

# An Avalanche-to-Streamer Transition Criterion for Overstressed Breakdown on a Rising Slope

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**Abstract**—The Meek-Raether criterion underpins much of the current physical understanding of gas breakdown. The classical kinetic approach estimates the moment of transition from Townsend’s avalanche to a streamer discharge, and has very often been used as a means of explaining experimental breakdown results. The Meek-Raether criterion holds great predictive power for the design of gas insulated systems, owing to its reasonable accuracy that has withstood the test of time. However, with the advent of pulsed power technology which often involves fast-rising and non-standard waveshapes applied to complex (nonuniform) electrode topologies, the limitations of the method have been made increasingly apparent. In this work, the avalanche-to-streamer transition criterion has been theoretically revisited for fast-rising pulsed breakdown, particularly for overstressed breakdown occurring on a rising voltage slope. Based on the simplified transport of a Gaussian-distributed electron density, mathematical analyses unveils the time dependent nature of the electron growth rates and their dependence on the voltage slope. Explicit expressions for the breakdown voltage and formative breakdown time, under the assumption of no statistical time lag, as a function of the rate of rise have further been derived for the limiting case of a non-attaching and non-diffusive gas. From this, it was found that electron diffusion may be an important consideration for pulsed breakdown, and an approximate condition separating the diffusion-dominated regime and where diffusion can be neglected is suggested. The novel analytical approach is also shown to be capable of recreating the upward shift of Paschen’s curve with increasing rate of voltage rise, validated against both simulation and experimental data. Furthermore, the predicted field-time breakdown scaling relationship is also shown to describe observed experimental trends well; as do its predictions for streamer initiation time compared to hydrodynamic simulations. The results may be significant for the future development of gas insulated power and pulsed power equipment, and advances the fundamental understanding of fast transient breakdown processes.

**Index Terms**—electron avalanche, streamer discharge, electrical breakdown, gas discharge, pulsed power technology, ionization, mathematical modeling

## I. INTRODUCTION

THE pioneering work of Townsend [1], Paschen [2], Meek [3], Loeb [4]–[6], and Raether [7] revolutionised the collective understanding of gaseous breakdown. The fundamental processes driving gas breakdown underpins the design of all

gas insulated high voltage (HV) systems. Examples include power transmission, distribution, and protection apparatus; pulsed power machines; novel plasma-based technologies; or equipment for high energy physics research. The rapid advancement of HV technology shows no indication of slowing, pushing insulating systems to their limit. For example, novel pulsed power systems operating using nanosecond rising impulses (and often under non-standard electrode geometries, involving non-standard materials) imposes substantial transient electrical stress on insulating components – far above what would have been possible mere decades before. However, many classical breakdown models are still widely in use today, with some indication that they are beginning to be outpaced by technological advances.

The subject of the present work is the ubiquitous Meek-Raether avalanche-to-streamer transition criterion (often just Meek criterion), which for completeness, is the result of the first-order differential equation

$$\frac{\partial N_e}{\partial \ell} = \bar{\alpha} N_e, \quad (1)$$

where  $N_e$  is the number of electrons in an avalanche,  $\bar{\alpha}$  is the effective ionisation coefficient, and  $d\ell$  is a differential distance in the direction of electron transport. It is hereby noted that standard SI units are assumed throughout this work, unless otherwise stated. The solution to (1) predicts exponential growth or decay of the electron number depending on the nature of  $\bar{\alpha}$ :

$$N_e = N_0 \exp \left( \int_{\ell} \bar{\alpha} d\ell' \right), \quad (2)$$

where  $N_0$  is the initial condition. The exponent of (2) determines the growth rate of the avalanche, commonly assigned the letter  $K$  and referred to as the ionisation integral. Since the effective ionisation frequency,  $\bar{\nu}$ , may also be expressed as  $\bar{\nu} = \bar{\alpha} v_d$  with  $v_d$  being the drift velocity, the integral may equivalently be expressed as a function of time,  $t$ , according to

$$K = \ln \frac{N_e}{N_0} = \int_{\ell} \bar{\alpha} d\ell' = \int_t \bar{\nu} dt', \quad (3)$$

which reflects the spatial and temporal nature of the avalanche growth. For an avalanche to transition into a streamer, it is well known that Meek estimated the value of  $K$  to be 18–20 for atmospheric air under a uniform electric field [3], which agreed with empirical estimates by Raether [7]. This baseline value has since been extensively scrutinised and found to be a very reasonable approximation in many cases [8], [9].

T. Wong was supported in part by the Engineering and Physical Science Research Council (EPSRC) under grant number EP/T517938/1. For the purpose of open access, the authors have applied a Creative Commons Attribution (CC BY) license to any Author Accepted Manuscript version arising from this submission.

Manuscript received Month XX, 2024; revised Month XX, 2024.

However, the increasing interest in nonuniform field geometries, novel gases/gas mixtures, and complex time-varying voltage waveforms has highlighted the limitations of the Meek criterion. Many authors have used this theory to fit the parameter  $K$  to experimental breakdown data, finding that  $K$  depends highly on the specific experimental conditions, see for instance, [10]–[15].

The phenomenological nature of the value of  $K$  renders it difficult to make conclusive comparisons between breakdown data or to be certain about the dependencies of  $K$ . On the other hand, while Meek's original theoretical analysis [3] was sound, it was limited by the assumption of a constant and uniform electric field, among others. An improved analysis was conducted by Montijn and Ebert [9], who mathematically modelled the avalanche growth using an evolving Gaussian distribution of electrons, showing that electron diffusion can have significant influence on the avalanche development under certain conditions.

In this work, focus was placed on modelling the avalanche development and transition under a time-varying applied field. Of particular interest are fast-rising impulsive waveforms and overstressed breakdown occurring on the rising slope, relevant to pulsed power science and technology. In the field of pulsed breakdown, there exists numerous (and growing) sets of well-established experimental data, but comparatively few studies investigating novel theoretical descriptions of the breakdown process. To do so, the present work begins with the approach taken by Montijn and Ebert [9], then extending it to explicitly incorporate time dependency. The new mathematical model is then subjected to theoretical analysis, and the predictions of the new model have been compared to practical pulsed breakdown experimental data.

## II. MATHEMATICAL MODEL

This section describes the formulation of the mathematical model. Sections II-A and II-B firstly presents the full set of equations for the simplified transport of a Gaussian distributed electron cloud and the criterion for streamer transition. Section II-C follows to present an approximation to the full model for linearly increasing voltages. Subsequent analysis and discussion, including that of further approximations allowing the derivation of closed solutions under a limiting case, are presented in Section III.

### A. Gaussian Electron Cloud

The approach follows a similar approach to [9] by considering an initial Gaussian distribution of electrons, modelled here in spherically-symmetric coordinates as

$$n_e(r, t_0) = n_0(t_0) \exp\left(-\frac{r^2}{2\sigma_0^2}\right), \quad (4)$$

where  $n_e(r, t)$  is the electron density,  $n_0(t)$  is the time-dependent peak value of the Gaussian,  $\sigma_0$  is the initial spread of the Gaussian, and  $r$  is the radial coordinate. It was firstly assumed that this initial electron 'cloud' at  $t_0$  undergoes constant and field-independent diffusion, characterised by the diffusion constant  $D_e$ . It is remarked that this assumption may

not always be valid as  $D_e$  is, in general, field dependent, but it is nonetheless applied for simplicity. Section V-A encloses further discussion on this assumption and on its limitations. In this case, Fick's law of diffusion dictates that the initial electron cloud of (4) will evolve like

$$n_e(r, t) = n_0(t) \frac{(2\pi\sigma_0^2)^{\frac{3}{2}}}{\lambda_2^3} \exp\left(-\frac{r^2}{\lambda_1^2}\right), \quad (5)$$

where  $\lambda_1$  and  $\lambda_2$  are time-dependent and related to the diffusion length:

$$\begin{aligned} \lambda_1 &= \sqrt{2\sigma_0^2 + 4D_e t}, \\ \lambda_2 &= \sqrt{2\pi\sigma_0^2 + 4\pi D_e t}, \end{aligned} \quad (6)$$

and with the overall expression of (5) describing the broadening of the Gaussian as the electron density diffuses outward, resulting also in the reduction of the peak magnitude with time. Counteracting this diffusive reduction is collisional ionisation which was considered to affect the peak magnitude of the Gaussian cloud. Following the growth equation (1), the Gaussian peak grows according to the ordinary differential equation:

$$\frac{\partial n_0(t)}{\partial t} = \bar{\alpha}[E(t)] v_d[E(t)] n_0(t), \quad (7)$$

where the drift velocity  $v_d[E(t)] = \mu_e[E(t)] E(t)$  with  $\mu_e$  being the electron mobility, and where  $E$  is the externally applied (and assumed uniform) field. Emphasis is placed on the functional dependence on  $t$  for the electric field and transport parameters.

