

# Data Assimilative Optimization of WSA Source Surface and Interface Radii using Particle Filtering

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## Abstract

- Wang-Sheeley-Arge (WSA): model estimates solar wind & polarity
- Potential Field Source Surface (PFSS) & Schatten Current Sheet (SCS) used to determine Sun's global coronal magnetic field
- 2 radial parameters: source surface & interface radii  $\theta \equiv (R_{ss}, R_i)$
- Idea:** optimize predictions by tuning – time-varying – radii

## Introduction

- CR1901-2 (1995-09-29/1995-11-24) chosen, as difficult in past work

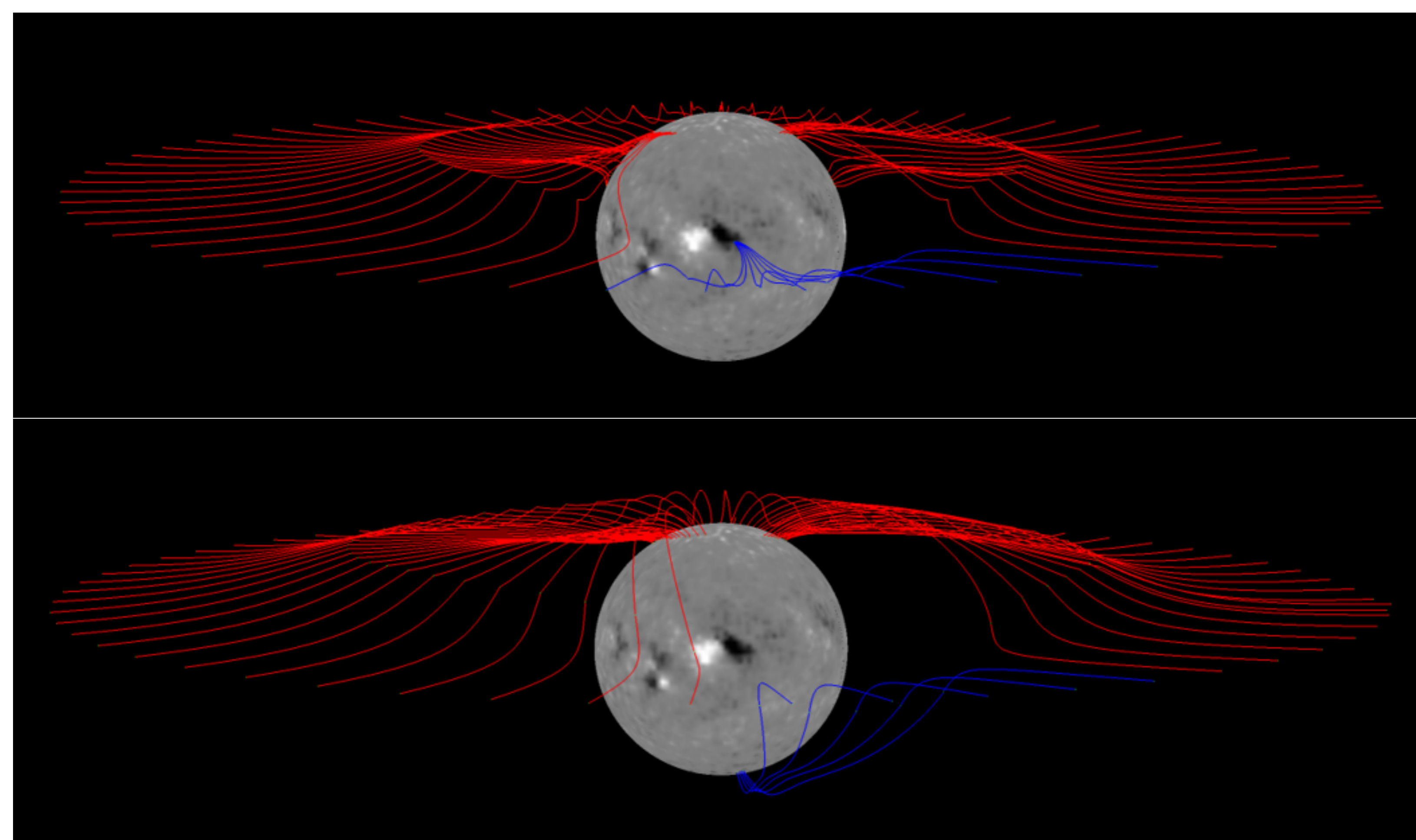


Figure: Magnetic field lines for different  $(R_{ss}, R_i)$ : standard (2.51, 2.49) (ABOVE) vs one optimum (3.50, 2.51) (BELOW) as predicted by WSA model. Particle filtering minimizes error between model & data, which also helps smooth unphysical kinks.

Best  $(R_{ss}, R_i)$  appear to vary in time, based on kinking, coronal holes. A metric  $H = (\text{fractional polarity})/(\text{RMS residual } \mathbf{v})$ , measures fit. Instead of fixed radii, can **optimize** fit by maximizing peak  $H$  over radii.

## Particle filtering

Like Monte Carlo but **resample**  $\propto$  metric  $H$  w/  $N$  samples  $\theta = (R_{ss}, R_i)$ :

$$\text{Prior}(\theta(R_{ss} > R_i)) = \text{Uniform}(1.5R_{\odot}, 4.0R_{\odot}).$$

Data assimilation – apply resampling, with Gaussian perturbation kernel, to incoming ADAPT map data. Converge over iterations  $k$ :

$$q(j) \equiv \min_j \{j | c_j > U(0, 1)\}, \text{ where } c_j = \sum_{i=0}^j H(\theta_i) / \sum_{m=0}^N H(\theta_m),$$

$$\theta_j^{k+1} = \theta_{q(j)}^k + (2\pi\sigma^2)^{-1} \exp(-\theta^2 / [2\sigma^2]).$$

Samples move toward high  $H$  (good fit), adapting as  $(R_{ss}, R_i)$  evolve.

