Observation and parameterization of bottom shear stress and sediment resuspension in a large shallow lake

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Abstract

Parameterizations for bottom shear stress are required to predict sediment resuspension from field observations and within numerical models that do not resolve flow within the viscous sublayer. This study assessed three observation-based bottom shear stress (τ b) parameterizations, including (1) the sum of surface wave stress and mean current (quadratic) stress (τ b= τ w + τ c); (2) the log-law (τ b= τ L); and (3) the turbulent kinetic energy (τ b= τ TKE); using two years of observations from a large shallow lake. For this system, the parameterization τ b= τ w + τ c was sufficient to qualitatively predict resuspension, since bottom currents and surface wave orbitals were the two major processes found to resuspend bottom sediments. However, the τ L and τ TKE parameterizations also captured the development of a nepheloid layer within the hypolimnion associated with high-frequency internal waves. Reynolds-averaged Navier-Stokes (RANS) equation models parameterize τ b as the summation of modeled current-induced bottom stress (τ c,m) and modelled surface wave-induced bottom stress (τ w,m). The performance of different parameterizations for τ c,m and τ w,m in RANS models was assessed against the observations. The optimal parameterizations yielded root-mean-square errors of 0.031 and 0.025 Pa, respectively, when τ c,m, and τ w,m were set using a constant canonical drag coefficient. A RANS-based τ L parameterization was developed; however, the grid-averaged modelled dissipation did not always match local observations, leading to O(10) errors in prediction of bottom stress. Turbulence-based parameterizations should be further developed for application to flows with mean shear-free boundary turbulence.

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18	Key Points:						
19 20	• Three observation-based bottom shear stress parameterizations were assessed in a large shallow lake						
21 22 23	• The parameterizations of bottom shear stress in Reynolds-averaged Navier-Stokes equation models was assessed against the observations						

24 Abstract

Parameterizations for bottom shear stress are required to predict sediment resuspension from 25 field observations and within numerical models that do not resolve flow within the viscous 26 27 sublayer. This study assessed three observation-based bottom shear stress (τ_b) parameterizations, including (1) the sum of surface wave stress and mean current (quadratic) stress ($\tau_b = \tau_w + \tau_c$); 28 (2) the log-law ($\tau_b = \tau_L$); and (3) the turbulent kinetic energy ($\tau_b = \tau_{TKE}$); using two years of 29 observations from a large shallow lake. For this system, the parameterization $\tau_b = \tau_w + \tau_c$ was 30 sufficient to qualitatively predict resuspension, since bottom currents and surface wave orbitals 31 were the two major processes found to resuspend bottom sediments. However, the τ_L and τ_{TKE} 32 parameterizations also captured the development of a nepheloid layer within the hypolimnion 33 associated with high-frequency internal waves. Reynolds-averaged Navier-Stokes (RANS) 34 equation models parameterize τ_b as the summation of modeled current-induced bottom stress 35 $(\tau_{c,m})$ and modelled surface wave-induced bottom stress $(\tau_{w,m})$. The performance of different 36 parameterizations for $\tau_{w,m}$ and $\tau_{c,m}$ in RANS models was assessed against the observations. The 37 optimal parameterizations yielded root-mean-square errors of 0.031 and 0.025 Pa, respectively, 38 when $\tau_{w,m}$, and $\tau_{c,m}$ were set using a constant canonical drag coefficient. A RANS-based τ_L 39 parameterization was developed; however, the grid-averaged modelled dissipation did not 40 always match local observations, leading to O(10) errors in prediction of bottom stress. 41 Turbulence-based parameterizations should be further developed for application to flows with 42 mean shear-free boundary turbulence. 43

44 Plain Language Summary

45 Bottom shear stress is the link between hydrodynamic motions and sediment resuspension,

46 further relating to water quality in the lake. However, it is impractical to directly measure the

bottom shear stress in the field. We assessed three observation-based bottom shear stress 47 parameterizations, using two years of observations from a large shallow lake, and found that the 48 parameterization consisting of surface wave-induced stress and bottom current-induced stress is 49 50 sufficient to capture major sediment resuspension events. In the numerical models, which averaged the turbulence dissipation, the parameterization based on modeled surface wave-51 induced stress and bottom current-induced stress was also assessed and compared against the 52 values from observation-based parameterizations. The usage of a constant, observed or literature-53 based parameter in the model parameterization is recommended and it should be calibrated to 54 account for inaccuracies in modeled hydrodynamic variables (i.e., surface waves and bottom 55 currents). 56

57 **1. Introduction**

58

1.1 Sediment resuspension and its mechanisms

Sediment resuspension, in shallow lakes and nearshore coastal regions, can contribute to 59 total suspended solids (TSS), which is an important biogeochemical component in aquatic 60 systems (e.g., Donohue and Molinos, 2009; Bruton, 1985; Valipour et al., 2017). Bottom shear 61 stress (τ_b) drives resuspension and is, therefore, a link between hydrodynamic forcing and water 62 quality (e.g., (Kim et al., 2000; Biron et al., 2004; Salim et al., 2018). Resuspension in the 63 benthic boundary layer (BBL) occurs when τ_b is sufficient, at the sediment water interface, to 64 initiate sediment motion (bedload transport) and resulting turbulent eddies induce vertical 65 66 velocity components that exceed the particle fall velocity to resuspend sediment (Bagnold, 1966; Van Rijn, 1993). Here, τ_b is defined as a combination of the viscous stress (τ_v) and Reynolds 67 stress $(\overline{\tau_R})$, 68

69

$$\tau_b = \tau_v + \overline{\tau_R} = \left(\rho v \frac{\partial U}{\partial z} - \rho \overline{u'w'}\right)\Big|_{z=0}$$
(1)

where the overbar denotes an averaged quantity and z is the vertical coordinate direction. The instantaneous horizontal velocity (u = U+u') is Reynolds decomposed into mean (U) and turbulent (u') components, w' is the turbulent vertical velocity, v is the kinematic viscosity and ρ is the fluid density.

Within the viscous sublayer, although $\overline{\tau_R} \to 0$, the bottom stress $\partial U/\partial z|_{z=0}$ is impractical to measure in the field. Theoretically, τ_b is constant throughout the boundary layer (constant stress layer), and a turbulent velocity scale can be introduced to represent the shearing strength (i.e., the friction velocity, u_*) at the sediment surface,

79 To obtain u_* measured u(z) profiles can be fit to the logarithmic law-of-the-wall,

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$$u(z) = \frac{u_*}{\kappa} \ln \frac{z}{z_0} \tag{3}$$

often parameterized using the Quadratic Stress Law, which casts u_* in terms of the mean current 82 velocity at a certain height above the sediment and a drag coefficient C_D (e.g., Boudreau and 83 Jorgensen, 2001; Lorke, 2007), 84 $u_{*}^{2} = C_{D}U^{2}$ (4) 85 where the value of C_D depends on the height where the current velocity was measured, with 1 m 86 being typical (Soulby et al., 1994; Lorke, 2007; Valipour et al., 2015a). 87 In natural aquatic systems, τ_b is not only generated from mean currents (Lick et al., 1994; 88 Churchill et al., 2004), but also surface wave orbital velocities that impinge on the bottom (Lou 89 et al., 2000; Hawley et al., 2004; Valipour et al., 2017). As a result, commonly applied 90 parameterizations for τ_b , from field observations (e.g., Hawley et al., 1996; Hawley and Eadie, 91 2007) or in models (e.g., Lick et al., 1994; Lin et al. 2021b), are a summation of quadratic stress 92 and surface wave-induced stress. 93 The concept that initiation of sediment resuspension depends on whether τ_b exceeds the 94 theoretical time-averaged critical value (τ_{cr}) has long played a central role in sediment transport 95 theory (Shields, 1936; Van Rijn, 1993; Soulsby and Whitehouse, 1997), and has been applied in 96 sediment transport models (e.g., Warner et al., 2008). With the development of three-97 dimensional RANS models, this parameterization concept, and its modified versions, have also 98 99 been used for field-scale numerical simulation of sediment resuspension and transport (e.g., Hu 100 et al., 2009 [Delft3D]; Morales-Marin et al., 2018 [FVCOM-SED]; Niu et al., 2018 [FVCOM-SED]; Lin et al., 2021b [ELCOM-CAEDYM]). However, the algorithms applied in various 101

In Reynolds-averaged Navier-Stokes (RANS) models, applied at field-scale, these processes are

102 RANS models are not identical, with model-specific parameters requiring adjustment through103 calibration and validation against observed resuspension events.

While computationally suitable for inclusions in RANS equations models, the applicability of 104 the Quadratic Stress Law to predict the occurrence of various types of resuspension events has 105 been recently questioned (e.g., Boegman and Stastna, 2019). For example, in laboratory 106 107 experiments (e.g., Boegman and Ivey, 2009; Aghsaee and Boegman, 2015) and field observations (e.g., Bourgault et al., 2014; Salim et al., 2018) sediment resuspension was 108 associated with turbulent bursts, at times with sub-maximal τ_b , and when current velocities were 109 below the critical value (e.g., Soulby et al., 1994; Yang et al., 2016; Salim et al., 2018). Thus, 110 parameterization of τ_b based on temporal averaging of turbulent velocity fluctuations has been 111 112 proposed

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$$\tau_{TKE} = \rho \mathcal{C}_t \overline{w'w'} \tag{5}$$

where C_t is a proportionality constant (Soulsby, 1983; Kim et al., 2000; Biron et al., 2004).