The spatial development of the avalanche was modelled assuming that the Gaussian cloud translates along a single direction at drift velocity, such that the distance travelled,  $\delta(t)$ , of the cloud evolves like

$$\frac{\partial \delta(t)}{\partial t} = \mu_e[E(t)] E(t). \quad (8)$$

Then with motion in, for example, the Cartesian  $x$ -axis would result in the following definition for coordinate  $r$  when applying a Cartesian to spherical transform:

$$r = \sqrt{[x - \delta(t)]^2 + y^2 + z^2}. \quad (9)$$

It is remarked, however, that if the model were to be used assuming electrodeless breakdown, or where the interelectrode gap distance is greater than the critical streamer transition distance, tracking the location of the Gaussian is not strictly necessary. This is because the derivation of the avalanche-to-streamer transition criterion is based only on the magnitude of the electron density and on its induced field.

### B. Streamer Transition Criterion

Maintaining the Gaussian form over the course of its transport was an important assumption applied to the present

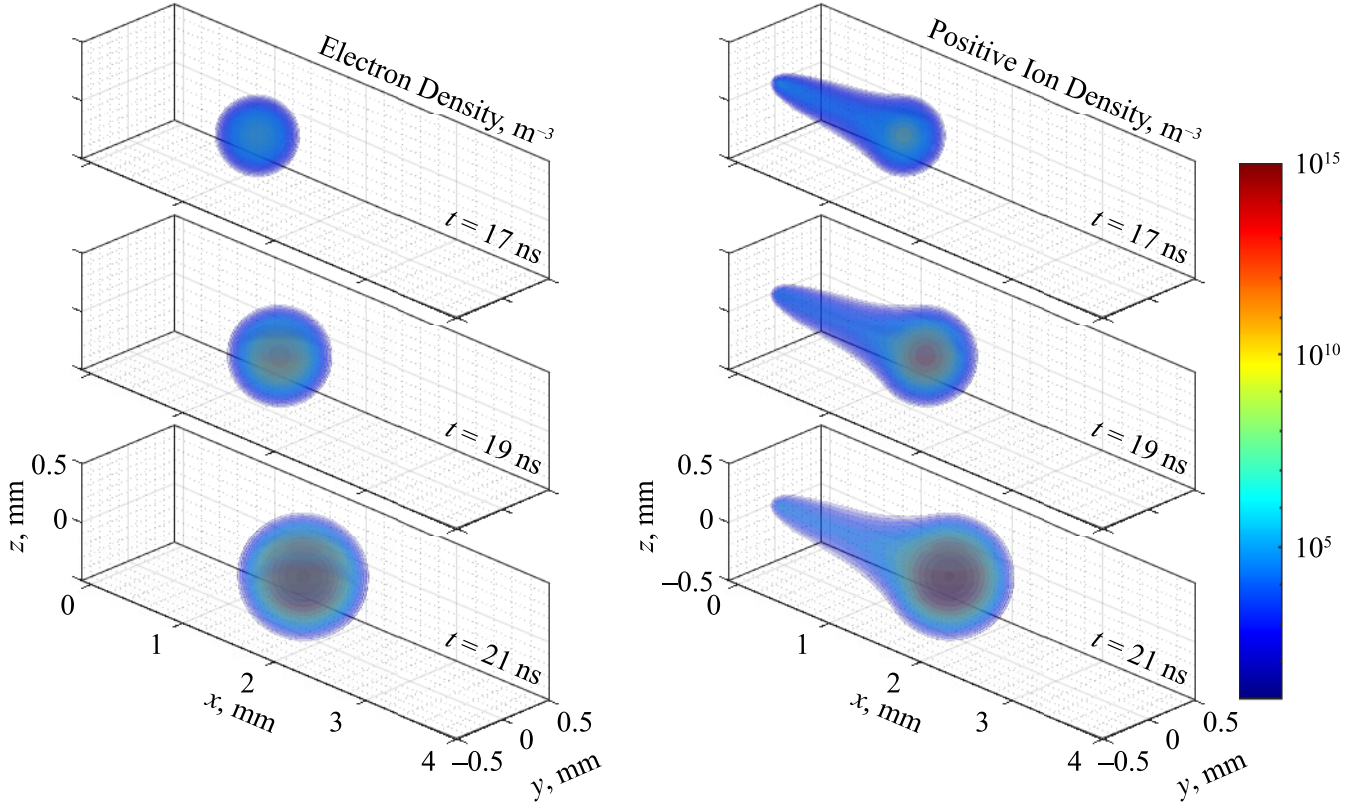


Fig. 1. Volumetric rendering of the estimated (left) electron density (right) positive ion density of an electron avalanche developed across a 4 mm air gap with 0.5 kV/ns applied ramp voltage, at  $t = 17$ , 19, and 21 ns. This figure is from the numerical solution of the full model (4)–(12).

model, as a closed-form analytical solution for the electron-induced electric field exists for this distribution, given by:

$$E_e(r, t) = \bar{e} n_0(t) \frac{(2\pi\sigma_0^2)^{\frac{3}{2}}}{4\pi\epsilon} \times \left[ \frac{1}{r^2} \operatorname{erf}\left(\frac{r}{\lambda_1}\right) - \frac{2}{r\lambda_1\sqrt{\pi}} \exp\left(-\frac{r^2}{\lambda_1^2}\right) \right], \quad (10)$$

where  $\bar{e}$  is the elementary charge,  $\epsilon$  is the permittivity, and  $\operatorname{erf}$  is the error function. While Meek originally considered the moment of transition to be when the charge-induced field became equal to the applied field, a more general approach was taken as in [9]. Since an exact value of field necessary for transition is not known (and may vary depending on exact conditions), the more general condition of

$$\max_{r,t} E_e(r, t_b) = f \cdot E(t_b) \quad (11)$$

was instead used, where  $f \cdot E(t_b)$  is an arbitrary fraction of the applied field, and where  $t_b$  is the time of breakdown. In [9], a value of  $f = 1.03$  (3% enhancement at the avalanche front) was found to be reasonable based on simulated data. It follows that the set of equations (4)–(10) can be solved numerically to obtain the moment that the condition (11) is satisfied, providing the breakdown time  $t_b$ , the corresponding breakdown field,  $E_b$ , and if applicable, the breakdown voltage  $V_b$ .

At this point it is important to note that the positive ions resulting from collisional ionisation have not been considered. This is primarily because the ions left in the wake of an

avalanche cannot be assumed to maintain a Gaussian form, and thus would render an analytical description of the ion-induced field highly nontrivial. It is remarked that the positive ion density,  $n_+(r, t)$ , can be computed numerically by solving

$$\frac{\partial n_+(r, t)}{\partial t} = \max\left(\frac{\partial n_e(r, t)}{\partial t}, 0\right), \quad (12)$$

but only when under the assumption that positive ion production is solely due to collisional ionisation, and that no other process (e.g., recombination, photoionisation) is present that would otherwise affect the positive ion density. While the electric field arising from the ion density (12) has no simple solution, (12) is nevertheless useful for visualisation purposes. To illustrate, Figure 1 shows an electron avalanche according to (4)–(12), including electron and positive ion densities that develop under a ramp voltage rising at 0.5 kV/ns in a 4 mm air gap, rendered in 3D. The volumetric rendering of the avalanche is in qualitative agreement with the classical ‘teardrop’ shape as often used to represent avalanches [16], [17]. It also agrees with those originally imaged in cloud chambers [7], and more recently, simulated using kinetic methods [18].

### C. An Approximation for Ramp Electric Fields

The full model is comprised of equations (4)–(11), the numerical solution of which can be sought for arbitrary  $E(t)$  and given functions for the ionisation coefficient and mobility. In this section, however, it is shown that with the help of

empirical fitting expressions, a closed form solution to (10) can be found for linearly increasing electric fields. This is of particular relevance to many pulsed power systems that operate in the overstressed regime, such that breakdown always occurs on the rising slope of an impulse.