## Sensitivity & twin testing: simulation and inference

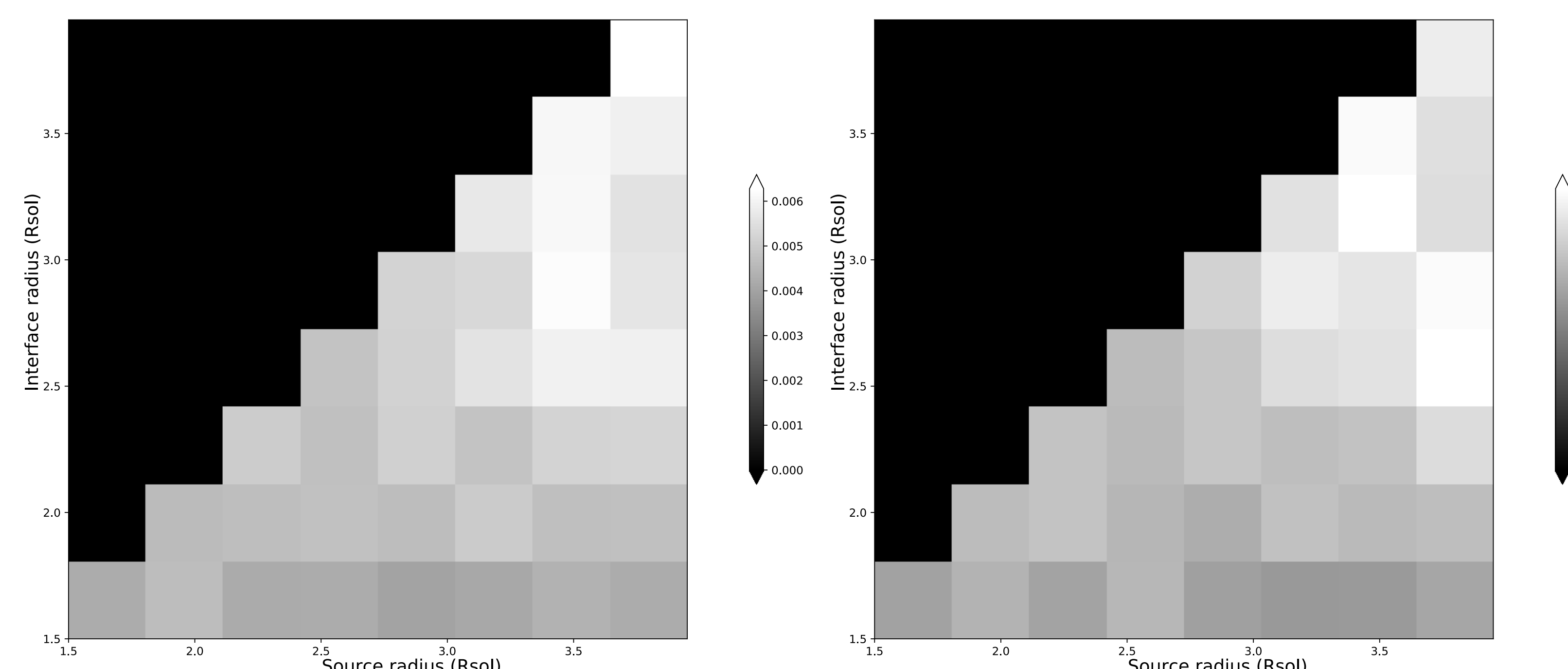


Figure: Heatmaps of  $H$  for 36 points on the  $R_i$  vs  $R_{ss}$  plane for 2 ADAPT synoptic maps.  $H \uparrow$  (lighter) is more accurate. The upper, black, triangles are outside of physical bounds ( $R_i$  must be  $< R_{ss}$ ). Particle filtering can refine  $H$  near *optima*.

$R_{ss} (R_{\odot})$	$R_i (R_{\odot})$	$H (\text{km}^{-1} \text{s})$
3.000001	2.900000	48.64546334
3.000010	2.900000	31.11168033
3.000100	2.900000	0.54772908
3.000000	2.900001	68.66813214
3.000000	2.900010	0.44581968
3.000000	2.900100	0.45486562

Table: Source *simulated* at  $(R_{ss}, R_i) = (3.000, 2.900)$ . Predictions used as observations for sensitivity analysis 7-day window time: 1995-10-05/1995-10-12.

'Twin testing' validates that the particle filter finds **optimal** radii. WSA, at an arbitrary point, makes a prediction set to *simulate* WIND satellite data. Then the particle filter is run, using the predictions in place of observations. The filter works if it can infer that point  $\rightarrow$  it *does*.