Using single-point acoustic Doppler velocimeter (ADV) measurements of turbulent velocity fluctuations, (Bluteau et al., 2016) found τ_{TKE} to better predict sediment resuspension over the quadratic stress on the continental shelf, where internal waves shoaled. However, Zulberti et al. (2018) showed the quadratic stress (Eq. 4) to be as accurate as that from near-bed turbulencebased parameterizations (Eq. 5) in a similar flow, when measurements were close enough to the

120 bottom.

121 It is evident that further research is required to enable better determination of τ_b from 122 observed data and to better parameterize sediment resuspension in RANS models. The present 123 enquiry-based study compares the different parameterizations to compute bottom stress from 124 observations in central Lake Erie. The ability of RANS models to reproduce sedment 125 resuspession events, using these parameterizations, is also assessed.

126 **2. Method**

127 **2.1** Study area

Lake Erie (Fig. 1a) is a large (388 km long and 92 km wide) and shallow lake (19 m average 128 and 64 m maximum depth) that can be divided into western, central, and eastern basins. The 129 shallowness of the western and west-central basins makes them very susceptible to sediment 130 resuspension by wind-induced surface waves (Sheng and Lick, 1979; Hawley and Eadie, 2007; 131 Valipour et al., 2017). In the central and eastern basins of Lake Erie, a seasonal thermocline 132 forms with near-inertial (~17 h) Poincaré waves being the dominant wind-induced motions 133 during stratified period, in addition to the prominent (~14 h) surface seiche (e.g., Boegman et al., 134 2001; Rao et al., 2008; Valipour et al., 2015b). Although the topographic features of Lake Erie 135 are complex and the sediment type and grain size vary among the basins, the most prevelent 136 substrates in the lake include resuspendible silt, mud, and partially resuspendible glacial tills 137 with grain sizes less than $63 \mu m$ (Haltuch et al., 2000). 138



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Fig. 1. (a) Map of Lake Erie showing the location of field observation (Sta. 341) and National Data Buoy Center
(NDBC) wave buoy (45005). Negative numbers show the depth contours in meters. Red triangles are the sources of
meteorological data used to drive the AEM3D and ELCOM models. (b) The tripod equipped with ADCPs, an ADV
and RBR TR-1060s before deployment on the lakebed at Sta. 341 in 2008. (c) West-to-east curtain showing vertical
grid (z-level) spacing in the models.

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2.2 Field observations and critical shear stress

Field observations were conducted in west central Lake Erie (Sta. 341; Fig. 1a) during April-148 October of 2008-09, measuring water temperature, turbidity, total suspended solids (TSS), and 149 both mean and turbulent current velocities near the lakebed (Supporting Information; Table S1). 150 Water temperature was recorded at Sta. 341 using temperature loggers (TR-1060) on a taught 151 mooring line. A 1.8 m tripod was deployed nearby the mooring (~30 m) on the lakebed, 152 equipped with upward and downward looking Nortek Aquadopp acoustic Doppler current 153 profiles (ADCPs; Fig. 1b). A Nortek Vector acoustic Doppler velocimeter (ADV) was on the 154 155 tripod at 1 m above bottom (1 mab). Meteorological data and wave information was obtained from National Data Buoy Center (NDBC) Sta. 45005 located 15 km to the south-west of Sta. 341, 156 from which surface wave orbital velocities (U_{orb}) and surface wave-induced stress (τ_w) were 157 calculated (see 2.4.1, Eq. 6). Autoranging Seapoint turbidity and chlorophyll a (Chl-a) sensors 158 logged to multi-parameter water quality sondes (RBR XR-620 and XR-420) located at 1.5 mab 159 160 and 5 mab, respecitively.

From two superficial sediment samples collected at Sta. 341 on 26 August 2009, sediment particle diameters were measured $d_{50} = 10 \mu \text{m}$ (J. D. Ackerman, personal communication), and the bulk and granular densities were $\rho_b = 1093 \text{ kg m}^{-3}$ and $\rho_s = 2150 \text{ kg m}^{-3}$ (Valipour et al.,

164 2017). The existing Shields diagram does not give a critical value for sediment finer than 40 μ m.

165 However, Valipour et al. (2017) observed high turbidity events near the bed of west-central Lake

Erie when the maximum instantaneous flow velocity (maximum value in each ADV burst; Table S1) $u_{max} > 0.25 \text{ m s}^{-1}$, corresponding to $\tau_{max} = \rho C_D u_{max}^2 > 0.28$ Pa, where $C_D = 0.0045$; obtained by least-square fitting the burst averaged HR-ADCP velocity profiles to the law-of-the-wall (Valipour et al., 2015a). Their study also indicated that $u_{max} = 0.25 \text{ m s}^{-1}$ corresponded to a 5-min or burst-averaged flow velocity $u_{mean} = 0.1 \text{ m s}^{-1}$, and consequently the critical value to trigger resuspension was $U_{cr} = 0.1 \text{ m s}^{-1}$. Thus, we determined the time-averaged critical stress to be τ_{cr} $= \rho C_D U_{cr}^2 = 0.045$ Pa in this study.

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2.3 Identification of sediment resuspension events

Sediment resuspension events were qualitatively identified by an increase of turbidity and acoustic backscatter signal. Backscatter included the ADV backscatter amplitude (ADV-amp, unit [counts]) and in 2009 the HR-ADCP backscatter, corrected following (Lohrmann, 2001) for attenuation (ADCP echo, unit [dB]). The cross-correlation of these three indicators can be found in Valipour et al. (2017).

The turbidity sensor measurements include signals from suspended sediment and algal biomass, whereas the ADV and ADCP backscatter occur from sediment but not algae (Lohrmann, 2001). The *Chl-a* concentration recorded by the XR-420 in the spring of 2009 was used to exclude algal biomass events from the turbidity data. The ADCP echo profiles enabled identification of the particulate source, as originating from horizontal advection or local vertical resuspension.

To identify resuspension events within these data, we calculated the 7-day moving average and standard deviation of ADV-amp and turbidity. Resuspension was assumed when observations exceeded one standard deviation from the mean. By observations this approach distinguished resuspension events from background values.

189

2.4 Bottom shear stress parameterization based on observed data

190 Four parameterization methods for bottom stress were assessed in this study: 1) surface

191 wave-induced stress (τ_w); 2) quadratic stress (τ_c); 3) log-law (τ_L); and 4) turbulent kinetic

192 energy (τ_{TKE}) . The total bottom stress, τ_b is be represented by $\tau_w + \tau_c$, τ_L , or τ_{TKE} .

193 *2.4.1 Surface wave-induced stress*

194 From wave theroy, τ_w is (Jonsson, 1966; Van Rijn, 1990),

$$\tau_w = 0.5 \,\rho f_w U_{orb}^2 \tag{6}$$

196 where f_w is the wave friction coefficient,

197
$$f_{w} = \begin{cases} 2\left(\frac{a \times U_{orb}}{v}\right)^{-0.5} & \left(\frac{a \times U_{orb}}{v} < 10^{4}\right) \\ 0.09\left(\frac{a \times U_{orb}}{v}\right)^{-0.2} & \left(10^{5} > \frac{a \times U_{orb}}{v} > 10^{4}\right) \\ \exp\left[-6 + 5.2\left(\frac{a}{k_{s}}\right)^{-0.19}\right] & \left(\frac{a \times U_{orb}}{v} > 10^{5}\right) \end{cases}$$
(7)

198

195

199 U_{orb} and *a* are the maximum orbital velocity (m s⁻¹) and the maximum bottom amplitude (m),

200 respectively, given by linear wave theory

201
$$U_{orb} = \frac{\pi H_s}{T_s sinh(\frac{2\pi h}{L})}$$
(8)

$$a = \frac{H_s}{2sinh(\frac{2\pi\hbar}{L})} \tag{9}$$

Here, *h* and H_s are the water depth (m) and wave height (m), T_s is the wave period (s), and *L* is the wavelength (m). These parameters were estimated from wind speed, fetch and water depth (Barua, 2005; Supplimentary material Table S1).

206 2.4.2 Quadratic stress

The Quadratic Stress Law combines (2) and (4) to relat stress (τ_c) to the mean current velocity,

$$\tau_c = \rho u_*^2 = \rho C_D U^2 \tag{10}$$

where $U = \sqrt{U_x^2 + U_y^2}$ is the burst-averaged mean horizontal current velocity 1 mab from the ADV. Here, U_x and U_y are the 5-min average current velocities in the east-west and north-south directions, which filters surface wave information.