The maxima of (10) cannot be found in closed form, however, as explained in [9], the position where  $r = \lambda_1$  approximates the location of the field maximum, resulting in the expression

$$E_{e,max}(t) \approx \frac{\bar{e}n_0(t)}{4\pi\epsilon} \frac{(2\pi\sigma_0^2)^{\frac{3}{2}}}{\lambda_1^2} \left[ \text{erf}(1) - \frac{2}{e\sqrt{\pi}} \right]. \quad (13)$$

It remained that analytical solutions must be sought for the peak electron density (7), which necessitated expressions for the transport parameters  $\bar{\alpha}(t)$  and  $\mu_e(t)$  such that the product of  $\bar{\alpha}(t)\mu_e(t)E(t)$  is time-integrable. Note that the functional dependence on  $E(t)$  has been changed simply to  $t$  here for brevity, considering that the field is dependant only on time, imparting a time dependence to the transport parameters. In general, no exact expressions exist for such parameters and empirical formulae are more often used when using transport parameters in, for example, numerical simulations. However, approximate solutions can be obtained by assuming  $\bar{\alpha}(t)$  and  $\mu_e(t)$  can be fit using the commonly-used functions:

$$\bar{\alpha}(t) \approx A_\alpha \exp \left[ -\frac{B_\alpha}{E(t)} \right] - C_\alpha, \quad (14)$$

$$\mu_e(t) \approx A_\mu E(t)^{-B_\mu}, \quad (15)$$

where  $A_\alpha$ ,  $B_\alpha$ ,  $C_\alpha$ ,  $A_\mu$ , and  $B_\mu$  are constant coefficients unique to the gas type, see for example [8]. This of course means that this approximation is only valid within the range where the above coefficients are also valid. As the approximation here is for linearly increasing electric fields,  $E(t)$  therefore has the form

$$E(t) = \mathcal{D}t, \quad (16)$$

where the symbol  $\mathcal{D}$  is the rate of rise of the electric field. Combining (14), (15), (16) and absorbing the constants, one finds that (7) can be expressed as the separable equation

$$\frac{\partial n_0(t)_{ramp}}{\partial t} = t^{k_1-1} \left[ k_2 \exp \left( -\frac{k_3}{t} \right) - k_4 \right] n_0(t)_{ramp}, \quad (17)$$

where constants  $k_{1-4}$  have the definitions

$$\begin{aligned} k_1 &= 2 - B_\mu, & k_2 &= A_\alpha A_\mu \mathcal{D}^{k_1-1}, \\ k_3 &= B_\alpha / \mathcal{D}, & k_4 &= A_\mu C_\alpha \mathcal{D}^{k_1-1}, \end{aligned} \quad (18)$$

and which can be shown to have the solution

$$n_0(t)_{ramp} = n_0(t_0) \exp \left[ k_2 k_3^{k_1} \Gamma \left( -k_1, \frac{k_3}{t} \right) - \frac{k_4}{k_1} t^{k_1} \right], \quad (19)$$

where  $\Gamma(a, t)$  is the upper incomplete gamma function with the definition

$$\Gamma(a, t) = \int_t^\infty \xi^{a-1} e^{-\xi} d\xi. \quad (20)$$

Application of the condition (11) with equations (13) and (19) comprise the approximate analytical solution for ramp

voltage breakdown, which can be obtained without the use of numerical methods like before. In the section that follows, analysis conducted on the obtained expressions is presented.

### III. THEORETICAL ANALYSIS AND RESULTS

#### A. The Ionisation Integral, $K$

Equation (19) provides new perspectives on the avalanche development processes during a rising voltage slope, as it relates the growth of the electron density to the gas transport parameters and to the time-increasing field. It is useful to compare (19) to the standard exponential solution in the case where the applied field is constant, for which the reader is reminded takes the form

$$\bar{n}_0(t) = n_0(t_0) \exp(\nu t - \nu_a t), \quad (21)$$

where  $\nu$  and  $\nu_a$  are the ionisation and attachment frequencies, respectively. The net rate of electron growth or decay is therefore determined by the balance between ionisation and attachment. The solution under ramp energisation takes the same form, and comparison between (21) and (19) shows that the constant ionisation and attachment rates in (21) that originally produced exponential growth at constant rate, are now considerably more complex, with

$$\begin{aligned} \nu t &\rightarrow k_2 k_3^{k_1} \Gamma \left( -k_1, \frac{k_3}{t} \right) = \mathcal{I}(t), \\ \nu_a t &\rightarrow \frac{k_4}{k_1} t^{k_1} = \mathcal{A}(t), \end{aligned} \quad (22)$$

such that the exponent is no longer linear with time, reflecting the time-varying nature of the ionisation and attachment frequencies with increasing field. The symbols  $\mathcal{I}$  and  $\mathcal{A}$  have been adopted to indicate the rates of growth (due to ionisation) and decay (due to attachment) of the electron density, respectively. The upper incomplete gamma function in (19) dictates that the electron growth rate due to ionisation increases slowly at first, slower than that of the linear  $\nu t$  (i.e., constant  $\nu$  under a static field), but increases rapidly to grow faster than  $\nu t$  (with power-law like behaviour). This is counteracted by attachment, which according to (22) now follows a power law. The exact nature of the net growth rate is of course dependent on the constants  $k_{1-4}$ , which correspondingly means that the rate of rise  $\mathcal{D}$  also has influence. This behaviour is believed to reflect the slow avalanche development at the beginning of the rising slope, which then rapidly increases due to the increase in both voltage and the corresponding electric field.

It follows from (19) that an effective value for the ionisation integral,  $K$ , in this case is also a function of diffusion,  $D_e$ , and may be written

$$K_{ramp}(t) = \ln \left( \frac{n_e(0, t)}{n_0(t_0)} \right) + \frac{3}{2} \ln \left( 1 + \frac{2D_e t}{\sigma_0^2} \right), \quad (23)$$

such that in the zero-diffusion limit,  $K_{ramp}$  reduces to the standard definition of  $K$ . The second term of (23) shows the increase of the  $K$  value with increasing diffusion, an indicator that diffusion delays the development of sufficient electrons to distort the applied field (see also the discussion within Section V-A). This therefore increases  $K$  at the time of breakdown, as similarly concluded in [9].

### B. Explicit Solutions for Zero-diffusion and Non-attaching Limit

It is well known that the impulsive breakdown strength of gas is higher than that of the static breakdown strength, and that it increases with faster-rising voltages. However, the physical mechanism behind this increase is not yet clear and would benefit from further analysis. To further understand the effect of  $\mathcal{D}$  on the avalanche development process, this section deals with the limiting case where electron diffusion and attachment are assumed negligible. Both of these mechanisms tend to reduce the electric field magnitude induced by the avalanche - the former reduces the charge density gradients near the front, while the latter results in an overall reduction of the electron density, effectively delaying the moment of field distortion. It is shown in the following derivations that explicit solutions for  $t_b$ ,  $E_b$ , and  $V_b$  can be obtained under these assumptions, providing closed-form expressions that allow the dependence of  $E_b$  on rate of rise to be explained. It should be noted that in general, diffusion may not be negligible and is dependent on the exact conditions of breakdown. In Section V-A, an approximate condition to the applicability of the zero-diffusion condition to discharges under fast-rising voltages is derived, and it is further hypothesised that the effective  $K$  value for overstressed breakdown exhibits a local minimum as a function of  $\mathcal{D}$  due to diffusion. Despite this, the introduction of a physically-based fitting factor allows the expressions obtained within this section to be directly applied as a predictive model in the overstressed breakdown regime, which is supported by comparison to experimental data in Section IV.

