escape for the last 1.8 Ma. This escape was likely triggered by 958 an increase in coupling between the upper and lower plate when the Carnegie Ridge entered the 959 subduction zone (Egbue & Kellogg, 2010).

960 The Central Andes segment is dominated by anomalous topography of the Altiplano-Puna 961 plateau, which was uplifted due to thermal softening of the lithosphere followed by crustal 962 shortening and accompanying arc magmatism during the orogeny (Allmendinger et al., 1997; 963 Coutand et al., 2001). Within the plateau region researchers have documented significant 964 differences in the timing of the surface uplift and crustal structure of adjacent blocks (e.g.; Bianchi 965 et al., 2013; Leier et al., 2013; Canavan et al., 2014). The fault boundaries of these blocks are 966 thought to be related to inherited basement faults, possibly bounding older accreted terranes 967 (Jordan et al., 1983) Towards the foreland, spatial location of the Cenozoic fault systems were 968 likely controlled by the presence of Paleozoic and Mesozoic basement structures (Coutand et al., 969 2001; Gillis et al., 2006). The plateaus and the adjacent ranges in the Central Andes, define the 970 highest topographic features in the world within a non-collisional setting (Isacks, 1988).

971 Another feature unique to the southern Central Andes is the fragmentation and exhumation972 of the retro-arc foreland basin (Sierras-Pampeanas) along inherited structures (Figure 9b) (Jordan

973 et al., 1983; Japas et al., 2016). Stronger coupling between the upper and lower plates in the flat-974 slab segments has been described as the driver behind inland propagation of deformation and 975 subsequently the basin uplift (Ramos et al., 2002; Oriolo et al., 2014). Just to the south of the flat-976 slab segment, Giambiagi et al. (2012) documented an abrupt southward decrease in topographic 977 uplift and crustal shortening. This sharp, lateral variation is driven by a strong lateral change in the 978 upper plate rheology, which controls the degree of coupling between the upper and lower crust, 979 such that a thick and more felsic crust has strongly coupled upper and lower slabs (Giambiagi et 980 al., 2012).

981 Thick-skin deformation in the Central Andes terminates towards the south at the northern 982 segment of the Southern Andes, which is characterized by trench-parallel, thin-skinned, fold and thrust deformation. The southern segment, however, is dominated by a system of strike-slip fault 983 984 systems. The ENE-striking, transverse, dextral Callaqui-Copahue Mandolegue (CCM) fault is thought to decouple the contrasting deformation styles between the retro-arc thrust belt (north) and 985 986 the Liquine-Ofqui Fault System (LOFS) in south (Folguera et al., 2004). The LOFS (Figure 9b) is 987 a ~1200 km long, NNE-striking, right-lateral, reverse, intra-arc fault in the southern segment 988 (Cembrano et al., 1996; Thomson, 2002; Vargas et al., 2013). Here, a series of NE-striking, en 989 echelon, dextral, normal faults splay off from the LOFS and form a strike slip duplex between two 990 sub-parallel fault branches of the LOFS (Cembrano et al., 1996). Another set of strike-slip faults cut the LOFS and associated faults and are called the Andean Transverse Faults (ATF). The ATF 991 992 (Figure 9b) are NW-striking, reverse, sinistral faults, primarily formed on inherited basement 993 structures (e.g.; Roquer et al., 2017; Sielfeld et al., 2019). Deformation partitioning occurs within 994 these faults, whereby the trench-parallel component of the oblique convergence is accommodated 995 by the LOFS and its splay faults and the ATFs accommodate the trench-perpendicular component 996 (e.g.; Stanton-Yonge et al., 2016). In the northern termination of the LOFS, the strike-slip 997 dominated domain sharply transitions into margin-parallel fold and thrust deformation (Figure 9b) 998 (Stanton-Yonge et al., 2016). These strike-slip fault systems also form excellent conduits as well 999 as reservoirs for magma and hydrothermal fluids and thereby control the locus of volcanic 1000 complexes (e.g.; Petrinovic et al., 2006; Pérez-Flores et al., 2016; Roquer et al., 2017; Sielfeld et 1001 al., 2019; Lupi et al., 2020; Piquer et al., 2020).