213 *2.4.3 Log-law method*

In the log-law, a balance is assumed to exist between shear production and energy dissipation in the log layer. Under this premis, u_* can be derived from fitting observed mean velocity profiles to Eq. 3 (e.g., Valipour et al 2015a; Jabbari et al., 2021) or the rate of dissipation of turbulent kinetic energy (TKE) ε ,

218
$$\tau_L = \rho u_*^2 = \rho (\varepsilon \kappa z)^{\frac{2}{3}}$$
 (11)

where $\kappa = 0.4$ is the von Karman constant. For the log layer, there exists an inertial subrange where energy cascades from energy-containing eddies to energy-dissipating scales; the spectrum showing the inerital dissipation range has the Kolmogorov -5/3 form

222
$$\Phi_{ii}(k) = \alpha_i \varepsilon^{\frac{2}{3}} k^{-\frac{5}{3}}$$
(12)

where $\Phi_{ii}(k)$ is spectral density of *i*th velocity component at wavenumber k; in locally isotopic 223 turbulence, α_i are one-dimensional Kolmogorov constants (Pope, 2000; Kim, et al., 2000). Near 224 225 the lakebed, vertical velocities are less contaminated by mean currents than horizontal velocities (Jabbari et al., 2015), and the vertical turbulent velocity ($w' = w - \overline{w}$; w is the instantaneous 226 227 vertical velocity, where the overbars denote 5-min averaging) is more likely to represent 228 turbulent eddies. Thus, ε was obtained by fitting the energy spectrum of w' at a height z (1 mab) 229 to the theoretical form within the inertial sub-range (see Supporting Information; Fig. S1), denoted ε_{ID} . We adopted $\alpha_i = 0.65$ (*i*= 3 since we only considered vertical direction). 230

Both τ_c and τ_L assume the mean current velocity profile is logarithmic and the flow is steady and unidirectional, but τ_c filters sub-grid-scale (turbulent and wave orbital velocities) fluctuations, while τ_L (from Eq. 11, but not Eq. 3) retains turbulent information, including that from wave orbitals.

235

2.4.4 TKE method

We applied a modified TKE method (Eq. 5) following Kim et al. (2000), Biron et al. (2004) 236 237 and Bluteau et al. (2016). The average ratio of τ_b to TKE is constant in the atmosphere (= 0.19; (Stapleton and Huntley, 1995; Kim et al., 2000; Biron et al., 2004) and so by assuming linear 238 relationships between TKE and the vertical variance: w'w' = 0.59TKE (Pope, 2000), the constant 239 C_t was set to 0.32 (= 0.19 / 0.59). The modification was suggested (Kim et al., 2000), not only 240 because vertical velocity fluctuations have smaller instrument noise than horizontal velocity 241 fluctuations (Voulgaris and Trowbridge, 1998), but bursts of vertical velocity lift the bed 242 sediment more efficiently (Yuan et al., 2009; Aghsaee and Boegman, 2015). The TKE method is 243 expected to be better representation of τ_b in complex flow fields, where bursts of vertical 244 velocity are frequent or when the measurements are outside the logarithmic layer. At Sta. 341, 245 the logarithmic layer can extend to more than 10 m above the bed, but it also becomes limited by 246 baroclinic currents when stratification strengthens (Kim et al., 2000; Valipour et al., 2015a). 247 Thus, the independence of the TKE method from the logarithmic layer is expected to improve 248 249 accuracy in comparison to the other bottom stress parameterization.

250

2.5 Flow interference

The turbulence measurements were evaluated to identify if ε_{ID} or *w* were contaminated by vortex shedding from the mooring (Fig. 1b). The orientation of the ADV and locations of the battery canister were different in 2008 versus 2009, allowing for varying directions associated 254 with flow interference. In 2008 (Fig. 1b), the main interference came from the external ADV battery canister; whereas, in 2009, the tripod frame was the source of interference (Valipour et al., 255 2015a). To identify interference, we correlated ε_{ID} to the third-power of the mean flow velocity 256 at 1m above bottom (U_{1m}^3) (Supporting Information; Fig. S2; McGinnis et al., 2014; Jabbari et al. 257 2021). The ratio between predicted $\varepsilon_{p,1m}$ and observed ε_{ID} gave the flow directions 258 contaminated with interference (Fig. S2d-f). The largest deviations, in 2008, was from a broad 259 angle consistent with the location of the battery canister (Fig. S2d). In 2009, the largest deviation 260 came from three narrow angles, indicating the tripod arms (Fig. S2e, f). The data contaminated 261 with intererence were removed, leading to gaps in the τ_L and τ_{TKE} time-series. 262

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2.6 Bottom shear stress parameterization in RANS models

We applied two coupled hydrodynamic and water quality RANS models ELCOM-CAEDYM 264 (hereafter, ELCD) and AEM3D-iWaterQuality (hereafter AEM3D). The models are distributed 265 by Hydronumerics (www.hydronumerics.com.au) and differ primarily in AEM3D being a new 266 parallel version of ELCD, with reorganized biogeochemical algorithms. The models solve the 267 unsteady RANS equations for incompressible flow, on a z-level finite difference grid, using 268 Boussinesq and hydrostatic approximations (Hodges et al., 2000). A mixed layer approach is 269 employed for turbulent closure, based on a TKE budget, with modeled dissipation (ε_m) available 270 as a model output (e.g., Spigel et al., 1986). Model hydrodynamics (thermal structure, currents, 271 internal wave dynamics, mixing rates, and sediment resuspension) have been well validated for 272 Lake Erie (e.g., León et al., 2005; Liu et al., 2014; Valipour et al., 2015b; Bouffard et al., 2014; 273 Lin et al., 2021a, b) using the same setup, and are not reported in detail herein. The water quality 274 modules both predict resuspension when $\tau_b > \tau_{cr}$, where τ_b is the summation of surface wave-275 induced $(\tau_{w,m})$ and current-induced stresses $(\tau_{c,m})$; however, the algorithms for predicting these 276

277 stresses differ between the two models (see below).

Surface wave-induced stress 2.6.1 278

In ELCD, τ_w is from Eq. 6, where f_w is assumed to be for hydraulically rough flow, with k_s 279 = 2.5 d_{50} and d_{50} is the median sediment grain size. In AEM3D, τ_w is related to a user-defined 280 bottom drag coefficient C_D , 281

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286

287

$$\tau_{w,m} = \rho_w C_D U_{orb}^2 \tag{13}$$

The calculation of wave properties, including wave orbital velocities can be found in 283

2.6.2

Quadratic stress 285 Both AEM3D and ELCD predict τ_c according to quadratic stress law. In ELCD,

$$\tau_{c,m} = \rho_w \frac{f_c U_{bot}^2}{8} \tag{14}$$

where $f_c = \frac{0.24}{\left[\log\left(\frac{12\Delta z_{bot}}{k_*}\right)\right]^2}$ is the friction coefficient for hydraulically rough flow (van Rijn, 1993). In 288

AEM3D, 289

290

 $\tau_{c,m} = \rho_w C_D U_{hot}^2$ (15)

where U_{bot} is the RANS modeled current speed in the bottom layer. Rather than relying on d_{50} 291 for resuspension, through f_c , which also impacts particle settling, AEM3D allows users to apply a 292 specified C_D . In the present application, we applied both *in situ* measured $C_D = 0.0045$ (Valipour 293 et al., 2015a) and the canonical $C_D = 0.0024$ (Soulby et al., 1994) for mud/sand/gravel. 294

2.6.3 Log-law method 295

Both ELCD and AEM3D employ a TKE balance in their mixed layer closure scheme, 296

which models dissipation (Hodges et al., 2000; Spigel et al., 1986) 297

$$\varepsilon_m = \frac{1}{2} C_{\varepsilon} \Delta t \; \left(\frac{TKE}{\Delta z}\right)^{\frac{3}{2}} \tag{16}$$

where the dissipation coefficient $C_{\varepsilon} = 1.15$, Δt is the timestep, Δz is vertical layer size, and *TKE* is the available mixing energy, which is the summation of wind stirring energy production, shear production between layers, and buoyancy production. Because Eqs. 14 and 16 are filtered in a RANS scheme, it would be informative to compare Eq. 11 using modeled grid-cell averaged dissipation (ε_m , which is also filtered) to that from the observed dissipation via inertial fitting (ε_{ID}).

2.6.4 TKE method

Reynolds-averaging filters sub-grid-scale turbulent fluctuations, providing only the mean flow. This makes it unrealistic to resolve turbulent vertical velocities and apply the TKE method (Eq. 5) to parameterize τ_b within a RANS model.