To show the explicit dependence on  $\mathcal{D}$ , it is useful to introduce

$$\begin{aligned}\gamma_1 &= A_\alpha A_\mu B_\alpha^{k_1}, \\ \gamma_2 &= \frac{A_\mu C_\alpha}{k_1},\end{aligned}\quad (24)$$

which allows the redefinition of the ionisation and attachment rates,  $\mathcal{I}(t)$  and  $\mathcal{A}(t)$  of (22), to a more convenient form involving  $\mathcal{D}$ :

$$\begin{aligned}\mathcal{I}(t) &\rightarrow \frac{\gamma_1}{\mathcal{D}} \Gamma\left(-k_1, \frac{B_\alpha}{\mathcal{D}t}\right), \\ \mathcal{A}(t) &\rightarrow \frac{\gamma_2}{\mathcal{D}^{1-k_1}} t^{k_1}.\end{aligned}\quad (25)$$

By then introducing the non-diffusive and non-attaching assumption ( $D_e = C_\alpha = 0$ ), the approximate maximum electron field according to (13) may be written in the form

$$E_{e,max}^{D,C_\alpha=0} = C_0 \exp \mathcal{I}(t), \quad (26)$$

where  $C_0$  is a constant given by

$$C_0 = \frac{\bar{e}n_0(t_0)}{4\pi\epsilon} \frac{\sqrt{2\pi\sigma_0^2}}{2\sigma_0^2} \left[ \operatorname{erf}(1) - \frac{2}{e\sqrt{\pi}} \right]. \quad (27)$$

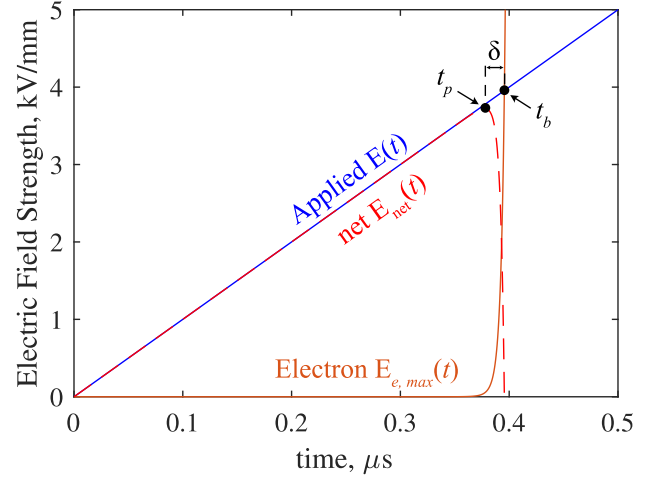


Fig. 2. Example of electric field strength over time for the applied ramp, max. electron field, and net electric field. Indicated are:  $t_b$  – time for the electron field and applied field to equal in magnitude, and  $t_p$  – time for the net field to reach a maximum. The difference,  $\delta$ , is assumed negligible for the derivation of the closed-form approximation.

While the breakdown criterion is stated as (11), one may arrive at a good approximation of the solution by instead solving

$$\begin{aligned}\frac{\partial}{\partial t} [f \cdot \mathcal{D}t_p - C_0 \exp \mathcal{I}(t_p)] \\ = f\mathcal{D} - C_0 \frac{\partial \mathcal{I}(t_p)}{\partial t} \exp \mathcal{I}(t_p) = 0,\end{aligned}\quad (28)$$

where it should be emphasised that  $t_p$  here is the time at which the *maximum electron field rate of change equals the rate of change of the applied field*. This differs from  $t_b$  as defined in (11) which referred to the time that the fields became equal in magnitude. Equation (28) solves instead for the *peak of the net electric field*, and defines the breakdown time  $t_p$ . The time at which their rates match is equivalent to the time at which the net field begins to collapse, which happens so rapidly that  $t_p \approx t_b$  is a reasonable approximation, as shown in Figure 2. Furthermore, if the initial electron field is small (which would be the case assuming the avalanche was initiated from typical background ionisation levels), such that at time  $t_0^+$  the applied field rises far more rapidly than the electron field,  $E_{e,max}(t_p)$  must necessarily be smaller than  $E(t_p)$ . Without loss of generality, the former may then be expressed as some fraction  $g$  of the applied field at this moment,  $g \cdot E(t_p)$ , allowing (28) to be rewritten as

$$t_p \frac{\partial \mathcal{I}(t)}{\partial t} = \frac{f}{g}. \quad (29)$$

The fraction  $g$  essentially becomes a fitting factor, however, the significance and selection of  $g$  is discussed further in Section III-C. Equation (29) can be shown to have the following closed form solutions

$$\begin{aligned}t_b &= \frac{B_\alpha}{\mathcal{D}k_1\omega(\mathcal{D})}, \\ E_b &= \frac{B_\alpha}{k_1\omega(\mathcal{D})}, \quad V_b = \frac{B_\alpha d}{k_1\omega(\mathcal{D})},\end{aligned}\quad (30)$$



for the breakdown time, breakdown field, and if applicable (developed between planar electrodes with separation  $d$ ), the breakdown voltage. The function  $\omega(\mathcal{D})$  has the definition

$$\omega(\mathcal{D}) = W \left[ \frac{1}{k_1} \left( \frac{g\gamma_1}{f\mathcal{D}} \right) \frac{1}{k_1} \right], \quad (31)$$

where  $W$  is the principal branch of the Lambert  $W$  (or product-log) function. Using fitting coefficients for Nitrogen from [8], Figure 3 plots  $t_b$  and  $E_b$  as a function of  $\mathcal{D}$ , the range of which was selected to be from  $10^{10}$  to  $10^{16}$  V/m·s, representing pulses peaking at tens to hundreds of kV within the nanosecond to hundreds of microsecond range, and in gaps of tens of millimeters separation. The time to breakdown expectedly decreases with increasing  $\mathcal{D}$  owing to the quicker onset of ionisation and avalanche development. The breakdown field,  $E_b$ , was predicted to increase with increasing  $\mathcal{D}$  following known experimental tendencies. The present model offers the following explanation of this behaviour. Under the zero diffusion and non-attaching assumption, both the applied field and electron-induced field are monotonically increasing functions with time. In the absence of significant pre-ionisation, the rate at which the electron field can increase initially (at  $t_0^+$ ) is always lower than  $\mathcal{D}$ . As such, the moment that the net field is maximised (moment of field collapse) is equal to the moment that the rate of change of the electron field equals the rate of the rising applied field,  $\mathcal{D}$ . The mathematical equivalent to this statement is equation (28). With an increase to  $\mathcal{D}$ , the increase in the rate of rise of the applied field is greater than the increase to the rate of rise of the electron-induced field. This may further be confirmed based on the limit:

$$\lim_{\mathcal{D} \rightarrow \infty} \frac{\mathcal{D}t}{C_0 \exp \mathcal{I}(t)} = \infty. \quad (32)$$

Thus, despite higher values of  $\mathcal{D}$  reducing  $t_b$ , the corresponding field at the moment that the rates are matched,  $E_b$ , becomes larger, as shown in Figure 3.

Based on (25), it may further be said that the above effect is due to the differing rates at which the ionisation coefficient,  $\alpha(t)$ , and electron mobility,  $\mu_e(t)$ , change with increasing electric field (and hence increasing  $\mathcal{D}$  considering the present ramp field). From (14) and (15), ionisation activity increases with increased  $E$ , while mobility decreases. Their rates of change, however, are not equal, with the former increasing like  $\exp(-1/E)$  and the latter decreasing with  $E^{-B_\mu}$ . It is evident that the rate mobility decreases is faster than the rate that ionisation increases with increasing field. This limits the rate of change of  $E_{e,max}$  with increasing  $\mathcal{D}$  such that (32) becomes imposed.