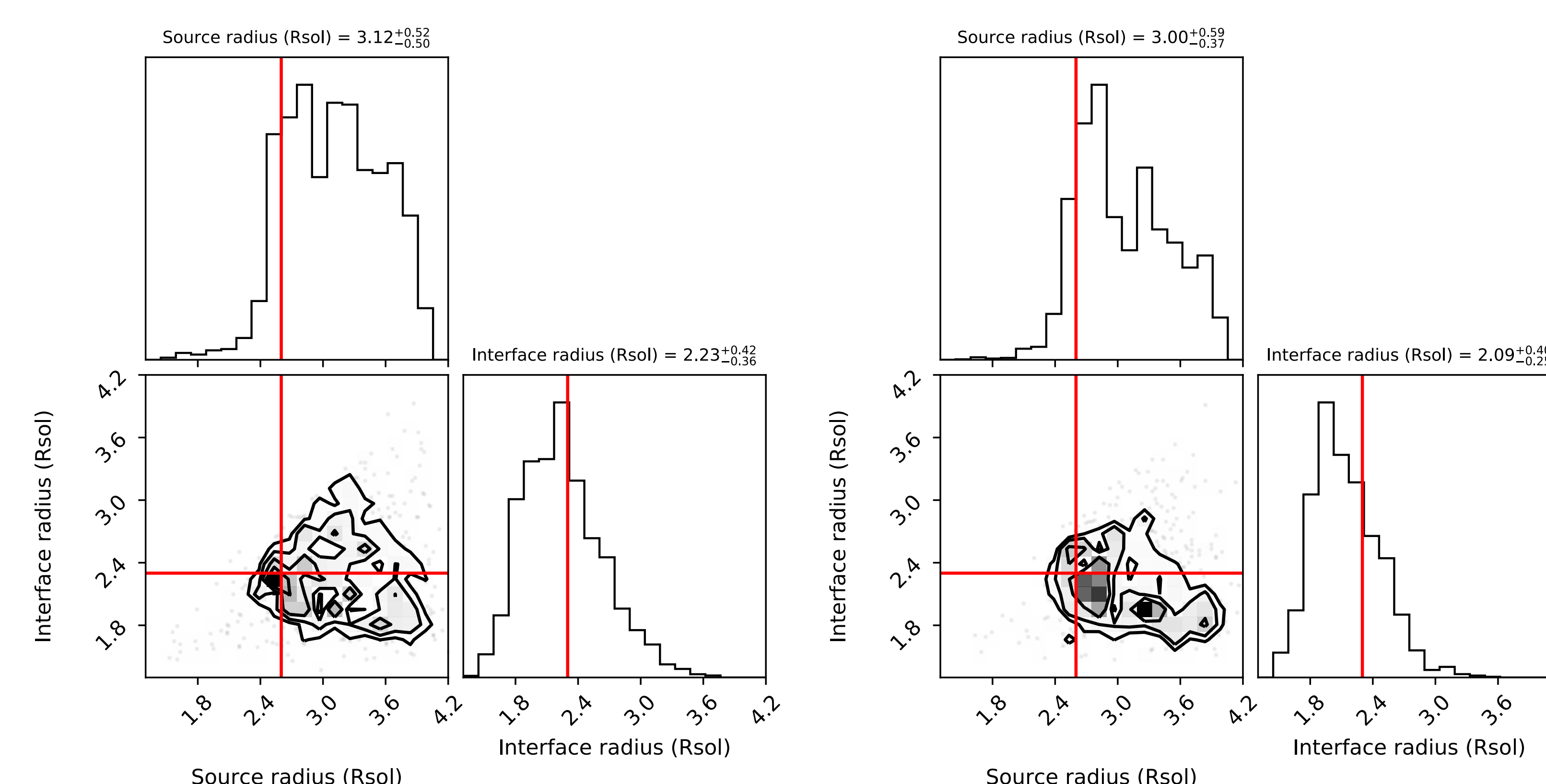


Figure: *Simulated*  $(R_{ss}, R_i) = (2.6, 2.3)$  (red line). 512 samples, 7-day windows, ADAPT map 05 – 1<sup>st</sup> (LEFT) & 2<sup>nd</sup> (RIGHT) of CR 1901 simulated wind + polarities. Gray dots at sample points. 2D histogram (w/ 1D projections) show favored radii.

## Real data analysis on Carrington Rotation (CR) 1901

Particle filtering converges in real data, with greater uncertainty.