1002 Effects of the subduction of oceanic fracture zones on seismic activity has also been 1003 observed in the central Andes. In the subducted Peruvian slab, generally deeper earthquakes are

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1004 generated around a subducted segment boundary, the Mendana Fracture Zone (Figure 9a) 1005 (Gutscher et al., 2000). Towards the south, a fracture zone in the down-going Nazca plate (most 1006 likely the Nazca Fracture zone) is thought to have induced a fracture in the over-riding plate that 1007 acted as a temporary lateral barrier during the initial seismic rupture propagation but subsequently allowed energy to pass through this vertical plane releasing the energy of the M_w 8.4 2001 Peru 1008 1009 earthquake (Robinson et al., 2006). These examples indicate that transverse discontinuities in the 1010 lower plate (or subducting plate) can influence structures in the upper plate and can have great seismic implications. 1011

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1013 7.2 Proposed Factors Controlling Lateral Heterogeneities

Along the Andean belt, lateral heterogeneities have been primarily expressed as variation in crustal 1014 1015 shortening and topographic uplift (e.g.; Allmendinger et al., 1997; Arriagada et al., 2008; Giambiagi et al., 2012; Schepers et al., 2017), along-strike changes in the styles and timing of 1016 1017 deformation (e.g.; Ramos et al., 2002; Bianchi et al., 2013; Leier et al., 2013; Canavan et al., 2014; Oriolo et al., 2014; Stanton-Yonge et al., 2016), laterally contrasting seismicity (Gutscher et al., 1018 1019 2000; Robinson et al., 2006), and as changes in volcanic activity (e.g.; Pérez-Flores et al., 2016; 1020 Roquer et al., 2017; Sielfeld et al., 2019; Lupi et al., 2020; Piquer et al., 2020). Researchers 1021 interpret that these heterogeneities are governed by the angle of lower plate subduction (flat versus 1022 steep slab), physiography of the lower plate, obliquity of the subduction vector, preexisting 1023 basement structures in the upper plate, and the rheology of the upper plate. The angle of the lower 1024 plate subduction controls the degree of coupling between the lower and upper plates (lower angle 1025 equals stronger coupling), which in turn impacts the nature and distribution of the upper plate 1026 deformation (e.g.; Ramos et al., 2002; Oriolo et al., 2014). Subduction of physiographical features 1027 in the lower plate also can change the amount of plate coupling and generate respective 1028 topographic and seismic signatures in the upper plate or it can influence the development of cross 1029 structures (Gutscher et al., 2000; Robinson et al., 2006). Oblique plate convergence in the Southern 1030 Andes has been accommodated by a system of strike-slip and transverse faults (e.g.; Stanton-1031 Yonge et al., 2016). In the Northern Andes, however, the dynamic plate setting has favored crustal 1032 escape (e.g.; Egbue & Kellogg, 2010). Rheology of the upper plate dictates the amount of plate coupling and therefore, has controls on the rate and amount of crustal shortening and the overall 1033 1034 topographic uplift (Giambiagi et al., 2012).