### C. The Nature of Parameter $g$

As defined in Section III-B, the fraction  $g$  represents the ratio between the magnitudes of the electron field and applied field *at the moment that the net field reaches a maximum*. In general,  $g$  will be a function of  $\mathcal{D}$ , transport parameters, and of the initial field condition given by  $C_0$ . Figure 3 compares the closed form breakdown field solution of (30)

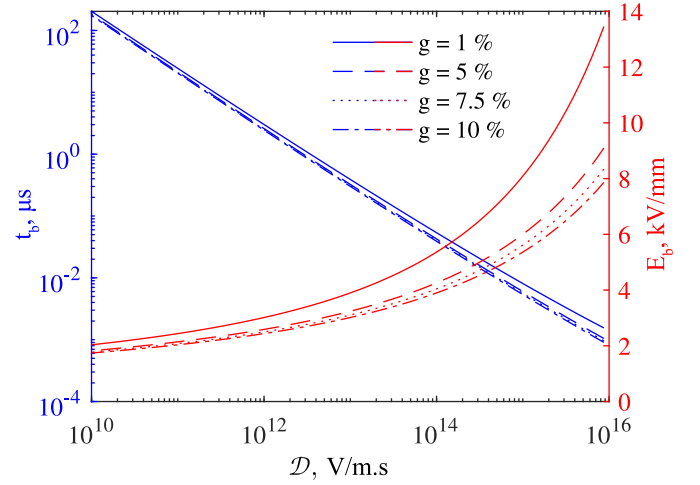


Fig. 3. The estimated breakdown time,  $t_b$ , and breakdown field magnitude,  $E_b$ , in the zero-diffusion, non-attaching limit (equations (30)), as a function of the rate of field rise,  $\mathcal{D}$ , and for  $g = 1, 5, 7.5$ , and  $10\%$ . Transport data used represents Nitrogen.

for differing values of  $g$  across several magnitudes of the value  $\mathcal{D}$  in Nitrogen. When  $C_0$  is small, it was found that  $g$  values generally do not need to exceed  $\sim 10\%$  to provide very good agreement between the closed form approximation and the full numerical solution, across a wide range of  $\mathcal{D}$ . This also suggests that the sensitivity of  $g$  to  $\mathcal{D}$  may not be significant. This range of values indicate that the moment when the electron field rate of change matches that of the applied field, its magnitude is generally  $< 10\%$  of the applied field magnitude. Yet, the subsequent ionisation processes occur with such intensity that the field magnitudes become equal within a sufficiently short time for the assumption of  $t_p \approx t_b$  to be appropriate. The value of  $g$  increases with higher initial fields,  $C_0$ , but the exact nature of this increase is not entirely clear given the strong coupling between  $t_b$ ,  $C_0$ , and  $g$ . Clarification of this relationship is identified as an important future step for this model. It is remarked that in the absence of such an explanation, the value of  $g$  can be identified for a given model by choosing  $g$  such that the expressions (30) provide the best fit with the solutions to (7)–(10). The experimental comparisons within Section IV and subsequent discussion in Section V-A also suggest that  $g$  may have a strong dependency on diffusion,  $D_e$ .

## IV. MODEL VALIDATION AND COMPARISON TO EXPERIMENTAL RESULTS

This section aims to compare the predicted results from the developed model to available experimental data found in literature. Section IV-A firstly focuses on the observed upward shift of Paschen's curve with higher rate of voltage rise, comparing the present mathematical solutions to simulated and experimental data. Then, Section IV-B follows to compare the predicted field-time scaling characteristics to a collation of experimental pulsed breakdown data, conducted under various common gases. Section IV-C compares the scaling of the estimated breakdown time as predicted by (30) with initiation

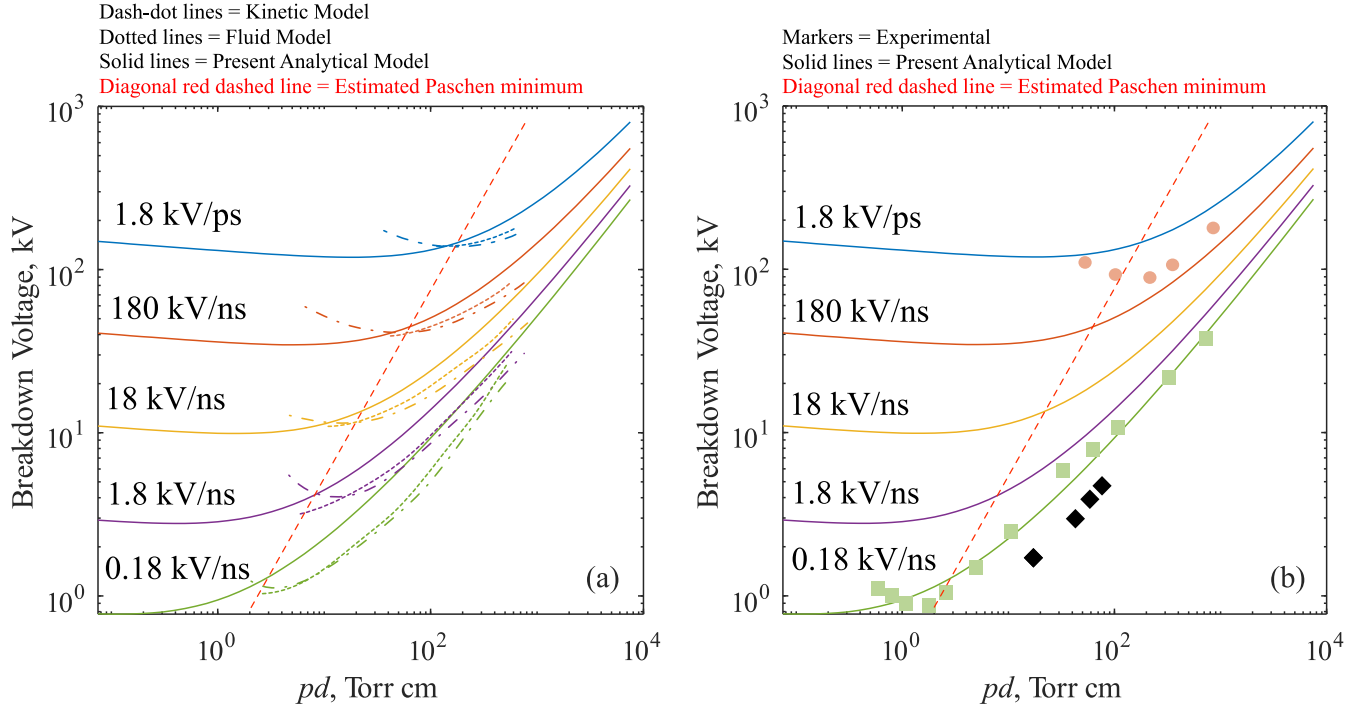


Fig. 4. Comparison of Paschen scaling curves for Nitrogen subject to ramp voltage as estimated by the present model to (left) fluid and kinetic simulation data from [21], (right) experimental data from [23], for different voltage ramps. Solid black diamond markers are the static breakdown case, and the red dashed line indicates the position of the estimated Paschen minimum and approximate limit where the model predictions begin to diverge.

times computed from hydrodynamic streamer simulations in [19]. For the model, the selection of initial electron density  $n_0(t_0)$  and initial spread  $\sigma_0$  was informed by approximate values for background ionisation densities, details of which can be found in Appendix A. It should also be noted that the experimental data chosen for comparison are exclusively those that employed some method to provide initial electrons (e.g., UV irradiation). These datasets therefore effectively reduce the statistical time lag in the total measurement of  $t_b$  to zero, allowing appropriate comparison with the present model which considers only the formative breakdown time.

#### A. Pulsed Paschen Curves

The classical Paschen's Law established a relation between the breakdown voltage in gas to the pressure-distance ( $pd$ ) product for breakdown under steady-state electric fields. The Paschen scaling for pulsed breakdown is known to deviate from the law in its original form, where the Paschen curve has been found to exhibit an upward shift with higher values of  $dV/dt$  both experimentally [20] and computationally [21]. This section verifies that the present model also exhibits  $pd$  scaling, while also showing that the upward shift with  $dV/dt$  can also be reasonably predicted using this method.

Consider Figure 4(a) and 4(b). The former shows the predictions of the present model compared to numerical modelling results in [21] using hydrodynamic and kinetic approaches. The latter compares these same predictions to experimental data from [20]. The conditions used here incorporate a ramp voltage rising up to 180 kV with various rise times resulting in the rates-of-rise indicated in the figure, applied to a gas gap of

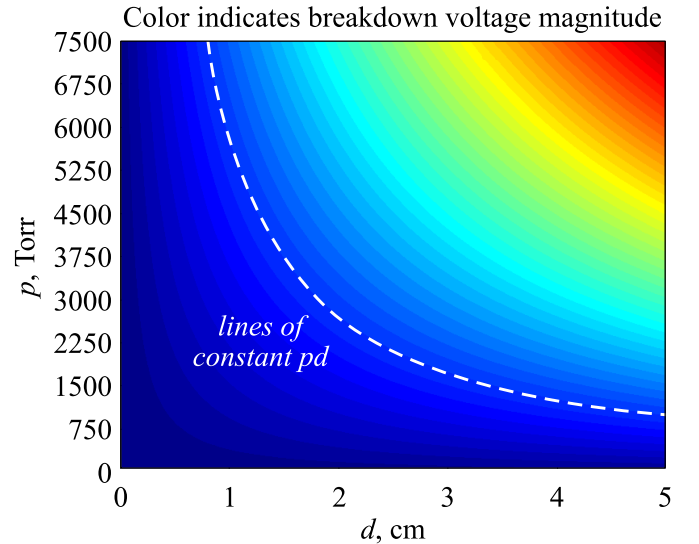


Fig. 5. Colour map of the full  $pd$  space from  $p$  up to 7500 Torr (1 to 10 bar), and  $d$  up to 5 cm. Colour indicates the breakdown voltage magnitude, which have equipotentials that align with curves of constant  $pd$ , satisfying  $pd$  scaling. No colour bar is shown as the exact value is unimportant here.