308

2.7 Model setup

ELCD and AEM3D were configured as in the validated ELCOM model applied by Liu et al. 309 (2014), including meteorological forcing, inflows, outflows, and a 2×2 km horizontal grid with 310 45 vertical layers. A finer 0.5 m grid was set near the surface, through the thermocline and thin 311 312 central basin hypolimnion, and coarser 5 m grid was set in the deep (\sim 65 m) eastern basin (Fig. 1c); at Sta. 341, this gave a 0.75 m bottom layer to capture the thin bottom boundary layer. 313 Validation of bottom mean currents and orbital wave velocities can be found below, in the 314 315 Supporting Information (Fig. S3, 4) and the literature (e. g., León et al., 2005; Liu et al., 2014; Valipour et al., 2015b). 316

Spatial variability of meteorological conditions across the lake was applied using 6 surface
zones with uniform meteorological forcing in the western, central (further subdivided into 4
zones), and eastern basins. The sources of meteorological data (Fig. 1a) included (1)

320 Environment and Climate Change Canada (ECCC) lake buoy data (central basin, Port Stanley

45132; eastern basin, Port Colborne 45142), (2) US National Data Buoy Center (NDBC) buoys 321 (western basin, station 45005), (3) Great Lakes Environmental Research Laboratory (GLERL) 322 land stations (station THLO1), (4) US NDBC land stations (station SBIO1, GELO1, DBLN6). 323 There were five inflows, including the Detroit, Maumee, Grand (Ontario), Sandusky and 324 Cuyahoga Rivers, and only one outflow, the Niagara River (León et al., 2011). River water 325 temperatures were taken as 3-day running averages of the air observed temperature. We 326 initialized the model using observed water temperature profiles throughout the lake from a 327 spring-summer survey (ECCC); whereas the initial velocity field was quiescent ('cold' start). 328 329 Spin-up of this shallow wind driven system should be within a 17 h inertial period (Valipour et al., 2015b). In the 2008 model run, the observed TSS concentrations were specified from river 330 loading (León et al., 2011) and pumped water samples collected at multiple stations were used as 331 validation data and initial conditions (Bouffard et al., 2013). The models were run for 100 days 332 in 2008 (days 203-303), and 157 days in 2009 (days 118-275), with a 5 min timestep, to satisfy 333 the Courant-Friedrichs-Levy condition. 334

Sediments in the models were separated into three classes: river loads (SS_R, d_{50} = 3 µm; 335 (Fukuda and Lick, 1980) and lakebed (SS_{B1}, $d_{50} = 1 \ \mu m$; SS_{B2}, $d_{50} = 10 \ \mu m$; Lick et al., 1994). 336 337 SS_{B1} represented clay-like superficial (nepheloid) sediments (Lick et al., 1994), whereas SS_{B2} represented the silt-like sediments below (Hawley and Eadie, 2007; Valipour et al., 2017). The 338 lakebed sediment classes were proportioned at 20% (clay) and 75% (silt), according to 339 340 observations from the PONAR grabs (J. D. Ackerman, personal communication). Results from ELCD and AEM3D were quantitively compared to the observation-based 341 bottom stress parameterizations using the percent bias (P_{bias}) , Pearson correlation coefficient (R), 342 343 and the root-mean-square error (*RMSE*).

344 3. Results

3.1 Prediction of resuspension from observed τ_h 345 In the spring and summer, settling of algae (Paerl et al., 2011; Modis, NOAA Coastwatch-346 Great Lakes) contributed to some turbidity peaks consistent with high fluorescence (*Chl-a*) 347 during the first deployment period (days 119-195) of 2009. We followed Valipour et al. (2017), 348 who used the Medium Resolution Imaging Spectrometer (MERIS) to separate turbidity peaks 349 due to resuspension from those due to high algal biomass (Sta. 341, days 226, 236, 245; Fig. 4b). 350 351 We then identified twenty-three sediment resuspension events (Fig. 2-4; R1-23) from turbidity, ADV-amp and ADCP echo data. All three indicators showed resuspension during several 352 especially intense events (R1, 3, 4, 7, 9, 12, 13, 21-23). 353



354 355

Fig. 2. Time-series at Sta. 341 for 2008 (a) wind speed (blue line; left y-axis) and direction (red dashed line; right y-

- axis) at 10 m above water surface, (b) turbidity at 1.5 mab from XR-620 (black stars) and its 7-day moving mean
- 357 (grey line) and 7-day standard deviation (grey shading), (c) ADV-amp at 1 mab (blue dots) and its 7-day moving
- 358 mean (blue line) and 7-day standard deviation (blue shading), (d) τ_L (purple dash-dot line, Eq. 10), τ_{TKE} (green
- dash-dot line, Eq. 5) and critical value for resuspension (τ_{cr} =0.045 Pa; black dashed line), (e) τ_w (blue line, Eq. 6),
- 360 τ_c (red line, Eq. 9) and τ_{cr} , (f-h) Modeled $\tau_{c,m}$ and $\tau_{w,m}$ based on varying C_D and algorithms.



362 Fig. 3. Time-series at Sta. 341 for first deployment in 2009 (a) wind speed (blue line; left y-axis) and direction (red 363 dashed line; right y-axis) at 10 m above water surface, (b) turbidity at 1.5 mab from XR-620 (black stars) and its 7-364 day moving mean (grey line) and standard deviation (grey shading) (left y-axis). Green stars are Chl-a concentration 365 at 5 mab from XR-420 (right y-axis), (c) ADV-amp at 1 mab (blue dots) and its 7-day moving mean (blue line) and 366 standard deviation (blue shading). Color bar shows the ADCP echo level, (d) τ_L (purple dash-dot line, Eq. 10), τ_{TKE} (green dash-dot line, Eq. 5) and τ_{cr} (black dashed line), (e) τ_w (blue line, Eq. 6), τ_c (red line, Eq. 9) and τ_{cr} , (f-g) 367 368 Modeled $\tau_{c,m}$ and $\tau_{w,m}$ based on the algorithms in AEM3D with varying C_D (h) Modeled $\tau_{c,m}$ and $\tau_{w,m}$ based on 369 the algorithms in ELCD.



Fig. 4. Time-series at Sta. 341 for second deployment in 2009 (a) wind speed (blue line; left y-axis) and direction (red dashed line; right y-axis) at 10 m above water surface, (b) turbidity at 1.5 mab from XR-620 (black stars) and its 7-day moving mean (grey line) and standard deviation (grey shading), and green shading indicates the high turbidity from algae (Paerl et al., 2011), (c) ADV-amp at 1 mab (blue dots) and its 7-day moving mean (blue line) and standard deviation (blue shading), (d) τ_L (purple dash-dot line, Eq. 10), τ_{TKE} (green dash-dot line, Eq. 5) and τ_{cr} (black dashed line), (e) τ_w (blue line, Eq. 6), τ_c (red line, Eq. 9) and τ_{cr} , (f-g) Modeled $\tau_{c,m}$ and $\tau_{w,m}$ based on the algorithms in AEM3D with varying C_D (h) Modeled $\tau_{c,m}$ and $\tau_{w,m}$ based on the algorithms in ELCD.

The four parameterizations (Eq. 5, 6, 9, and 10) were applied to compute τ_b from the

observed data and compared with τ_{cr} to predict the occurrence of resuspension events. During

intensive resuspension events, $\tau_b = \tau_w + \tau_c$, τ_L , τ_{TKE} were qualitatively consistent, with spikes

381 of different magnitudes (Fig. 2b-c, 3b-c, 4b-c; R1, 3, 4, 7, 9, 12, 14, 18, and 21-23).

382 Strong wind events created significantly increased τ_w , leading to surface wave-dominated

resuspension events (e.g., R21 and R22; Fig. 5). During these events, high wind speeds (> 10 m

s⁻¹) were observed (see also Hawley and Eadie 2007). Given that wave orbitals penetrating to the lakebed can form turbulent eddies, τ_L and τ_{TKE} often showed remarkable increases (> 0.2 Pa) during surface wave-dominated resuspension.

Bottom current-dominated resuspension events were also observed (e.g., R14-17; Fig. 6) 387 with increased τ_c exceeding τ_{cr} . Both τ_L and τ_{TKE} were elevated (>0.045 Pa), indicating the 388 turbulent eddies formed due to bottom friction. Compared to surface wave-dominated 389 resuspension, R14–17 exhibited a more gradual increase in τ_L and τ_{TKE} (< 0.1 Pa), indicating 390 bottom currents were less efficient in triggering turbulent bursts compared to wave orbitals. 391 392 Most resuspension events were not induced by a single mechanism but resulted from combined effects of surface waves and mean currents. Storm-induced mean currents have been 393 observed after strong wind events in Lake Erie (Lick et al., 1994; Beletsky et al., 1999; Hawley 394 and Eadie, 2007), leading to increased τ_c and generating resuspension (e.g., R1-5, 7, 9, 10, 12, 395 18, 19, 20, 23). However, τ_w or τ_c acting in isolation did not always reproduce the exact timing 396 of strong resuspension, rather τ_L and τ_{TKE} corresponded with peaks of ADV-amp and turbidity 397 more accurately (R7, R10, and R12; Fig. 7). This was in agreement with oceanic (Bluteau et al., 398 2016) and laboratory (Aghsaee and Boegman, 2015) data, showing strong bottom drag to drive 399 bedload tranport, with turbulent bursts required to lift sediment into the water column. For 400 example, during day 146 in 2009 (R12) wind-driven surface wave orbitals impinged on the 401 lakebed, generating turbulence ($\tau_w \sim 0.1$ Pa, τ_L and $\tau_{TKE} \sim 0.2$ Pa; Fig. 7) and trigging 402 403 significant peaks in ADV-amp ADCP echo level, and turbidity. This was followed by barotropic currents from basin-scale seiche events that formed as the wind subsided (Beletsky et al., 1999; 404 Valipour et al., 2015b) and generated $\tau_c > \tau_{cr}$ on day 147, leading to another peak in these three 405 resuspension indicaters. 406



407

408 Fig. 5 Details of resuspension events R21-23 in Fig. 4. Time-series at Sta. 341 of (a-c) wind speed (blue lines; left 409 y-axis) and direction (red dashed lines; right y-axis) at 10 m above water surface, (b-f) turbidity at 1.5 mab from 410 XR-620 (black stars) and its 7-day moving mean (grey line) and standard deviation (grey shading), (g-i) ADV-amp 411 at 1 mab (right y-axis), and its 7-day moving mean (blue line) and standard deviation (blue shading), (j-1) show τ_{TKE} 412 (purple dash-dot line, Eq. 5) and τ_L (green dash-dot line, Eq. 10) based on turbulent velocity from ADV, and *in situ* 413 critical value for resuspension $\tau_{cr} = 0.045$ Pa, (m-o) τ_w (blue line, Eq. 6) based NDBC station 45005, τ_c (red line, 414 Eq. 9) based on mean current velocity from ADV, and τ_{cr} (black dashed line), (p-r) AEM3D output $\tau_{w,m}$ (blue 415 dotted line) and $\tau_{c,m}$ (red dotted line) used $C_D = 0.0024$, (s-u) AEM3D output $\tau_{w,m}$ (blue dashed line) and $\tau_{c,m}$ (red 416 dashed line) used $C_D = 0.0045$; and (v-x) are ELCD output $\tau_{w,m}$ (blue dashed line) and $\tau_{c,m}$ (red dashed line).