1035 8 Other Orogens

1036 Lateral heterogeneities have been documented in several other mountain belts around the world 1037 (Figure 1). While there are groups of along-strike variations common across multiple mountain 1038 belts, some of the heterogeneities are the result of the broad tectonic setting and the nature of the sedimentary cover, and therefore can be unique to only a few mountain belts. Comparable to the 1039 1040 Appalachians, the Mesozoic rift structures in the External Hellenides thrust belt in Greece were reactivated as transverse zones (e.g.; the Corinth Gulf, Ierapetra, and Omalos transverse zones), 1041 1042 which partitioned the belt into a series of salients, recesses, and linear segments (Skourlis & Doutsos, 2003; Kokkalas & Doutsos, 2004; Chatzaras et al., 2013). These inherited structures also 1043 1044 disrupted the lateral continuity of the foreland sedimentary sequences and served as crustal-scale lateral/oblique ramps during thrust propagation and thereby had a significant control on the nature 1045 1046 and deformation style of the evolving taper wedge (Robertson et al., 1991; Doutsos et al., 2006; Chatzaras et al., 2013). Similarly, in the Urals, Precambrian aulacogens (failed rift arms) served 1047 1048 as transverse basement structures, above which tear-faults and lateral ramps were developed during fold-thrust propagation (Rodgers, 1990; Brown et al., 1997; Perez-Estaun et al., 1997). Cross faults 1049 1050 are common in the Apennines and are generally called anti-Apennine Faults (e.g.; Coltorti et al., 1996; Sorgi et al., 1998; Butler et al., 2006; Elter et al., 2011). The NNW-striking Apennine belt 1051 1052 is underlain by the NNE-trending crustal tectonic lineaments (Valnerina Line, Ancona-Anzio Line, 1053 Ortona-Rocca Monfina Line). These large-scale lineaments act as structural barriers (zones of 1054 abrupt lateral changes in tectonic and structural style, wedge stratigraphy, and topography) during tectonic transport and laterally limit the propagation of seismic fault rupture (Pizzi & Galadini, 1055 1056 2009; Satolli et al., 2014). There are also smaller-scaled transverse basement structures, that may 1057 either serve as seismic segment boundaries/seismic loci or get reactivated as transfer zones 1058 (Valensise & Pantosti, 2001; Pizzi & Galadini, 2009). Furthermore, transfer zones in the 1059 Apennines are also known to form suitable loci for magma emplacement (Dini et al., 2008). On a much larger scale, the differential retreat along the adjacent segments of the Adriatic plate during 1060 1061 the last 5 Ma has been manifested as a lithospheric tear/transfer zone across the Appennines 1062 (Scrocca, 2006), which may share a similar genesis to the Colorado Mineral Belt in the Cordilleran 1063 belt.

1064 Cross structures have also been interpreted to have great economic and seismic impacts besides 1065 their influence in tectonic, stratigraphic, and structural evolution (e.g.; Mahoney et al., 2017). In

1066 the Papua New Guinea fold-thrust belt, the Jurassic, extensional, transverse, crustal structures 1067 evolved as zones of economically significant copper-gold mineralization during their Late 1068 Miocene-Pliocene inversion (Davies, 1991; Corbett, 1994; Hill et al., 2002). Like in the Apennines 1069 and the Himalayas, active cross faults in the Taiwan mountains are known to serve as earthquake nucleation sites and as rupture segment boundaries (Deffontaines et al., 1997; Lacombe et al., 1070 1071 2001; Ching et al., 2011). These cross faults have genetically been linked to changing deformation style (thick-skinned vs thin-skinned) and to a lateral change in thickness of the deforming 1072 1073 sedimentary sequences (Lacombe et al., 2001; Mouthereau et al., 2002; Mouthereau & Lacombe, 2006; Ching et al., 2007). Much similar to the Zagros belt, controls of the presence or absence of 1074 a decoupling layer on the style of the evolving taper wedge (narrow wedge with a high-taper angle, 1075 when decoupling layer is absent and vice-versa) have been noted in the Caucasus belt (Upper 1076 1077 Jurassic salt and Middle-Lower Jurassic shales) in Russia (Sobornov, 1996), the Sulaiman belt (Paleozoic, Lower Cretaceous, and Eocene strata) in Pakistan (Jadoon et al., 1994), and the Parry 1078 1079 Island belts (Ordovician salt) in the Canadian Arctic (Harrison & Bally, 1988).

1080 9 Discussion

1081 Despite the general temporal and spatial continuity of crustal deformation along convergent 1082 mountain belts, significant lateral heterogeneities have been observed in several orogenic belts from around the world. There are certain lateral variations, which are unique to only a few orogens 1083 such as the lithospheric tear in the Apennines and the asynchronous orogenic events in the Alps. 1084 1085 More frequently, however, similar groups of lateral variations have been observed across multiple 1086 mountain belts. Generally, lateral heterogeneity in convergent mountain belt settings have been 1087 expressed as: 1) an along-strike change in deformation style (thick-skinned vs thin-skinned, imbrication vs duplexing/changes in ramp geometries); 2) variation in igneous activity and 1088 metamorphic grade; 3) variation in seismic activity; 4) differential topographic uplift/features 1089 1090 along the strike; and/or 5) abrupt changes in thickness and facies of sedimentary sequences in both foreland basins and within the fold-thrust belt. Oftentimes such lateral variations are abrupt rather 1091 than gradual and are marked by geological structures, mostly faults, that are nearly orthogonal to 1092 1093 the strike of the orogen, generically referred to as cross structures in this review. The causal 1094 factors/mechanisms behind the observed lateral heterogeneities are discussed in this section.