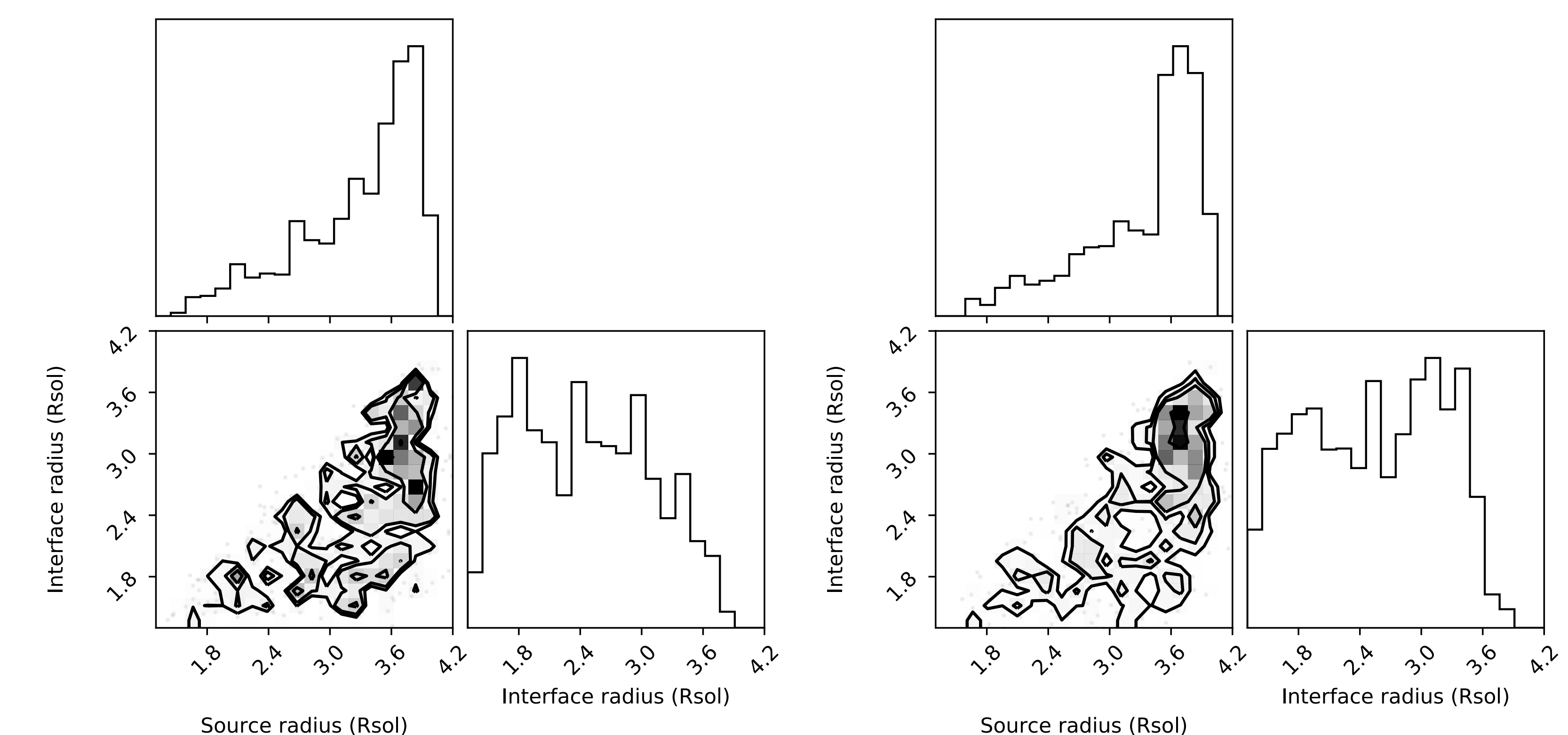


Figure: 512 samples, 7-day windows (start: 1995-09-29), ADAPT map 05 – 1<sup>st</sup> (LEFT) & 2<sup>nd</sup> (RIGHT) of CR 1901 real data.  $H$  metrics weight particle-filter resampling. Peak emerges at high source radius:  $R_{ss} > 3.5R_{\odot}$  fit WIND data. Note: triangular-shaped prior distorts 1D projections, with effect smaller in later iterations.

## Conclusions and future work

**Demonstrated** (for 2 Carrington Rotations): particle filtering can optimize and improve solar wind predictions. While this work is exploratory, it demonstrates how modern adaptive assimilation techniques are useful for space weather applications. Future ideas:

- More Carrington Rotations: show improvement across solar cycle
- ADAPT maps: another dimension to optimize
- Metrics? (1) field-line kinking (2) magnetic flux (3) more satellites

## Acknowledgments

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## Bibliography

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