418 Fig. 6 Details of resuspension events R14, 15, 17 in Fig. 3. Time-series at Sta. 341 of (a-c) wind speed (blue lines; 419 left y-axis) and direction (red dashed lines; right y-axis) at 10 m above water surface, (b-f) turbidity at 1.5 mab from 420 XR-620 (black stars) and its 7-day moving mean (grey line) and standard deviation (grey shading), green shading 421 indicates the high turbidity from algae (Paerl et al., 2011), (g-i) ADV-amp at 1 mab (right y-axis), and its 7-day 422 moving mean (blue line) and standard deviation (blue shading), (j-l) show τ_{TKE} (purple dash-dot line, Eq. 5) and τ_L 423 (green dash-dot line, Eq. 10) based on turbulent velocity from ADV, and *in situ* critical value for resuspension τ_{cr} = 424 0.045 Pa, (m-o) τ_w (blue line, Eq. 6) based NDBC station 45005, τ_c (red line, Eq. 9) based on mean current velocity 425 from ADV, and τ_{cr} (black dashed line), (p-r) AEM3D output $\tau_{w,m}$ (blue dotted line) and $\tau_{c,m}$ (red dotted line) used 426 $C_D = 0.0024$; (s-u) AEM3D output $\tau_{w,m}$ (blue dashed line) and $\tau_{c,m}$ (red dashed line) used $C_D = 0.0045$, and (v-x) 427 are ELCD output $\tau_{w,m}$ (blue dashed line) and $\tau_{c,m}$ (red dashed line).



429 Fig. 7 Details of resuspension events R7, 10, 12 in Fig. 2, 3. Time-series at Sta. 341 of (a-c) wind speed (blue lines; 430 left y-axis) and direction (red dashed lines; right y-axis) at 10 m above water surface, (b-f) turbidity at 1.5 mab from 431 XR-620 (black stars) and its 7-day moving mean (grey line) and standard deviation (grey shading), and Chl-a 432 concentration at 5 mab from XR-420 (right y-axis), (g-i) ADV-amp at 1 mab (right y-axis), its 7-day moving mean (blue line) and standard deviation (blue shading), and colorbar shows the ADCP echo level; (j-l) show τ_{TKE} (purple 433 434 dash-dot line, Eq. 5) and τ_L (green dash-dot line, Eq. 10) based on turbulent velocity from ADV, and *in situ* critical 435 value for resuspension ($\tau_{cr} = 0.045$ Pa; black dashed line); (m-o) τ_w (blue line, Eq. 6) based NDBC station 45005, τ_c (red line, Eq. 9) based on mean current velocity from ADV, and τ_{cr} (black dashed line); (p-r) AEM3D output 436 437 $\tau_{w,m}$ (blue dotted line) and $\tau_{c,m}$ (red dotted line) used $C_D = 0.0024$; (s-u) AEM3D output $\tau_{w,m}$ (blue dashed line) 438 and $\tau_{c,m}$ (red dashed line) used $C_D = 0.0045$; and (v-x) are ELCD output $\tau_{w,m}$ (blue dashed line) and $\tau_{c,m}$ (red 439 dashed line). We have investigated the ability to parameterize resuspension by wave-orbital and seiche-440

441 induced mean currents; however, $\tau_b = \tau_c + \tau_w$ is not expected to be able to parameterize 442 resuspension resulting from near-bed turbulent events forced by other processes (e.g., convection, 443 Kelvin-Helmholtz billows). For example, Valipour et al. (2017) suggested that degeneration of 444 Kelvin-Helmholtz billows could resuspend bottom material, when the induced turbulence penetrated to the bed (see also Hawley et al., 2004; Austin, 2013). These events could only be captured by τ_L and τ_{TKE} , because τ_c utilizes time-averaged mean currents that filter turbulence. Here, we test the observational parameterizations for this type of event.

After a wind event on day 249 (Fig. 8), the thermocline, acting as a waveguide for high-448 frequency internal waves (HFIW), impinged upon the lakebed (days 250-254). The HFIWs had 449 a period ~17 min (Valipour et al., 2017), which was close to the Brunt-Väisälä frequency and 450 much less than the ~ 17 hr inertial period; indicating they likely result from shear instability 451 across the thermocline (Bouffard et al., 2012; Boegman et al., 2003). During this 10-day event, 452 $\tau_b = \tau_c + \tau_w > \tau_{cr}$ on days 248-9 (Fig. 8e), corresponding to an increase of ADV-amp and 453 turbidity (R6). This was followd by spikes in τ_L and τ_{TKE} above τ_{cr} on days 252 and 253.5, 454 corresponding to peaks in ADV-amp and high turbidity (Fig. 8d). At these times, when τ_w and τ_c 455 were close to zero, HFIWs were carried on the near-bed thermocline (Fig. 8b); because the 456 peaks in τ_L and τ_{TKE} matched peaks in ADV-amp and turbidity, this suggested the mechanism 457 triggering near-bed high turbidity could be turbulent eddies generated by collapse of Kelvin-458 Helmholtz billows as the HFIWs degenerate (Fig. 8c, d). Compared to resuspension induced by 459 τ_c or τ_w , the intensity of resuspension generated during HFIWs was lower. Both turbidity and 460 ADV-amp were elevated for several days, showing that turbulent eddies, generated when the 461 thermocline impinged on the lakebed, created an oscillatory nepheloid-type layer in the 462 hypolimnion. In this example, τ_L and τ_{TKE} provided a better estimate of sediment resuspension. 463 464 Overall, the observations spanning the summer of 2008 and spring-fall of 2009 revealed that τ_L and τ_{TKE} showed peaks during resuspension triggered by surface wave orbitals, mean bottom 465 currents and HFIWs. The magnitude of the stress was relatively higher in magnitude during 466 resuspension involving a contribution from surface waves (e.g., R7, 12, 21-23; Fig. 5, 7) and 467

- 468 relatively lower in magnitude during resuspension from mean bottom currents or HFIWs (e.g.,
- 469 R10, 14-17; Fig. 6, 7). Moreover, $\tau_b = \tau_w + \tau_c > \tau_{cr}$ was able to predict all resuspension
- 470 events induced by wave orbitals (R8, 21, 22), increased bottom currents (R13, 14-17) and a
- 471 combination of these two mechanisms (R1-5, 7, 9, 10, 12, 18, 19, 20, 23).



Fig. 8 Details of resuspension events R6 in Fig. 2. Time-series at Sta. 341 of 2008 (a) wind speed (left y-axis) and direction (right y-axis) at 10 m above water surface, (b) temperature contours from TR-1060 temperature loggers, red arrows show vertical locations of temperature loggers, (c) turbidity at 1.5 mab from XR-620 (left y-axis), and ADV-amp at 1 mab (right y-axis); (d) and (e) show τ_L (green dash-dot line, Eq. 10), τ_{TKE} (purple dash-dot line, Eq. 5), τ_w (blue line, Eq. 6), τ_c (red line, Eq. 9) based on observed data and τ_{cr} (black dashed line); (f) and (g) are ELCD output of temperature and TSS concentration at Sta. 341, respectively.

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472

3.2 Prediction of resuspension from RANS modeled τ_b

Here, we compare the observed bottom stress, required for resuspension, against those
simulated by the models. ELCD qualitatively captured the occurrence of strong resuspension
events induced by both bottom currents and surface waves in 2008 and 2009 (Lin et al., 2021b)

483 (Supporting Information, Fig. S4, S5). However, as calibrated against the turbidity data, the 484 threshold for sediment resuspension in ELCD (0.01- 0.025 Pa) was lower than the observed *in* 485 *situ* time-averaged threshold ($\tau_{cr} = 0.045$ Pa).