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1097 9.1 Irregular Continental Margins

The geometry of the continental margin(s), prior to the collision/convergence, has a profound 1098 1099 effect on the geometry of the evolving orogenic belt. The orogenic front may mimic the continental 1100 margin geometry (Figure 4) such that any irregularities along the margin are reflected along the deformational font. This phenomenon has been proposed in the Appalachians. During the Iapetan 1101 1102 rifting (plus the other rifting events) along the Laurentian margin, a series of embayments (concave 1103 oceanward) and promontories (convex oceanward) separated by transform faults were produced (Thomas, 2014). Along such an irregular margin, the depositional environment is bound to vary 1104 laterally. During subsequent orogenic events, promontories evolved into recesses (concave 1105 1106 towards the foreland), embayments evolved into salients (convex towards the foreland), and the 1107 transform fault boundaries evolved into transverse zones or cross structures (Thomas, 2014). 1108 Kwon and Mitra (2004) have compiled five end-member models for the kinematic development of salients as a function of principal transport direction, amount of shortening, degree of vertical 1109 1110 axis rotation, thrust displacement, and presence or absence of tear faults or lateral/oblique ramp boundaries. These models, however, can be viewed as second-order, structural controls on 1111 1112 formation of orogenic curvatures.

Lin et al. (1994) further noted that a collisional event between two promontories (of two 1113 1114 different landmasses) results in a narrower but stronger orogenic deformation and a higher grade 1115 of metamorphism than a promontory-embayment collision. It can be concluded that an irregularity 1116 along a continental margin may serve as a nucleation site for lateral heterogeneity.

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- 1118

9.2 **Inherited Basement Structures**

1119 Inherited basement structures form a first-order control on formation of cross structures. 1120 Basement structures are primarily related to continental rifting, hyper-extension of rifted margins 1121 (e.g.; Ribes et al., 2019), crustal sutures, or back-arc extension (Figure 10). Furthermore, it has been noted that a failed rift-arm (aulacogen) can also serves as an inherited basement structure and 1122 1123 control structural evolution (Rodgers, 1990; Brown et al., 1997; Perez-Estaun et al., 1997). Crustal 1124 sutures mark boundaries between two continental blocks and form as a result of collisional 1125 tectonics or terrane accretion. Generally, suture zones consist of highly deformed rock units and 1126 form weaker zones within the crust (Hope & Eaton, 2002; Whitmeyer & Karlstrom, 2007). These 1127 zones are known to be intruded by igneous bodies during the subsequent crustal stabilization

- 1128 (Whitmeyer & Karlstrom, 2007), and these intrusion boundaries can also behave as zone of
- 1129 weaknesses during various tectonic events (Simony & Carr, 1997; Bader, 2009).
- 1130



1131

- 1132 Figure 10: Various inherited basement structures and oceanic plate physiography in contractional
- settings. The gray ellipses in the 'Figure10a' represent igneous intrusions. The mountain belt that,
- in general, represents a certain structure are denoted in parenthesis.
- 1135 And: Andes; Ape: Apennines; App: Appalachians; Him: Himalayas; NAC: North American1136 Cordillera; Zag: Zagros.
- 1137

1138 9.2.1 Impacts of Basement Structures on Subsequent Sedimentation and Deformation

1139 The presence of basement structures can greatly affect the foreland sedimentation and thereby 1140 have a strong control on the evolution of mountain belts as is seen in the Zagros, the Cordillera, 1141 and the Himalaya. Basement structures can isolate deposition centers in the foreland, which induces sharp lateral variations in both the thickness and facies of the sedimentary strata. A thicker 1142 1143 foreland sedimentary sequence, when incorporated into the evolving taper wedge, will propagate much farther (salient) than a wedge consisting of a thinner sequence (recess) (e.g.; Paulsen & 1144 1145 Marshak, 1999). Differential tectonic transport between the adjacent segments (salients and recesses) is often accommodated by cross structures such as tear faults, lateral ramps, and 1146 1147 displacement transfer zones (Paulsen & Marshak, 1999). Orogen-parallel faults and folds are generally truncated at, or dragged into cross structures as seen in the Appalachians and the 1148 1149 Cordillera (e.g.; Thomas, 2007; Whisner et al., 2014).