1 cm filled with pure Nitrogen. Reasonable agreement between the present analytical approach, experimental data, and the far more complex numerical approaches has been observed - at least to the right of the Paschen minimum. Deviations begin to occur near the estimated location of the Paschen minimum indicated by the red dashed line. It is remarked that neither the present model nor fluid approaches from [21] could accurately recreate the left branch, suggesting significant changes in the

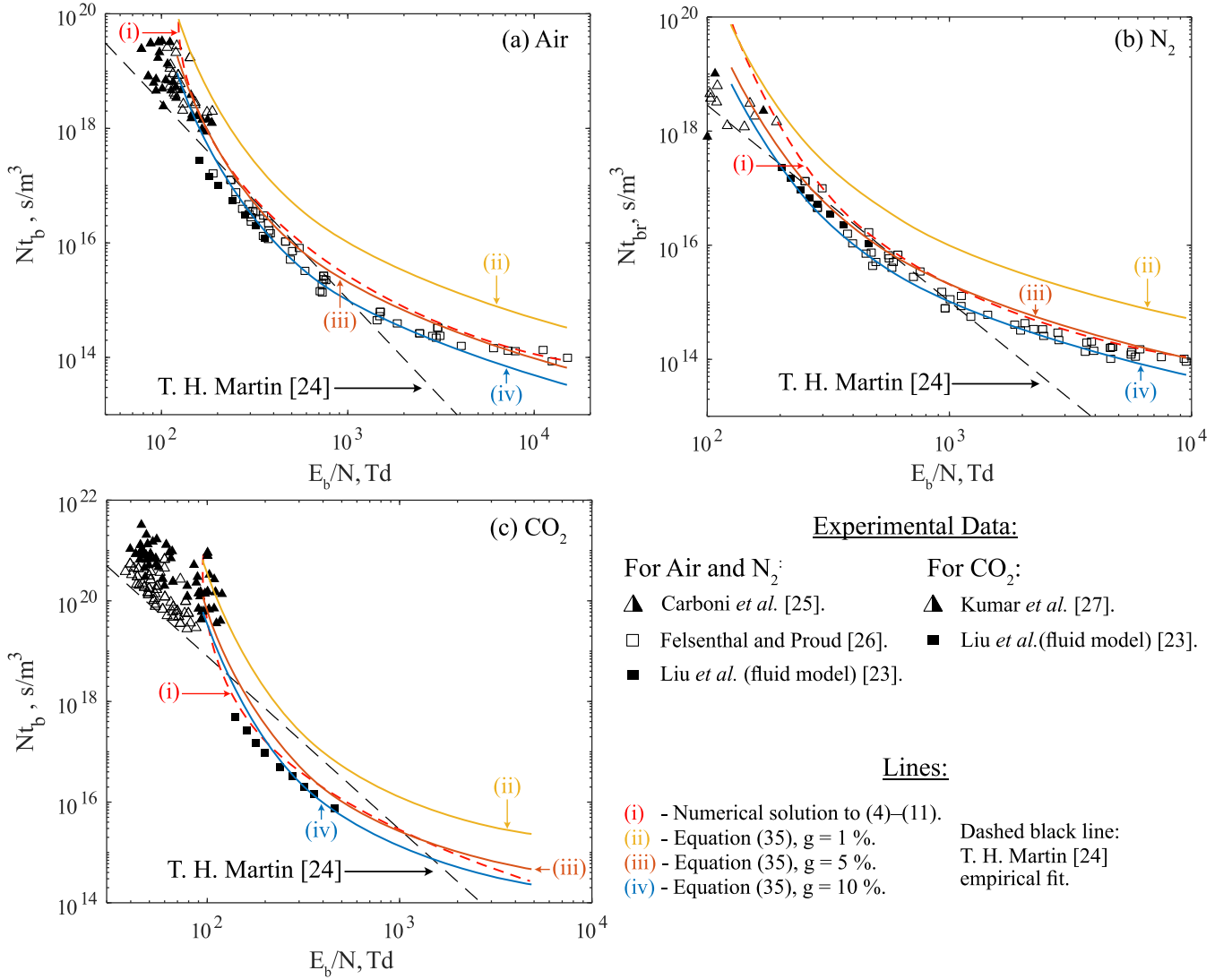


Fig. 6. Field-time scaling curves for (a) Air, (b) Nitrogen, (c) Carbon Dioxide, comparing experimental datapoints with the solution to the full numerical model and approximations at different values of parameter  $g$ . References enclosed in the shared figure legend. Note that selected data represent those that were gathered with methods to render the statistical time lag negligible, as the present model also considers only the formative breakdown time.

breakdown mechanism within this region to do with kinetic or non-local effects. This is, however, left as a topic for future work. To confirm that the predicted breakdown voltages indeed exhibits scaling with  $pd$ , the  $pd$  space from  $p = 0$  to 7500 Torr (1 to 10 bar) and  $d = 0$  to 5 cm has been plotted in Figure 5, where the colour map is the predicted breakdown voltage (numerical values of which are unimportant to verify the  $pd$  scaling, thus have been omitted), for a constant value of  $D$ . The dashed white line of Figure 5 indicates the lines of equipotential, which can be seen to align with lines described by  $pd = c$  where  $c$  is a constant, confirming that the present model indeed exhibits scaling with the product  $pd$ . It should also be noted that the Lambert- $W$  function,  $W(x)$  as featured in (31) is asymptotic to  $\ln x - \ln(\ln x)$  [22]. In which case, the closed form breakdown voltage from (30) can be further approximated to have the following proportionality:

$$V_b \propto \frac{pd}{\ln(kp) - \ln(\ln(kp))}, \quad (33)$$

where  $k$  is a constant for a given gas and  $D$ . Emphasis is placed on the form of (33), which bears a striking resemblance to that of the classical Paschen's law.

### B. Breakdown Field-Time Scaling Characteristics

A method often employed to compare pulsed breakdown data is to plot the *field-time scaling* relationship of experimental breakdown results. This involves plotting the scaled breakdown time  $Nt_b$  against the reduced breakdown field  $E_b/N$ , where  $N$  is the neutral gas density. In [23], numerous pulsed breakdown datasets were collated by the authors and compared in this way, which were also compared to a well-known empirical relation introduced by T. H. Martin [24]. The authors of [23] further compared datasets to the classical kinetic approach (Meek criterion), where the characteristic curve can be derived to be of the form

$$Nt_b = \frac{K}{\bar{\alpha}\mu_e} \cdot \frac{1}{E_b/N}, \quad (34)$$



where  $K$  is assumed to be 18. From (30), the scaling characteristic estimated by the present model can be written in a similar form:

$$Nt_b = \frac{B_\alpha^2}{k_1^2 \mathcal{D} \omega^2(\mathcal{D})} \cdot \frac{1}{E_b/N}, \quad (35)$$

but which now explicitly shows dependence on rate of rise,  $\mathcal{D}$ . In [23], equation (34) was shown to be in reasonable agreement with experimental data when evaluating (34) using field-dependent forms of  $\bar{\alpha}$  and  $\mu_e$ . However, as the classical approach did not explicitly consider  $\mathcal{D}$ , it offered little insight into the relationship it had with  $t_b$  and  $E_b$ , which the novel approach developed here was able to provide through (35) and through the previous analysis in Section III. Figures 6(a) to 6(c) presents a comparison between the experimental data collated in [23] to the scaling relationship (35) from the present model in air, Nitrogen, and  $\text{CO}_2$ . Data includes experiments conducted by Carboni *et al.* [25], Felsenthal and Proud [26], Kumar *et al.* [27], and fluid modelling data from Liu *et al.* [23]. Furthermore, both the solution to the full model (4)–(11) and its approximations in the zero-diffusion and non-attaching limit (30) have been plotted. To also show the sensitivity of the approximate scaling relation (35) to the parameter  $g$ , separate lines have been plotted for  $g$  of 1%, 5% and 10% of the applied field.