486	The present magnitude of $\tau_{c,m}$, parameterized based on the Reynold-averaged current speed
487	(Eq. 14), was much less than the observed τ_c (Figs. 3-5 e, h). Because wave stresses were
488	overestimated with ELCD ($\tau_{w,m} > \tau_w$; Figs. 3-5 e, h), having $\tau_{c,m} \ll \tau_{w,m}$ creates problems
489	specifying τ_{cr} within the modelling framework. Unrealistic setting of τ_{cr} , to capture current-
490	induced resuspension, will cause ELCD to respond excessively to surface wave forcing, and
491	overestimate the contribution of surface waves to resuspension (e.g., R14 - R17; Fig. 2-4).
492	Adjustment of $\tau_{c,m}$ within ELCD to resuspend at an appropriate τ_{cr} , by increasing bed
493	roughness ($k_s = 2.5 d_{50}$; d_{50} is the median sediment grain size) in Eq. (14), is not possible because
494	of the effect of d_{50} on particle settling (Lin et al., 2021b).
495	The modeled U_{orb} and U_{bot} are calculated with the same algorithms in both AEM3D and ELCD
496	(Supporting Information, Fig. S4); therefore, both overestimated the peak current speeds ($U_{bot} RMSE =$
497	0.051 m s ⁻¹). There were insufficient observed wave orbital velocity measurements to calculate U_{orb} error.
498	AEM3D avoids the particle settling issues in ELCD by directly employing C_D to
499	parameterize both $\tau_{w,m}$ and $\tau_{c,m}$ (Eq. 13, 15). The AEM3D-modeled bottom current velocities
500	are not sensitive to small variations of C_D (C. Dallimore, personal communication); therefore,
501	adjustment of bottom drag focused on reproducing bottom stress, rather than bottom currents.
502	Table 1 shows the comparison of parameterizations for $\tau_{w,m}$ and $\tau_{c,m}$ in AEM3D and ELCD.
503	ELCD (Eq. 6) overestimated surface wave-induced stress (Fig 3-5 e, h), with $RMSE = 0.052$ Pa
504	and $P_{bias} = 57\%$ (Table 1; $\tau_{w,m1}$). The error was less using the parameterization in AEM3D (Eq.
505	13), with <i>RMSE</i> = 0.031Pa and $P_{bias} \sim 0$ when $C_D = 0.0024$ (Fig. 2-4 e, f).

The parameterization of quadratic stress in ELCD (Table 1, $\tau_{c,m1}$) gave the lowest *RMSE*, but the $P_{bias} = -46\%$ showed the overall underestimation of the magnitude (Fig. 2-4 h). Given that ELCD and AEM3D overestimate current speed, particularly the peak values (Fig. S4), when applying the observed $C_D = 0.0045$ in Eq. 15 (Tabel 2, $\tau_{c,m2}$) the P_{bias} was highest (196%) amongst the three parameterizations. Thus, applying the canonical $C_D = 0.0024$ in Eq. 15 compensated for overestimation of current speed, reproducing an appropriate magnitude for the quadratic stress (Figs. 3-5 e, f). This gave *RMSE* = 0.025 Pa and the lowest P_{bias} (= 34%).

513

3.3 Turbulence-based parameterizations in RANS models

The inability of the models to resolve the sub-grid turbulence, may result in only a subset of 514 resuspension events being simulated, and those resulting from mean shear-free boundary 515 turbulence (Johnson and Cowen, 2020) being neglected (e.g., HFIW events). Thus, we applied 516 Eq. 11, using the modeled ε_m (Eq. 16) to assess the possibility of employing a log-law based 517 turbulence parameterization in a RANS sediment model. Here, the modeled/observed turbulence 518 may result from mean shear-free processes (e.g., convection), but the resultant stress follows log-519 law scaling (Eq. 11). The computed $\tau_{L,m}$ was higher than the observed τ_L most of the times (Fig. 520 9) and the model was unable to capture peaks in observed τ_L . Table 1 shows the agreement 521 between $\tau_{L,m}$ and τ_L is poor ($R^2 = 0.05$). To investigate why, ε_{ID} and ε_m were compared at 522 523 selected periods (Supporting Information, Fig. S7), showing reasonable qualitative comparison, but frequent quantitative differences of more than an order of magnitude. This was not 524 unexpected as the modeled dissipation output is the TKE remaining at the end of a timestep and, 525 526 therefore, is useful as a diagnostic output for the individual components in the TKE

527 parametrization (C. Dallimore, personal communication).





529 Assessment of bottom stress parameterization in ELCD (denoted by m1) and AEM3D (denoted by m2, 3) models.

534 Fig. 9 Time-series at Sta. 341 of τ_L (Eq. 11) based on ε_m (Eq. 16, orange line) and ε_{ID} (green dash-dot line).

535 Comparisons of observed and modelled turbulent dissipation are shown in Fig. S6.

- 536
- 537

3.4 Sediment resuspension hot spots



Fig. 10 Mean value of AEM3D modeled $\tau_{w,m}$, $\tau_{c,m}$, and τ_b (a-c) over spring and summer (days 203 – 245 of 2008 and days 119- 250 of 2009), and (d-f) over fall (days 245- 303 of 2008 and days 250 – 300 of 2009).

To visualize variation in bottom stress throughout the basin, AEM3D simulated $\tau_{w,m}$, $\tau_{c,m}$ and $\tau_b = \tau_{w,m} + \tau_{c,m}$ were computed for different seasons (Fig. 10) using the AEM3D parameterization based on Eqs. (13 and 15) with $C_D = 0.0024$. Resuspension hot spots were identified as sites where $\tau_b = \tau_{w,m} + \tau_{c,m}$ exceeded $\tau_{cr} = 0.045$ Pa . In general, both $\tau_{w,m}$ and $\tau_{c,m}$ increased in fall when storms are more prevalent on the lake.

The effect of surface wave orbitals decreased with increasing water depth, with the western 546 basin and littoral zones having the highest $\tau_{w,m}$. The area of modeled surface wave-induced 547 resuspension hot-spots increased from 80 km² before fall turn over to 2592 km² after fall 548 turnover (Fig. 10a, d) due to the more frequent storms (Fig. 2-4 a). The current-induced 549 resuspension hot-spots were often associated with bottom topography (e.g., the Point Pelee to 550 Sandusky island chain and the Pennsylvania Ridge; Fig. 10b, e) and were otherwise sporadically 551 distributed in the western basin and along the north shore of the central and eastern basins. The 552 area of hot-spots increased from 84 km² before fall turnover to 168 km² after fall turnover (Fig. 553 554 10b, e). During the spring and summer, most of the current-induced resuspension was driven by wind-energized seiche events and baroclinic currents (e.g., Hawley, 2004; Rao et al., 2008; 555 Valipour et al., 2017). Combining wave-induced and current-induced stresses, the total area of 556 resuspension hot-spots was 1920 km² in spring and summer and 5196 km² in fall, being 557 concentrated in the western basin, and the northern shoreline of the central and eastern basins 558 (Fig. 10c, f). 559

560 **4. Discussion**

4.1 Comparison of algorithms in commonly applied hydrodynamic models
 The AEM3D and ELCD bottom stress parameterizations are discussed with reference to the
 parameterizatons in other commonly-applied hydrodynamic models, specifically FVCOM-SED
 and Delft3D (Table 2).

565 Table 2

566 *Parameterizations for* τ_c *in different sediment models. The equations in column 2 were solved using parameters*

567 *characteristic to Lake Erie (column 4).*

Method		$ au_c$ equation	d_{50} (m)	τ_c (using parameters in Lake Erie)			
In situ observation		$ ho^* C_D U^2$	10^{-5}	$4.5U^2(C_D = 4.5 \times 10^{-3})$			
FVCOM-SED		$\rho \max\left[\frac{\kappa^2}{\ln(\frac{\Delta z_{bot}}{z_o^{\ddagger}})^2}, 0.0025\right] U_{bot}^2$	10 ⁻⁵	$max[0.00082, 0.0025] \rho U^2 = 2.5U_{bot}^2$			
	2D flow	$\rho \frac{g}{(18 \left[log_{10} \left(\frac{12h^{\#}}{30z_0^{\#}} \right) \right]^2} U_{bot}^2 \text{(White Colebrook)}$	10 ⁻⁵	$0.64U_{bot}^2$			
Delft3D		$ \rho \frac{g}{(\frac{6}{h^{\frac{1}{h}}})^2} U_{bot}^2 $ (Manning)	10 ⁻⁵	$3849n^{+^2}U_{bot}^2 = 0.23U_{bot}^2$			
	3D flow	$\rho \frac{\kappa^2}{\ln(1 + \frac{\Delta z_{bot}}{2z_o^{\ddagger}})^2} U_{bot}^2$	10 ⁻⁵	$0.9U_{bot}^2$			
ELCD	$\rho \frac{0.24}{[log(\frac{12\Delta z_{bot}}{k_s^{\star}})]^2} U_{bot}^2$		10 ⁻⁵	$0.97U_{bot}^2$			
AEM3D	$ ho C_D U_{bot}^2$		10 ⁻⁵	$4.5U_{bot}^{2} (C_{D} = 0.0045)$ $2.4U_{bot}^{2} (C_{D} = 0.0024)$			
* ρ Water density = 1000 kg m ⁻³							
* $\Delta z_{bot} = 1 \text{ m}$ The thickness of the bottom layer in the models							

- # h = 16.5 m The depth of model output current velocities
- † *n* User-defind manning coefficient. Theoretically, $n = 0.045(2.5 d_{50})^{1/6}$ (van Rijn, 1993).
- z_o Roughness height of the lakebed (i.e., zero velocity level) [m]: $z_o = 0.083 d_{50}$
- * k_s bed roughness, $k_s = 30 z_o$ [m]. Several relations between k_s and bottom sediment grain size

have been proposed, with one of the most widely used being: $k_s = 2.5 d_{50}$.