Similarly, lateral variations in sedimentary facies will also have a significant impact on the 1150 1151 wedge evolution. An abrupt change in the structural elevation of decoupling layers results in lateral ramps (Thomas, 1990). Since thrust-ramp geometry is governed by the nature of the sedimentary 1152 1153 column, any lateral variation in sedimentary facies is likely to be reflected in the thrust geometry 1154 (e.g.; Mitra, 1988). In a broader stratigraphic framework, if the presence of regional decollement 1155 horizons (units of salts, evaporites, and shales) varies laterally, then the deformation style in 1156 adjacent segments of the mountain belts will be very different. If a decollement horizon is present, 1157 then the taper angle will be low and propagate further than adjacent areas. Likewise, in the absence of such a horizon, the taper will build up to a larger angle in a narrower belt as in the Zagros (e,g,; 1158 1159 Jadoon et al., 1994; Sobornov, 1996; Bahroudi & Koyi, 2003). The depth of these decollement 1160 horizons will also have a first order control on the fold geometry, such that a deeper horizon will 1161 result in folds with larger amplitude (Sepehr et al., 2006). Furthermore, the stratigraphic variation 1162 boundaries will also act as a lateral buttress to locally deflect the transport trajectories (Bahroudi & Koyi, 2003). If basement structures are reactivated during deposition, then syn-sedimentary 1163 1164 faults and/or drape folds can form in the overlying sedimentary column (Thomas, 1990). These 1165 structures will also impact the fold-thrust belt evolution in a similar fashion to other cross-1166 structures (e.g.; Zerlauth et al., 2014).

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1168 9.2.2 Reactivation of Basement Structures

1169 Regardless of their origin, inherited basement structures can be broadly categorized into three 1170 groups: orogen-parallel, oblique, and transverse/cross basement structures. Various styles of 1171 preferential reactivation and inversion of basement structures have been discussed in the Apennines (Tavarnelli et al., 2004; Butler et al., 2006), and are also seen in other mountain belts. 1172 1173 When orogen-parallel basement structures/faults are present, it is possible for them to be reactivated as reverse faults during orogenic compression (Figure 10a). It has, however, been noted 1174 1175 that basement faults can be deformed under compression without inversion or reactivation (Pantet et al., 2020). In a reactivation scenario, the deformation between the basement and the overlying 1176 1177 sedimentary cover is partially decoupled, whereby the basement deforms in thick-skinned style and the cover deforms as thin-skinned, fold and thrust belts (e.g.; Berberian, 1995; Lacombe et al., 1178 1179 2006). Discontinuities along the orogen-parallel basement structures will eventually result in laterally heterogeneous deformation style along the range. If the basement faults are steeply-1180 1181 dipping, then they often cut into the thin-skinned decollement faults of the sedimentary cover and act as frontal ramps during the upper, brittle deformation (e.g.; Bahroudi & Koyi, 2003). These 1182 1183 orogen-parallel basement structures also accommodate convergence via strike-slip faulting under 1184 a favorable plate kinematic setting (e.g.; Authemayou et al., 2006). Margin perpendicular and 1185 oblique structures, however, either serve as lateral/oblique ramps or get reactivated as strike-slip 1186 faults with a dip-slip component based on their orientation in the stress field. Based on their aerial 1187 extent, these inherited structures can affect a few thrust sheets, or they can partition the entire mountain belt (Scrocca, 2006; Pizzi & Galadini, 2009; Satolli et al., 2014). Cross faults in the 1188 1189 Himalayas may have had an origin in reactivated, margin-perpendicular basement faults and 1190 basement highs such as the Delhi-Hardwar ridge (Sahoo, 2000; Godin & Harris, 2014; Hubbard et 1191 al., 2018; Hubbard et al., 2021).