Overall, good agreement was found between the experimental data and the present model across a wide range of conditions. The approximations (30) also align with the full numerical solution very well, where a  $g$  value of 5–10% generally provided the best fit between the data and the full numerical approach.

### C. Streamer Initiation Time

In Wong *et al.* [19], simulations of primary ionization waves initiated within air- and  $\text{CO}_2$ -filled needle-plane electrode gaps of  $250 \mu\text{m}$  were conducted. The authors reported linear scaling of the observed wavefront initiation time with the inverse of rate of voltage rise (under ramp voltages), their results of which are reprinted here as Figure 7. Based on the closed-form approximations (30) developed in this work, it can be shown that the linear scaling of Figure 7 can be explained by, and is consistent with, the predictions of the present model.

Consider that the approximation for  $t_b$  according to (30) can be written as the proportionality

$$t_b \propto \frac{1}{\mathcal{D} \omega(\mathcal{D})}, \quad (36)$$

since  $B_\alpha$  and  $k_1$  are constant for a given gas. The function  $\omega(\mathcal{D})$  from (31) is plotted over the range  $10^{10} \leq \mathcal{D} \leq 10^{17}$  in the semi-log plot of Figure 8, from which there are two notable features. Firstly, the almost linear relation suggests that  $\omega(\mathcal{D})$  can be well approximated by a relation of the form  $\omega(\mathcal{D}) \approx k \log \mathcal{D}$ , such that  $\omega(\mathcal{D}) \propto \log \mathcal{D}$ . Secondly, comparison between the magnitudes of  $\omega(\mathcal{D})$  values to the corresponding values of  $\mathcal{D}$  shows clearly that  $\omega(\mathcal{D})$  does not exhibit strong sensitivity to  $\mathcal{D}$ . This may further be confirmed by the derivative

$$\frac{\partial}{\partial \mathcal{D}} \log \mathcal{D} \propto \frac{1}{\mathcal{D}}. \quad (37)$$

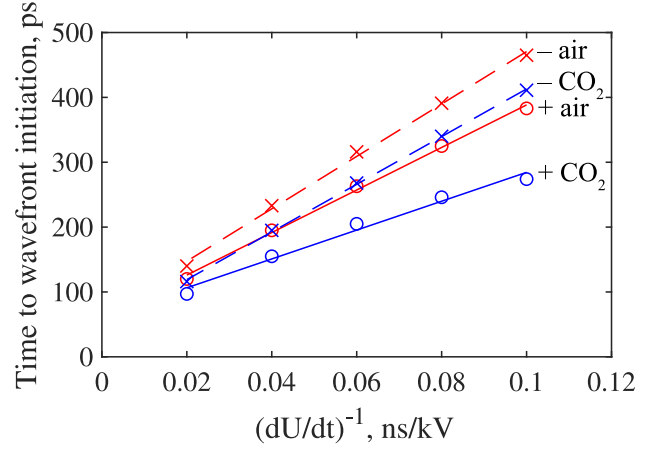


Fig. 7. Linear scaling of the streamer initiation time with  $(dU/dt)^{-1}$  from [19], for positive and negative ramp voltages, in air and in  $\text{CO}_2$ . Image reproduced with permission from [19] under CC BY 4.0.

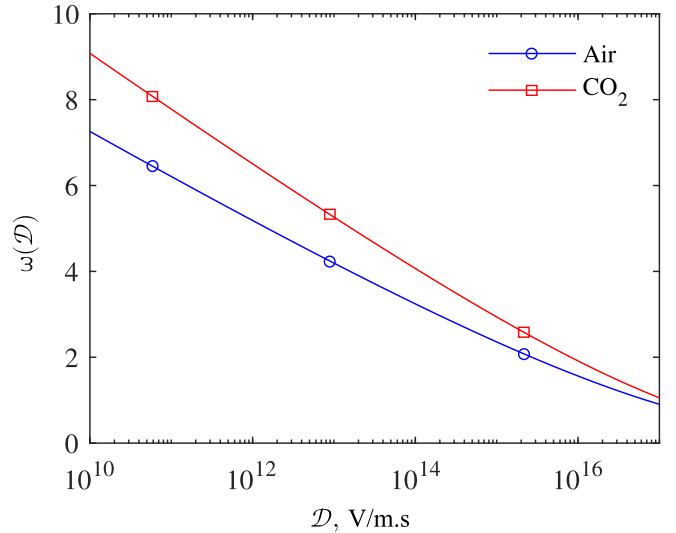


Fig. 8. The function  $\omega(\mathcal{D})$  for air and  $\text{CO}_2$  over several magnitudes of  $\mathcal{D}$ . The nearly linear character suggests  $\omega(\mathcal{D}) \propto \log \mathcal{D}$  is a good approximation.

It follows, therefore, that for the high  $\mathcal{D}$  values within the ranges of interest (and as used in Wong *et al.* [19], which were in the approximate range of  $10^{16} - 10^{17}$  V/m.s), the induced change to  $\omega(\mathcal{D})$  over this range can effectively be assumed to be negligible. It may be said, therefore, that the proportionality (36) reduces to

$$t_b \propto \frac{1}{\mathcal{D}} \quad (38)$$

for sufficiently small variations in  $\mathcal{D}$  since  $\omega(\mathcal{D})$  can be treated as constant, in agreement with the scaling observed in [19] and in Figure 7.

### V. OTHER CONSIDERATIONS

While the present model makes theoretical progress on the analysis of overstressed pulsed breakdown, the authors acknowledge that it is not yet a complete picture. This section briefly discusses a number of limitations of the presented

approach and includes discussion of electron diffusion and positive ion inclusion.

#### A. Diffusion may be Important for Pulsed Breakdown

The equations (30) allowed the functional dependence of breakdown on  $\mathcal{D}$  to be studied, a task which would have been highly nontrivial if  $D_e$  could not be assumed to be zero. There has been little exploration of the effects of electron diffusion for pulsed breakdown, which is generally assumed to be negligible based on the timescales involved. For example, the authors of [21] concluded from fluid simulations that the effects of diffusion may not be significant for breakdown on a rising slope. For constant applied fields, authors of [9] argued that diffusion should be included particularly for avalanches formed in low electric fields, where diffusive reduction could lead to substantial delays to the streamer transition moment. As diffusion is a time-dependent process, it follows that under a continuously rising background field, one should expect the parameter  $\mathcal{D}$  to influence the extent that diffusive processes dominate.

Using (23), the value of the effective ionisation integral at breakdown,  $K_{ramp}(t_b)$ , as a function of  $\mathcal{D}$  and at various values of diffusion coefficient,  $D_e$ , has been plotted in Figure 9. Also indicated is the zero-diffusion case. It is evident that  $K$  is not just dependent on  $D_e$ , but also exhibits a local minimum at some value of  $\mathcal{D}$  for all cases with non-zero diffusion. The minimum can be explained by the time necessary for diffusion to become significant, where the region  $\mathcal{D} < \mathcal{D}_{crit}$  is largely diffusion-dominant, since the voltage rises sufficiently slowly for diffusive effects to have tangible impacts on the peak magnitude of electrons forming the Gaussian. Where  $\mathcal{D} > \mathcal{D}_{crit}$ , breakdown is sufficiently quick such that diffusion has negligible effects. This is further evidenced by the convergence of all lines on Figure 9 as the effects of diffusion (irrespective of  $D_e$ ) become unimportant.

While no closed solution for  $\mathcal{D}_{crit}$  could be found, it can be shown from (23) that for electron diffusion to have a negligible impact on the breakdown evolution, the condition of

$$t_b \ll \frac{\sigma_0^2}{2D_e} \quad (39)$$

should be met. Equation (39) simply has the physical interpretation that the breakdown time must be far less than the diffusion time. This provides a condition separating where diffusion is likely to have strong influence on the breakdown, and where diffusion can be neglected. It is once again noted that  $D_e$  has been assumed constant in this work as a simplification, as such, (39) can only be considered an approximation. However, the conceptual discussion of the effects here should be equally applicable when  $D_e$  is field-dependent (and therefore also time-dependent). Based on (5), a more general condition should the effects of  $D_e \rightarrow D_e(t)$  be considered would be

$$\int_{t_0}^{t_b} D_e(t) dt \ll \frac{\sigma_0^2}{2}, \quad (40)$$

which can be shown to equal (39) in the constant diffusion limit.