568

569 ELCD, FVCOM-SED and Delft3D all use logarithmic scaling for C_D based on k_s or z_o , which are both associated with bed roughness (Table 2). In RANS models, the resolution of the 570 bathymetry is insufficient to resolve bedforms, which are difficult to measure, and consequently 571 sediment grain size is employed to calculate C_D (Table 2). As a result, C_D in these models will 572 be smaller than *in situ* observations (0.0045), which account for the effects of bottom 573 morphometry on drag. To adjust $\tau_{c,m}$ to become equivalent to the *in situ* τ_c , d_{50} (when involved 574 into the calculation of τ_c ; e.g., in ELCD or FVCOM-SED) should be set to 0.03 m, which is not 575 realistic. To alleviate this issue, FVCOM-SED sets a minimum $C_D = 0.0025$ (close to the 576 canonical value of 0.0024), bringing $\tau_{c,m}$ in FVCOM-SED closest to the observed values among 577 these three models (Table 2; Morales-Marin et al., 2018; Niu et al., 2018). Similarly, Hu et al. 578 (2009) applied the DELft3D 2D flow $\tau_{c,m}$ but set the Manning coefficient independently of d_{50} 579 (Table 2), so the model could correctly reproduce sediment resuspension using a literature-based 580 τ_{cr} . From Table 2, the difference in parameterizations is reduced to the constant in front of U_{bot}^{2} . 581 Given that models tend to overestimated bottom current speed (Fig. S4), the constant not only 582 embodies bottom drag, but also adjusts for errors in hydrodynamic model output. For examle, to 583 parameterize resuspension with $U > U_{bot}$ we may use $2.4 U_{bot}^2 \approx 4.5 U^2$. This suggests the optimal 584 C_D in the model can be computed from the observed value and ratio of observed to modelled 585 velocities as $C_D^{model} = C_D^{obs.}(U^2/U_{bot}^2) = (4.5 \times 10^{-3})(2.4/4.5) = 2.4 \times 10^{-3}.$ 586 The ELCD results in 2008 showed that (i.e., $\tau_{w,m}$) played a dominant role in the west 587 central basin of Lake Erie during intense storm events (Valipour et al., 2017; Lin et al., 2021b). 588

590 proportion of the lakebed to be potentially resuspended by currents during extreme wind events

But Morales-Marin et al. (2018), who applied FVCOM-SED, modeled a much larger relative

in an upland shallow lake in north Wales (UK). One explanation for this discrepancy is that

589

592 western Lake Erie has a longer fetch and so can develop stronger surface waves over its shallow water depth. Another possible reason is the underestimation of the contribution from currents 593 because of the inappropriate algorithms in ELCD. Thus, by applying AEM3D with Eq. 15, the 594 magnitude of the modeled stress was closer to the observed value (Fig. 2-4 e, f, g), and the 595 relative contributions of from bottom currents were comparable to those from surface waves 596 597 during storms (e.g., R1, 13, 18; Fig. 2-4) in west central Lake Erie (Sta. 341). However, the shallow Lake Erie morphology results in strong surface waves during intense storm events (e.g., 598 599 R23; Fig. 4) dominating the overall resuspension.

From these comparisons, the core concept of the $\tau_{c,m}$ parameterization is determination of the constant before U_{bot}^{2} . Thus, we summarized two ways to parameterize $\tau_{c,m}$ in RANS models. The first is to apply an *in situ* or literature-based canonical C_D value, if available, to Eq. 15 (Soulby et al., 1994; Zulberti et al., 2018) and adjust C_D to account for inaccuracy in modelling currents, especially the peak values (Fig. S4). The second option is parameterization like FVCOM-SED, which chooses the maximum value between the logarithmic derived C_D and the user-defined minimum C_D ; this option requires knowledge of bed roughness z_0 in the model.

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4.2 Parameterization based on near-bed turbulence

The τ_{cr} defined by existing threshold models is most often determined by flume experiments using mean current velocity profiles (Shields, 1936; Soulsby et al., 1997). However, on larger scales and in more complex systems (e.g., shallow marine environments and large lakes), the threshold could be reduced because of the enhanced intensity of intermittent turbulent events (Salim et al., 2018; Yang et al., 2016), including resuspension from mean shear-free turbulence (Johnson and Cowen, 2020). Therefore, parameterizing τ_b from time-averaged current speeds is not always appropriate for modelling the bottom nephyloid layers in the

including the turbid hypolimnion beneath HFIWs in this study. In these cases, τ_{TKE} and τ_{L} are 616

617 more appropriate because both methods parameterize near-bed turbulence (Eq. 5, 11).

Existing RANS models are unable to resolve w', and so parameterizations using TKE are 618 unrealistic. Present algorithms for ε_m in RANS models (e.g., AEM3D) do not consider the energy 619 flux path associated with surface wave generation and breaking (e.g., Spigel et al., 1986; Hodges

et al., 2000), leading to overestimation of the energy flux entering the lake interior most of the 621

time (Fig. S6). Fig. 9 shows that $\tau_{L,m}$ was smaller than the observed τ_L , only when bottom 622

623 stresses were mainly from surface wave orbital velocities (R4, 7, 9, 12, 21-23). Therefore,

complete replacement of the present parameterization (Eq. 10, 14) with $\tau_{L,m}$ is not suitable for 624

shallow water systems with resuspension frequently triggered by surface waves. The 625

626 development of turbulence-based parameterizations should be an avenue of future work,

particularly for systems with intensive convective turbulence (Anderson et al., 1979; Johnson 627

and Cowen 2020), where shear-driven models are inappropriate. 628

5. Conclusions 629

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Multiple parameterization methods for bottom stress (τ_h) , including (1) sum of surface 630 wave stress and mean current (quadratic) stress ($\tau_b = \tau_w + \tau_c$; Eq. 6, 10); (2) log-law ($\tau_b = \tau_L$; 631 Eq. 11); and (3) turbulent kinetic energy ($\tau_b = \tau_{TKE}$; Eq. 5), have been assessed, based on 632 observed data and model output. For large and shallow waterbodies, bottom currents and surface 633 wave orbitals were the two major processes driving bottom sediment resuspension and τ_b = 634 $\tau_w + \tau_c$ was sufficient to qualitatively predict resuspension. This model was readily calibrated 635 for sediment resuspension simulations in field-scale RANS models. Sub-grid-scale 636 637 hydrodynamics (HFIWs) also induced low-intensity resuspension events, when the seasonal

638 thermocline became close to the lakebed, and only τ_L and τ_{TKE} were able to capture the turbid 639 bottom layer generated by these events.

This study assessed different parameterizations for $\tau_{w,m}$ and $\tau_{c,m}$ in the RANS models and the model parameterizations via Eq. 13 and 15 with canonical C_D showed lowest P_{bias} (34% and -2.2%, respectively) when compared to parameterizations based on observed data (Eq. 6, 10). In some commonly-applied hydrodynamic models, the parameterizations using logarithmic scaling for C_D based on bed roughness, could potentially lead to underestimation of bottom stress. Thus, usage of a constant, observed or literature-based C_D is recommended but should be calibrated to account for inaccuracies in modeled currents.

647 Using the observed ε and scaling the bottom stress according to the log-law (τ_L) captured

turbulence-driven resuspension events when the mean-shear was low. Although sub-grid-scale turbulent fluctuations driving resuspension (e.g, w') are not reproduced in RANS models, the log-law parameterization should be further tested and improved by better parameterization of ε to allow for simulation of resuspension associated with localized turbulence from wave breaking or convection.

Data and Code Availability Statement

Data and code used in this study are available at <u>https://doi.org/10.5281/zenodo.7391269</u>. The

Example 55 Zenodo archive contains observed current, wind, and turbidity data, and scripts used to process

- bottom shear stress, as well as model setups and outputs from AEM3D model. The AEM3D
- executable is available for a nominal license fee from HydroNumerics
- 658 (<u>https://www.hydronumerics.com.au/</u>, last access: December 2022). The AEM3D source code
- was not modified in this application but is available with permission from HydroNumerics.
- 660 ELCD model is not distributed anymore, but CAEDYM model is able to be coupled with

- 661 AEM3D to simulate the water quality. The CAEDYM executable is available within AEM3D
- 662 package.