1192

1193 9.3 Rheology of the Crust

Variation in crustal rheology is another significant element in inducing lateral heterogeneities
in orogenic belts, which itself is a function of its composition and thermal structure. In general, a
weaker crust is more likely to buckle under contraction resulting in a high crustal relief, whereas
a stronger crust will distribute deformation in more brittle manner (Allmendinger et al., 1997;
Coutand et al., 2001). It has also been proposed that rheological heterogeneities along a continental

margin front could result in orogenic curvatures, such that a stronger segment better resists deformation and evolves as a salient (e.g.; Malekzade et al., 2016). As seen in the Zagros, frictional strength in hinterland faults, which is governed by crustal rheology, can influence the mode of deformation partitioning in the orogenic belt and thereby have a control on the style and distribution of deformation (e.g.; Vernant & Chéry, 2006). Thermal structure and rheology of the crust can vary laterally due to variations in arc magmatism. Therefore, previous tectonic events will also have an indirect impact on lateral heterogeneity of an orogen.

Giambiagi et al. (2012) proposed that a stronger coupling between the upper and lower crust deformation corresponds to a higher, surficial topography and greater crustal shortening. Crustal coupling is thought to be governed by crustal composition such that a thick and more felsic crust has a stronger coupling between the upper and lower units than a thin and mafic crust. Thus, if there is strong lateral variation in crustal properties due to tectonic events such as terrane accretion or rifting then such variation will be manifested as laterally heterogenous deformation segments.

In the Alps, intensive folding and uplift of the Tauern window has been linked to the northward encroachment of the Dolomite acting as an indenter (Rosenberg & Garcia, 2011), which indicates that rheology of both converging crustal blocks have an impact on the morpho-tectonic evolution of an orogen. As Butler et al. (2006) pointed out, the correlation between precise lithospheric strength profiles and orogenic deformation would further elucidate our understanding about the role of upper crustal rheology during orogenesis.

1218

1219 9.4 Plate Dynamics and Physiography of the Lower Plate

1220 Plate dynamics between the upper and lower plate as well as the lower plate structures can 1221 disrupt the lateral homogeneity of orogenic belt (Figure 10b). Effects of lateral change in the style 1222 of subduction in the deforming orogen have contributed to lateral heterogeneity in the Andes. Any 1223 transverse structure in the lower plate is likely to be inherited in the upper plate, such as the Colorado Mineral Belt and the lithospheric tear in the Apennines (e.g.; Figure 10a) (Scrocca, 2006; 1224 1225 Chapin, 2012). These structures in the lower plate impartially dissect the orogen rather than 1226 controlling the deformation style. The dynamics of the lower plate, however, generally differs 1227 across these transverse structures, which impacts the foreland sedimentation and fold-thrust belt evolution (Chapin, 2012). A similar phenomenon has been observed in cases where oceanic 1228 1229 fracture zones (Figure 10b), orthogonal to the subduction zone, get subducted and result in the

occurrence of cross faults in the over-riding plate. This scenario has been proposed on the Juan de
Fuca plate subduction (Goldfinger, 1997), in Sumatra (Graindorge, 2008), and in the Andes
(Robinson et al., 2006). Although not a cross structure, the Norumbega Fault System in the
Appalachians has been regarded as a surface manifestation of a subducted oceanic ridge-transform
system (Kuiper, 2016; Kuiper & Wakabayashi, 2018).

In orogens associated with oceanic subduction, variations in the relative rates of subduction versus convergence can create lateral heterogeneity in the over-riding plates as is seen in the Andes. When subduction outpaces convergence such that the convergent boundary retreats, backarc extension occurs. These areas may have contrasting evolution when compared to adjacent areas where there is a better balance of the subduction versus convergence rates.

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1241 9.5 Obliquity of Plate Convergence

Lateral heterogeneity induced by oblique convergence is scale dependent. Obliquity of the 1242 1243 plate convergence is generally accommodated by arrays of transtensional, transpressional, and strike-slip faults. In the Andes, transpressional cross faults are associated with mafic volcanism, 1244 1245 while transtensional faults are linked with felsic magmatism as driven by magmatic viscosity 1246 difference (Petrinovic et al., 2006). At the scale of individual faults, these cross-faults disrupt the 1247 continuity of the fold-thrust belt as noted in the southern Andes and the Northern Calcareous Alps 1248 (Zerlauth et al., 2014; Stanton-Yonge et al., 2016). Lateral variation in the degree of oblique 1249 convergence itself, however, will also induce lateral heterogeneity in the orogenic belt due to an 1250 overall change in the stress field (McQuarrie et al., 2003; Vernant et al., 2004; Vernant & Chéry, 1251 2006).