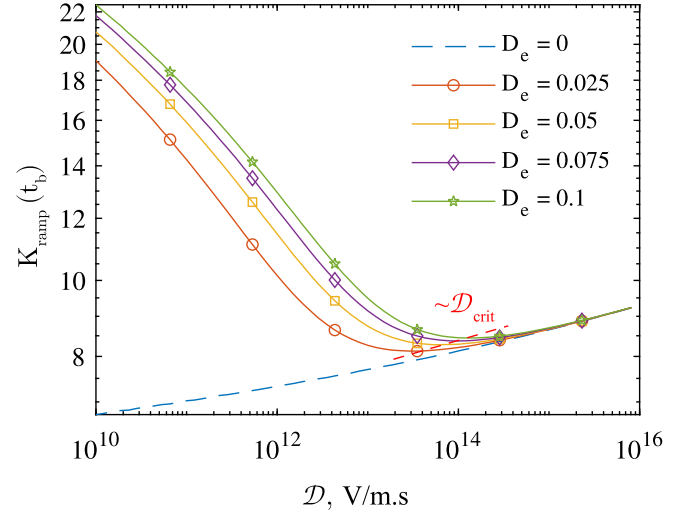


Fig. 9. Plot of the effective ionisation integral,  $K_{ramp}$ , at the time of breakdown estimated from the present model under ramp fields. Plotted as a function of  $\mathcal{D}$ , and for different values of diffusion constant  $D_e$ . Note that the dependence on  $D_e$  vanishes for higher  $\mathcal{D}$  above some value  $\mathcal{D}_{crit}$  which lies approximately beyond the minimum marked by the red dashed line.

On the note of field-dependent diffusion, based on Figure 9 one should expect that equations (30) should incur significant inaccuracies for lower  $\mathcal{D}$  due to the assumption that  $D_e = 0$ . In these cases, it is remarked that the choice of  $g$  should, to some degree, encapsulate the effects of diffusion, since it is a measure of the electron field at the moment of field screening which itself is affected by diffusion. However,  $g$  was also assumed constant in this work (which according to Figure 6 appears to be somewhat suitable for a wide range of conditions), though it likely varies with the electron transport parameters, including diffusion. In a case where diffusion is also field- and time-dependent, a non-constant  $g$  may partially explain the larger discrepancies between the model and experiments observed in the low field regions of Figure 6 (particularly for  $\text{CO}_2$  which possesses a  $D_e(E)$  relation that is much different from air and  $\text{N}_2$ ). However, it is also remarked that this region is nearing the lower limit of validity for the parameter fitting expressions in this case, which may also introduce inaccuracies. In future, detailed investigation into the value of  $g$  and of its dependencies is of high priority.

#### B. Positive Ions

In this model, the effect of positive ions have not been incorporated. Even with (12) which can be numerically solved for the ion density as in Figure 1, the positive ion electric field was neglected since the ion density cannot be approximated by any simple geometry with accessible analytical solutions. Based on analysis of the ion trail in [9], consideration of the ion field would tend to increase the predicted breakdown strength since the ion field would act in opposition to the electron field, thereby necessitating a longer time for either condition (11) or (28) to be satisfied. Also in [9], theoretical estimations indicated that the correction to the moment of

transition when considering the ion field may be negligible for breakdown occurring over short timescales, or when diffusion can be ignored. It is therefore expected that inclusion of the ion field may not significantly change the presented estimations. This model also does not consider effects of nearby boundaries such as electrodes or other dielectrics, therefore also forgoing the effects of secondary electron sources.

## VI. CONCLUSION

In this work, the diffusion-corrected Meek criterion developed in [9] has been further advanced for the specific purpose of analysing overstressed breakdown on a rising slope. The approach is based on the simplified transport of a Gaussian distributed electron cloud combined with semi-empirical fitting functions for electron transport parameters. A set of equations have been formulated to identify the moment of avalanche-to-streamer transition under an arbitrary time-dependent field. Further progress was made by analysing the specific case of a linearly increasing applied field with defined rate-of-rise. It has been shown that the ionisation growth rate under these conditions follow that of the upper incomplete gamma function, describing the slow initial rise but rapid screening of the applied field as the avalanche grows in size. Furthermore, in the non-attaching and non-diffusive limit, it was shown that approximations for the time-to-breakdown,  $t_b$ , and breakdown field strength,  $E_b$ , can be found as explicit functions of the rate-of-field-rise,  $\mathcal{D}$ , using the Lambert- $W$  (product-log) function. Mathematical analysis explains the trend of increasing breakdown strength with increasing  $dV/dt$  as a result of the differing rates of electron field rise compared to the rate of rise of the applied field. This is further linked to the mismatched rates at which the ionisation coefficient and electron mobility can change with increased rate of rise.

Model predictions have been validated against simulated and experimental data drawn from literature. It was demonstrated that the model exhibits  $pd$  scaling and is able to recreate the upward shift of the Paschen curve for increasing rise time. It also adequately predicts the field-time scaling characteristic for pulsed breakdown in a comparison to numerous experimental datasets, presented alongside a new characteristic scaling relation with explicit inclusion of the rate of rise. Based on fluid simulations of primary ionisation wavefronts, the developed model also explains an observed linear scaling of the streamer initiation time with the reciprocal of the rate-of-rise. The importance of diffusion on breakdown on a rising slope has also been analysed, finding that the influence of electron diffusion is dependent on the rate-of-rise. An approximate condition separating the diffusion-dominant region and where diffusion can be neglected has been proposed. The developed model therefore advances the theoretical knowledge of fundamental electron transport and avalanche development processes under the fast-rising overstressed breakdown regime. This is of particular importance to the design of current and future pulsed power systems and components.

This study has also identified a number of areas which would benefit from further theoretical and/or experimental study, listed below:

- Under what conditions does the positive ion field have sufficient impact on the avalanche development to warrant inclusion?
- What effects would the inclusion of time-dependent diffusion,  $D_e \rightarrow D_e(t)$ , have on the predicted breakdown characteristics and scaling curves? Can it explain the discrepancies observed at lower values of  $\mathcal{D}$ ?
- The functional dependencies of  $g$  - it is believed that there is unexplored significance to this value. It may be an important parameter for the further characterisation of pulsed breakdown.
- What processes to the left of the Paschen minimum result in the deviations observed from the present model and fluid simulations?
- Can a similar approach be used to analyse certain non-uniform field configurations, where the avalanche may effectively experience a time-varying field due to its transport through a region where there exist a spatially-varying electric field?

## APPENDIX A

### SELECTION OF INITIAL CONDITIONS

Throughout the present work, the initial conditions for the electron cloud,  $n_0(t_0)$  and  $\sigma_0$  have been kept constant; their values determined by estimates of typical background ionisation levels. Based on an estimated uniform background electron density of  $n_a = 10^9 \text{ m}^{-3}$  [28], a peak value of  $n_0(t_0)$  of  $10^{13} \text{ m}^{-3}$  was chosen, which was also informed by its use in various numerical modelling studies [29]. Considering that this is a peak value (representing a localised packet of electrons) and not uniform, this value could conceivably be driven by ionisation from background sources, or remaining from a previous discharge within a laboratory setting [30].

The selection of the initial spread,  $\sigma_0$ , follows from the above value. It is considered that the average density around the initial Gaussian peak should equal the background ionisation density,  $n_a$ . The average, in this case, was calculated based on a sphere with radius  $R = 2\lambda_1$  from the Gaussian center, such that an approximation to the average would then be given by (with spherical symmetry)

$$n_{av} = \frac{3}{4\pi R^3} \iiint_{\mathbf{S}} n_e(r, t_0) d^3\mathbf{S}, \quad (41)$$

where the volume integral over the three-dimensional sphere  $\mathbf{S}$  is the total electron number in the Gaussian. Equation (41) gives  $\sigma_0$  on the order of  $10^{-4}$  meters.

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