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- 830 Fig. 1. (a) Map of Lake Erie showing the location of field observation (Sta. 341) and National Data Buoy Center
- 831 (NDBC) wave buoy (45005). Negative numbers show the depth contours in meters. Red triangles are the sources of
- 832 meteorological data used to drive the AEM3D and ELCOM models. (b) The tripod equipped with ADCPs, an ADV
- and RBR TR-1060s before deployment on the lakebed at Sta. 341 in 2008. (c) West-to-east curtain showing vertical
- grid (z-level) spacing in the models.
- Fig. 2. Time-series at Sta. 341 for 2008 (a) wind speed (blue line; left y-axis) and direction (red dashed line; right y-
- axis) at 10 m above water surface, (b) turbidity at 1.5 mab from XR-620 (black stars) and its 7-day moving mean
- (grey line) and 7-day standard deviation (grey shading), (c) ADV-amp at 1 mab (blue dots) and its 7-day moving
- 838 mean (blue line) and 7-day standard deviation (blue shading), (d) τ_L (purple dash-dot line, Eq. 10), τ_{TKE} (green
- dash-dot line, Eq. 5) and critical value for resuspension (τ_{cr} =0.045 Pa; black dashed line), (e) τ_w (blue line, Eq. 6),
- 840 τ_c (red line, Eq. 9) and τ_{cr} , (f-h) Modeled $\tau_{c.m}$ and $\tau_{w.m}$ based on varying C_D and algorithms.
- Fig. 3. Time-series at Sta. 341 for first deployment in 2009 (a) wind speed (blue line; left y-axis) and direction (red
- dashed line; right y-axis) at 10 m above water surface, (b) turbidity at 1.5 mab from XR-620 (black stars) and its 7-
- 843 day moving mean (grey line) and standard deviation (grey shading) (left y-axis). Green stars are *Chl-a* concentration
- at 5 mab from XR-420 (right y-axis), (c) ADV-amp at 1 mab (blue dots) and its 7-day moving mean (blue line) and
- standard deviation (blue shading). Color bar shows the ADCP echo level, (d) τ_L (purple dash-dot line, Eq. 10), τ_{TKE}
- 846 (green dash-dot line, Eq. 5) and τ_{cr} (black dashed line), (e) τ_w (blue line, Eq. 6), τ_c (red line, Eq. 9) and τ_{cr} , (f-g)
- 847 Modeled $\tau_{c,m}$ and $\tau_{w,m}$ based on the algorithms in AEM3D with varying C_D (h) Modeled $\tau_{c,m}$ and $\tau_{w,m}$ based on
- the algorithms in ELCD.
- Fig. 4. Time-series at Sta. 341 for second deployment in 2009 (a) wind speed (blue line; left y-axis) and direction
- (red dashed line; right y-axis) at 10 m above water surface, (b) turbidity at 1.5 mab from XR-620 (black stars) and
- 851 its 7-day moving mean (grey line) and standard deviation (grey shading), and green shading indicates the high
- turbidity from algae (Paerl et al., 2011), (c) ADV-amp at 1 mab (blue dots) and its 7-day moving mean (blue line)
- and standard deviation (blue shading), (d) τ_L (purple dash-dot line, Eq. 10), τ_{TKE} (green dash-dot line, Eq. 5) and
- 854 τ_{cr} (black dashed line), (e) τ_w (blue line, Eq. 6), τ_c (red line, Eq. 9) and τ_{cr} , (f-g) Modeled $\tau_{c,m}$ and $\tau_{w,m}$ based on
- the algorithms in AEM3D with varying C_D (h) Modeled $\tau_{c,m}$ and $\tau_{w,m}$ based on the algorithms in ELCD.
- 856 Fig. 5 Details of resuspension events R21-23 in Fig. 4. Time-series at Sta. 341 of (a-c) wind speed (blue lines; left
- y-axis) and direction (red dashed lines; right y-axis) at 10 m above water surface, (b-f) turbidity at 1.5 mab from
- 858 XR-620 (black stars) and its 7-day moving mean (grey line) and standard deviation (grey shading), (g-i) ADV-amp
- at 1 mab (right y-axis), and its 7-day moving mean (blue line) and standard deviation (blue shading), (i-1) show τ_{TKF}
- 860 (purple dash-dot line, Eq. 5) and τ_L (green dash-dot line, Eq. 10) based on turbulent velocity from ADV, and *in situ*
- critical value for resuspension $\tau_{cr} = 0.045$ Pa, (m-o) τ_w (blue line, Eq. 6) based NDBC station 45005, τ_c (red line,

- Eq. 9) based on mean current velocity from ADV, and τ_{cr} (black dashed line), (p-r) AEM3D output $\tau_{w,m}$ (blue
- dotted line) and $\tau_{c.m}$ (red dotted line) used $C_D = 0.0024$, (s-u) AEM3D output $\tau_{w.m}$ (blue dashed line) and $\tau_{c.m}$ (red
- dashed line) used $C_D = 0.0045$; and (v-x) are ELCD output $\tau_{w,m}$ (blue dashed line) and $\tau_{c,m}$ (red dashed line).
- **Fig. 6** Details of resuspension events R14, 15, 17 in Fig. 3. Time-series at Sta. 341 of (a-c) wind speed (blue lines;
- 866 left y-axis) and direction (red dashed lines; right y-axis) at 10 m above water surface, (b-f) turbidity at 1.5 mab from
- 867 XR-620 (black stars) and its 7-day moving mean (grey line) and standard deviation (grey shading), green shading
- indicates the high turbidity from algae (Paerl et al., 2011), (g-i) ADV-amp at 1 mab (right y-axis), and its 7-day
- 869 moving mean (blue line) and standard deviation (blue shading), (j-l) show τ_{TKE} (purple dash-dot line, Eq. 5) and τ_L
- (green dash-dot line, Eq. 10) based on turbulent velocity from ADV, and *in situ* critical value for resuspension τ_{cr} =
- 871 0.045 Pa, (m-o) τ_w (blue line, Eq. 6) based NDBC station 45005, τ_c (red line, Eq. 9) based on mean current velocity
- from ADV, and τ_{cr} (black dashed line), (p-r) AEM3D output $\tau_{w,m}$ (blue dotted line) and $\tau_{c,m}$ (red dotted line) used
- 873 $C_D = 0.0024$; (s-u) AEM3D output $\tau_{w,m}$ (blue dashed line) and $\tau_{c,m}$ (red dashed line) used $C_D = 0.0045$, and (v-x)
- are ELCD output $\tau_{w,m}$ (blue dashed line) and $\tau_{c,m}$ (red dashed line).
- Fig. 7 Details of resuspension events R7, 10, 12 in Fig. 2, 3. Time-series at Sta. 341 of (a-c) wind speed (blue lines;
- left y-axis) and direction (red dashed lines; right y-axis) at 10 m above water surface, (b-f) turbidity at 1.5 mab from
- 877 XR-620 (black stars) and its 7-day moving mean (grey line) and standard deviation (grey shading), and Chl-a
- 878 concentration at 5 mab from XR-420 (right y-axis), (g-i) ADV-amp at 1 mab (right y-axis), its 7-day moving mean
- (blue line) and standard deviation (blue shading), and colorbar shows the ADCP echo level; (j-l) show τ_{TKE} (purple
- dash-dot line, Eq. 5) and τ_L (green dash-dot line, Eq. 10) based on turbulent velocity from ADV, and *in situ* critical
- value for resuspension ($\tau_{cr} = 0.045$ Pa; black dashed line); (m-o) τ_w (blue line, Eq. 6) based NDBC station 45005,
- 882 τ_c (red line, Eq. 9) based on mean current velocity from ADV, and τ_{cr} (black dashed line); (p-r) AEM3D output
- 883 $\tau_{w,m}$ (blue dotted line) and $\tau_{c,m}$ (red dotted line) used $C_D = 0.0024$; (s-u) AEM3D output $\tau_{w,m}$ (blue dashed line)
- and $\tau_{c,m}$ (red dashed line) used $C_D = 0.0045$; and (v-x) are ELCD output $\tau_{w,m}$ (blue dashed line) and $\tau_{c,m}$ (red
- dashed line).
- 886 Fig. 8 Details of resuspension events R6 in Fig. 2. Time-series at Sta. 341 of 2008 (a) wind speed (left y-axis) and
- direction (right y-axis) at 10 m above water surface, (b) temperature contours from TR-1060 temperature loggers,
- red arrows show vertical locations of temperature loggers, (c) turbidity at 1.5 mab from XR-620 (left y-axis), and
- ADV-amp at 1 mab (right y-axis); (d) and (e) show τ_L (green dash-dot line, Eq. 10), τ_{TKE} (purple dash-dot line, Eq.
- 5), τ_w (blue line, Eq. 6), τ_c (red line, Eq. 9) based on observed data and τ_{cr} (black dashed line); (f) and (g) are ELCD
- 891 output of temperature and TSS concentration at Sta. 341, respectively.
- **Fig. 9** Time-series at Sta. 341 of τ_L (Eq. 11) based on ε_m (Eq. 16, orange line) and ε_{ID} (green dash-dot line).
- 893 Comparisons of observed and modelled turbulent dissipation are shown in Fig. S6.
- **Fig. 10** Mean value of AEM3D modeled $\tau_{w,m}$, $\tau_{c,m}$, and τ_b (a-c) over spring and summer (days 203 245 of 2008
- and days 119- 250 of 2009), and (d-f) over fall (days 245- 303 of 2008 and days 250 300 of 2009).

- **Table 1** Assessment of bottom stress parameterization in ELCD (denoted by m1) and AEM3D (denoted by m2, 3)
- 897 models.
- 898 Table 2 Parameterizations for τ_c in different sediment models. The equations in column 2 were solved using
- 899 parameters characteristic to Lake Erie (column 4).

Figure 1.





Figure 2.



Figure 3.



Figure 4.



Figure 5.



Figure 6.



Figure 7.



Figure 8.



Figure 9.



Figure 10.