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1253 9.6 Further Implications of Cross Structures

Besides their role in foreland sedimentation and tectonic evolution of orogens, cross faults also have implications in the seismicity, mineralization, igneous activity, numerical modelling, and in topography of mountain belts. In the Zagros, a small seismic hazard has been linked to cross-faults (Berberian, 1995; Authemayou et al., 2006). Cross-faults in the Apennines are known to either serve as seismic segment boundaries or seismic loci (Valensise & Pantosti, 2001; Pizzi & Galadini, 2009). Similarly, in Taiwan, cross-faults are known to act as earthquake nucleation sites and as rupture segment boundaries (Deffontaines et al., 1997; Ching et al., 2011). Microseismicity in the

1261 Himalayas has occurred along cross-strike trends, has terminated at cross-strike zones, and has 1262 made other spatial changes along cross-strike boundaries (Rajaure, 2013; Mugnier et al., 2017; 1263 Bilham, 2019; Mendoza, 2019). A transverse fault in the down-going Nazca plate had an enormous 1264 impact during the 2001 Peru earthquake (Robinson et al., 2003). From the economic standpoint, cross-faults form excellent conduits as well as reservoirs for magma and hydrothermal fluids 1265 1266 (McMechan, 2012), which makes them target sites for economic mineral deposits. In the Papua 1267 New Guinea fold-thrust belt, transverse zones form sites of economically significant copper-gold 1268 deposits (Davies, 1991; Corbett, 1994; Hill et al., 2002). The Colorado Mineral Belt is another example of valuable mineral accumulation along a cross-structure (Chapin, 2012). Moreover, 1269 1270 cross-structures can form potential traps for oil and gas accumulation, which, in some areas makes them an important site for hydrocarbon exploration (Wheeler, 1980; Séjourné & Malo, 2007; 1271 1272 Bader, 2009). Fault systems can form excellent conduits and reservoirs for magmatic fluids and 1273 therefore can control the locus of volcanic complexes in convergent settings (e.g.; Petrinovic et 1274 al., 2006; Pérez-Flores et al., 2016; Roquer et al., 2017; Sielfeld et al., 2019; Lupi et al., 2020; Piquer et al., 2020). Thermo-mechanical tectonic models could potentially be rectified by changing 1275 1276 the lithospheric parametric values along the strike such that they agree with the lateral variation in 1277 crustal deformation, as can be observed on the surface. Finally, cross-structures also have a major 1278 control on the geomorphologic evolution of mountain belts. In the Himalayan front, cross faults 1279 spatially coincide with river channels (Sahoo, 2000; Srivastava, 2018). The anti-Apennine faults 1280 have distinct topographic signatures (Coltorti et al., 1996). Cross-faults can be zones of weaknesses, and therefore, can also amplify erosional hazards, especially in active mountain belts. 1281

1282 10 Conclusions

While convergent mountain belts are dominated by spatially and temporally continuous 1283 1284 orogen-parallel structures, geological and geophysical data shows that various forms of lateral heterogeneity, often marked by cross structures, are ubiquitous in most orogenic settings. In 1285 general, lateral heterogeneities along orogens have been manifested as: 1) along-strike changes in 1286 1287 deformation style; 2) variation in igneous activity or metamorphic grade; 3) variation in seismic 1288 activity; 4) changes in topography and geomorphology; and 5) abrupt lateral stratigraphic changes. 1289 Common drivers behind these lateral heterogeneities include the geometry of the continental 1290 margin, inherited basement structures, lateral variation in stratigraphy of deforming sedimentary 1291 sequences, variation in crustal rheology, along-strike changes in plate tectonic setting,

1292	physiography of the lower plate, and obliquity of plate convergence. In most settings, these factors
1293	are interrelated and simultaneously influence the morpho-tectonic evolution of an orogen. Apart
1294	from their influence on foreland sedimentation and orogenic evolution, lateral heterogeneity and
1295	cross structures can have an impact on patterns of seismicity, natural resource occurrence, and
1296	natural hazards. We therefore stress the importance of documenting heterogeneity, mapping cross
1297	structures, and understanding the role these lateral changes play in mountain belt development
1298	along convergent margins.
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